

INTERNATIONAL STANDARD

IEC
61162-400

First edition
2001-11

**Maritime navigation and radiocommunication
equipment and systems –
Digital interfaces –**

**Part 400:
Multiple talkers and multiple listeners –
Ship systems interconnection –
Introduction and general principles**



Reference number
IEC 61162-400:2001(E)

Publication numbering

As from 1 January 1997 all IEC publications are issued with a designation in the 60000 series. For example, IEC 34-1 is now referred to as IEC 60034-1.

Consolidated editions

The IEC is now publishing consolidated versions of its publications. For example, edition numbers 1.0, 1.1 and 1.2 refer, respectively, to the base publication, the base publication incorporating amendment 1 and the base publication incorporating amendments 1 and 2.

Further information on IEC publications

The technical content of IEC publications is kept under constant review by the IEC, thus ensuring that the content reflects current technology. Information relating to this publication, including its validity, is available in the IEC Catalogue of publications (see below) in addition to new editions, amendments and corrigenda. Information on the subjects under consideration and work in progress undertaken by the technical committee which has prepared this publication, as well as the list of publications issued, is also available from the following:

- **IEC Web Site** (www.iec.ch)

- **Catalogue of IEC publications**

The on-line catalogue on the IEC web site (www.iec.ch/catlg-e.htm) enables you to search by a variety of criteria including text searches, technical committees and date of publication. On-line information is also available on recently issued publications, withdrawn and replaced publications, as well as corrigenda.

- **IEC Just Published**

This summary of recently issued publications (www.iec.ch/JP.htm) is also available by email. Please contact the Customer Service Centre (see below) for further information.

- **Customer Service Centre**

If you have any questions regarding this publication or need further assistance, please contact the Customer Service Centre:

Email: custserv@iec.ch
Tel: +41 22 919 02 11
Fax: +41 22 919 03 00

INTERNATIONAL STANDARD

IEC
61162-400

First edition
2001-11

Maritime navigation and radiocommunication equipment and systems – Digital interfaces –

Part 400: Multiple talkers and multiple listeners – Ship systems interconnection – Introduction and general principles

© IEC 2001 — Copyright - all rights reserved

No part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from the publisher.

International Electrotechnical Commission
Telefax: +41 22 919 0300

3, rue de Varembé Geneva, Switzerland
e-mail: inmail@iec.ch IEC web site <http://www.iec.ch>



Commission Electrotechnique Internationale
International Electrotechnical Commission
Международная Электротехническая Комиссия

PRICE CODE

W

For price, see current catalogue

CONTENTS

FOREWORD	4
INTRODUCTION	5
1 Scope	6
1.1 General	6
1.2 Application area	6
1.3 Safety implications of using this protocol	6
1.4 Components of this standard	7
2 Normative references	8
3 Definitions	8
4 Overview and general principles	12
4.1 Introduction	12
4.2 Basic protocol functionality	12
4.3 Program modules	13
4.3.1 Physical modules	13
4.3.2 Protocol types	14
4.3.3 Protocol conformance classes	14
4.4 API versus protocol	15
4.5 Protocol level entities	15
4.6 Dependencies of actual API implementations	16
4.7 Companion standard entities	16
4.8 Relationship between specification components and products	18
5 A-profile functionality	18
5.1 Introduction	18
5.2 General principles	18
5.2.1 Separation between applications	18
5.2.2 Automatic configuration	19
5.2.3 Client-server architecture by the use of data objects	19
5.2.4 Connection oriented	19
5.2.5 Transaction oriented	19
5.2.6 Reliable transfers	19
5.2.7 Real-time properties	20
5.3 Application management	20
5.3.1 MAU states	20
5.3.2 System management	20
5.3.3 Time distribution	20
5.3.4 Load limitation	20
5.4 Data object connection management	21
5.4.1 Data object states	21
5.4.2 Server object definition	21
5.4.3 Client object connection request	21
5.4.4 Client MAU authentication	21
5.5 Message transfer	22
5.5.1 Transaction states	22
5.5.2 Basic transaction principles	22
5.5.3 Transfer mechanisms	22
5.5.4 Data marshalling	23
5.5.5 Authentication	23

5.6	Bulk transfer	23
5.6.1	Mechanism	23
5.6.2	Application level activation	24
6	T-profile functionality	24
6.1	Introduction	24
6.2	General overview of quality of service	24
6.3	The T-profile services	25
6.3.1	Network address look-up and mapping services	25
6.3.2	Reliable message service	25
6.3.3	Reliable stream service	25
6.3.4	Unreliable datagram service	25
6.3.5	System management	25
6.3.6	Time distribution	25
6.3.7	Exception handling and reporting	25
7	Companion standards	26
7.1	Introduction	26
7.2	The companion standard functionality	26
7.3	The companion standard language	26
7.4	Companion standard PFS components	27
7.5	Companion standard PFS structure	27
7.6	Companion standard application description	27
8	System configuration services	27
8.1	General	27
8.2	System configuration principles	27
8.3	Physical network configuration	28
8.4	Application configuration	28
8.5	Error monitoring and reporting	28
8.6	Load/performance monitoring and reporting	28
8.7	System inspection and configuration management	29
Annex A (normative)	Typographical conventions and nomenclature	30
A.1	Use of typeface	30
A.2	Regular pattern	30
A.3	Constant representation	31
A.4	State machine descriptions	32
A.5	Context diagrams	32
A.6	Entity-relationship (ER) diagrams	33
A.7	Structure of service descriptions	33
Annex B (informative)	Definition and description of the IEC 61162 series of standards	36
B.1	General	36
B.2	Rationale for specific marine standards	36
B.3	IEC 61162-1 summary	37
B.4	IEC 61162-2 summary	38
B.5	IEC 61162-3 summary	38
B.6	IEC 61162-4 series summary	38
B.7	Applicability of the different standards	39

INTERNATIONAL ELECTROTECHNICAL COMMISSION

**MARITIME NAVIGATION AND RADIOCOMMUNICATION
EQUIPMENT AND SYSTEMS –
DIGITAL INTERFACES –**
**Part 400: Multiple talkers and multiple listeners –
Ship systems interconnection – Introduction and general principles**

FOREWORD

- 1) The IEC (International Electrotechnical Commission) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of the IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, the IEC publishes International Standards. Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. The IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of the IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested National Committees.
- 3) The documents produced have the form of recommendations for international use and are published in the form of standards, technical specifications, technical reports or guides and they are accepted by the National Committees in that sense.
- 4) In order to promote international unification, IEC National Committees undertake to apply IEC International Standards transparently to the maximum extent possible in their national and regional standards. Any divergence between the IEC Standard and the corresponding national or regional standard shall be clearly indicated in the latter.
- 5) The IEC provides no marking procedure to indicate its approval and cannot be rendered responsible for any equipment declared to be in conformity with one of its standards.
- 6) Attention is drawn to the possibility that some of the elements of this International Standard may be the subject of patent rights. The IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 61162-400 has been prepared by IEC technical committee 80: Maritime navigation and radiocommunication equipment and systems.

The text of this standard is based on the following documents:

FDIS	Report on voting
80/309/FDIS	80/324/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 3.

The special typographical conventions and nomenclature used in this standard are defined in annex A, which forms an integral part of this standard. Annex B is for information only.

The committee has decided that the contents of this publication will remain unchanged until June 2005. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended.

INTRODUCTION

International Standard IEC 61162 is a four-part standard which specifies four digital interfaces for applications in marine navigation, radiocommunication and system integration.

The four parts are :

- IEC 61162-1 Single talker and multiple listeners
- IEC 61162-2 Single talker and multiple listeners, high speed transmission
- IEC 61162-3 Multiple talkers and multiple listeners – Serial data instrument network
- IEC 61162-4 Multiple talkers and multiple listeners – Ship systems interconnection

Part 4 of the standard is sub-divided into a number of individual standards with part numbers in the 400 series. This part of the standard, 400: Introduction and general principles, is the first part.

Relationship with the other parts of the IEC 61162 series of standards is defined in Annex B of the present standard.

MARITIME NAVIGATION AND RADIOCOMMUNICATION EQUIPMENT AND SYSTEMS – DIGITAL INTERFACES –

Part 400: Multiple talkers and multiple listeners – Ship systems interconnection – Introduction and general principles

1 Scope

1.1 General

This standard series, IEC 61162-400 and upwards, specifies a communication protocol for use in interconnected maritime systems. It also specifies an interface description language for use together with the protocol, a set of rules for the use of this language and a set of standard interfaces described in the language. Finally, it also provides a test plan and list of required documents for equipment using this standard.

This part of IEC 61162 gives a general overview of the functionality of the protocol and provides definitions common to the other fragments of the standard.

1.2 Application area

This protocol is intended for use on the system level of an interconnected maritime navigation and radiocommunication system. It is designed to integrate various relatively large functional components, for example RADAR, ECDIS or conning display. As such, it complements other protocols on the instrument level (IEC 61162-1, IEC 61162-2 and IEC 61162-3 as referred to in annex B) and on the administrative level (mainly proprietary or de facto standard protocols).

Although this standard covers navigation and radiocommunication equipment on the system level, it is not limited to that. It could also find application on lower levels (process level) and in other application areas (general automation).

1.3 Safety implications of using this protocol

This standard does not define any safety related attributes that can be applied in the verification of the safety properties of a system using this protocol. The system safety properties will depend on many factors, such as

- a) the protocol specification (this standard);
- b) the T-profile in use (may be specified by this standard);
- c) the protocol implementation (dependent on manufacturer);
- d) how the protocol is used by individual components (dependent on manufacturer);
- e) how the system uses the protocol (dependent on manufacturers and system integrators);
- f) maintenance and supervision of the system.

These items are only examples and do not constitute a complete list. The relevant authorities and the class societies will prescribe more detailed rules for the use of this protocol in integrated control systems.

1.4 Components of this standard

This standard consists of a number of documents (parts). This introduction contains a general description of the functionality of the standard and guidelines for the use of the other documents. The relationship between documents is indicated in the figure below.

Although this set of standard documents is collectively referred to as IEC 61162-4, the actual part numbers are in the 400-series. The part numbers are shown in the figure below.

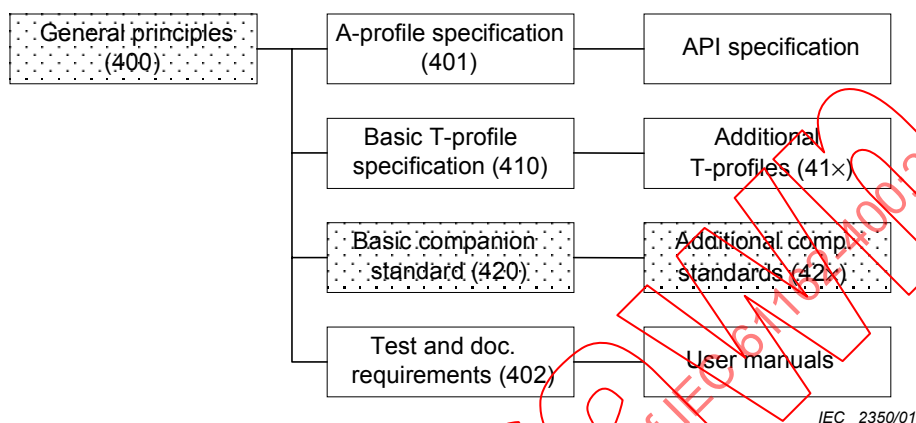


Figure 1 – Relationship between standard documents

The documents marked with a diagonal line pattern are not part of the standard. They are required programmer or operator manuals provided by manufacturers of equipment or components using this standard.

The non-shaded documents give documentation required for designers of communication libraries implementing this standard. They are not required for manufacturers of equipment using existing communication libraries.

The companion standards documents (shaded) are required reading for designers and integrators of equipment using this standard. They are also of interest to those who specify equipment for ships.

The general principles are required reading for all users of the standard. The general principles give a high level of explanations to the various parts as shown in the table below.

Table 1 – Parts of general principles document

Clause	Contents	Required for part
Scope	Purpose and overview	All
Overview and general principles	General description of application area and usage	All
A-profile functionality	General description of functionality of application level protocol	IEC 61162-420 Companion standard general principles, IEC 61162-401 A-profile
T-profile functionality	General description of requirements for implementation of this protocol on top of specific transport service	IEC 61162-401 A-profile IEC 61162-410 T-profile
Companion standard functionality	General description of purpose and functionality of companion standards	Companion standards, application descriptions
System configuration services	Requirements for integrating systems using this protocol	IEC 61162-401 A-profile IEC 61162-410 T-profile

2 Normative references

The following normative documents contain provisions which, through reference in this text, constitute provisions of this part of IEC 61162. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. However, parties to agreements based on this part of IEC 61162 are encouraged to investigate the possibility of applying the most recent editions of the normative documents indicated below. For undated references, the latest edition of the normative document referred to applies. Members of IEC and ISO maintain registers of currently valid International Standards.

IEC 61162-1:2000, *Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 1: Single talker and multiple listeners*

IEC 61162-2:1998, *Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 2: Single talker and multiple listeners, high speed transmission*

IEC 61162-3, *Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 3: Multiple talkers and multiple listeners – Serial data instrument network*¹

IEC 61162-401, *Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 401: Multiple talkers and multiple listeners – Ship systems interconnection – Application profile*

IEC 61162-410, *Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 410: Multiple talkers and multiple listeners – Ship systems interconnection – Transport profile requirements and basic transport profile*

IEC 61162-420, *Maritime navigation and radiocommunication equipment and systems – Digital interfaces – Part 420: Multiple talkers and multiple listeners – Ship systems interconnection – Companion standard requirements and basic companion standards*

ISO/IEC 7498, *Information processing systems – Open Systems interconnection – Basic Reference Model*

ISO/IEC 8859-1:1998, *Information technology – 8-bit single-byte coded graphic character sets – Part 1: Latin alphabet No. 1*

3 Definitions

For the purpose of this part of IEC 61162 the following definitions apply:

3.1

A-profile

communication protocol supplying application services (see OSI 5 to 7)

3.2

ABC – anonymous broadcast (MAU)

a mechanism by which a MAU can send or receive data with no defined peer or group of peers

¹ To be published.

3.3

accept

this term (or server, see below) is used to define the *MAU* (or other entity associated with it) that has exported a *data object*

The term client (or connect), see below, is used about the *MAU* (or other entity associated with it) that use the *data object*.

3.4

API – application programmer's interface

one implementation of the required application services as defined in IEC 61162-401

NOTE One API from one manufacturer may be different from another API, although the basic functionality is the same.

3.5

bridge (in the context of a data network)

a network bridge is used to connect two or more network segments together. It will normally do this on the data-link level, i.e. it will be able to isolate traffic internal to one segment from other segments, but it will not be able to perform more advanced filtering required for, for example fire-walls

3.6

callback

a subroutine in the application program called from a service provider library as a result of a previous service request

3.7

character

an octet containing a code from the set defined in ISO/IEC 8859-1. The null character (octet containing all zero bits) may have special meaning

3.8

client

(*connect* type entity) uses the services of an *accept* type entity

3.9

companion standard

the A-profile part of this standard defines a protocol for transport of data structures between nodes in an integrated ship control system. It does not in itself specify how to interpret these data structures, i.e. if it is a temperature measurement or a rudder angle. The interpretation of the data objects are defined by additional documents called companion standards or user layer specifications.

The companion standards-requirements part of this standard defines rules for the creation of companion standards and how to implement them. This part also defines some general companion standards, for example a mapping of IEC 61162-1 telegrams

3.10

connect

(*client*) uses the services of an *accept* type entity

3.11

data marshalling

this standard defines a transmission format for data records that is independent of computer architecture, network particulars, compilers and programming languages.

Data marshalling routines convert between this transport format and internal data representations used in different modules

3.12

data object

this standard is based on a simplified object-oriented client-server model. The term will be used in this standard to denote a logical entity that is characterized by the following:

- a data object has exactly one server (one logical network node – MAU). The object comes into existence when the information about the object is exported to the network by the server;
- a data object has zero or several clients (MAUs). Clients can connect to the object when the object comes into existence;
- exactly one client-available operation is defined for the object;
- the defined operation can be used by the client to be informed about state changes in the object and/or inflict state changes on the object.

It is a “virtual” object. The server does not export it to the network, it exports the identity of the object which points back to a physical data structure in the server. All operations on the object are performed locally by the server, the network will transfer information about these operations

3.13

fire-wall (in the context of a data network)

a device connecting two or more network segments together while performing certain safety related functions. These functions are, as a minimum, to limit the load from the fire-wall onto certain of the segments and do message filtering to ensure that only a specified sub-set of functions are made available from certain of the segments

3.14

LNA – local network administrator

the protocol processing module that interfaces the application unit (MAU) to the network. Each MAU has one LNA

3.15

MAPI – MAU API

a generic term for an API that allows an application program (MAU) to interface to its LNA

3.16

message

a fixed format sequence of octets that are exchanged between modules in an IEC 61162-4 system. All messages will be identified with a message code. All messages, their codes and formats are described in the A-profile part of this standard

3.17

MAU – MiTS application unit

historical name (see MiTS)

3.18

MCP – MAU connection point

a reference to one side of a connection to a *data object*. A server that defines a data object requires one MCP to handle incoming transaction requests targeted at that object. Each client using the same data object will likewise require one MCP as a reference to the object

3.19

MiTS – maritime information technology standard

predecessor to this standard. Now superseded as a specification by the IEC 61162-4 series of standards (see also *PISCES*). The IEC 61162-4 series of standards are not compatible with MiTS, but the Internet T-profile defined in IEC 61162-410 is specified so that applications and host computers using MiTS can coexist with equipment using this international standard.

By integrating one old and one new application on the same host computer, a gateway between the two protocols can be devised. To facilitate conversion of MiTS compliant software, this standard's application level services represent a superset of the services supplied by MiTS

3.20

MTU – maximum transmission unit

longest message length over a given T-profile

3.21

octet

an eight-bit data entity (or termed a byte). An octet is the smallest transmission unit that is discussed in this standard. Any data entity transported over the network will consist of an integral number of octets

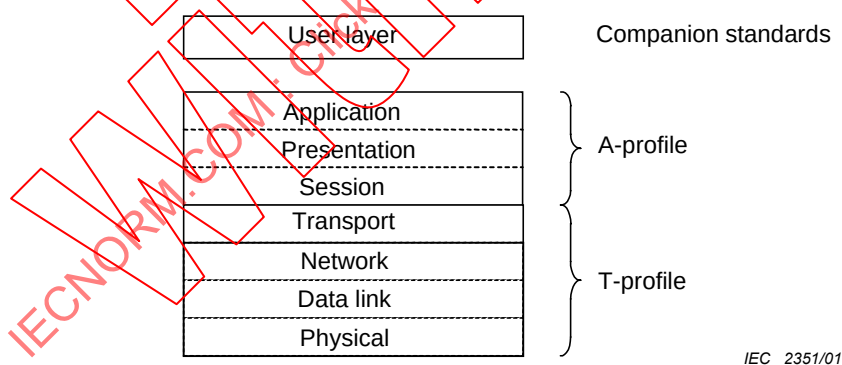
3.22

open systems interconnection (OSI)

This standard makes references to the ISO/OSI standard reference model for open systems interconnection [ISO/IEC 7498], but it does not adhere to that standard with regard to the exact services provided. The ISO/OSI standard is sometimes used as a reference for the naming of the individual layers in the protocol stack (see figure 2)

The following conventions apply:

- with respect to functionality, the protocol definitions cover the session, the presentation and the application layers of the OSI model (the A-profile);
- the protocol requires a set of transport services. The services can possibly be supplied by any number of different transport protocol stacks (T-profiles). The standard transport protocols will be defined in part 410 of this standard;
- this standard does not describe the A-profile as layered. This standard merges all the upper three layers of the ISO/OSI model into one protocol;
- this standard refers to the companion standards or user layer as a distinct protocol layer on top of the application layer



IEC 2351/01

Figure 2 – Protocol layering

3.23

PFS – PISCES foundation specifications

standard set of companion standards, used to ensure a certain degree of vendor independent interoperability

3.24

PISCES

the name of a European Commission sponsored research and development project that contributed to the conversion of the former *MITS* specification to this international standard

3.25

server

an accept type entity (see *accept*)

3.26

stream

a sequence of octets with no internal structuring except for its start and end markers and the sequential ordering of the octets themselves, for example a general data file can be transmitted as a stream

3.27

T-profile

protocol supplying transport services to the A-profile (see OSI, layers 1 to 4)

3.28

transaction

the exchange of information between client and server in conjunction with an operation on a *data object*. Transactions follow a general pattern where the client requests an operation on the object, the server performs it and the client is notified of the result. Transaction types are defined where the first or the last part is missing, i.e. where the client does not initiate the transaction or where the client does not get information on the final result

3.29

user layer

in the OSI model, it represents what is called the *companion standard* in this standard.

3.30

QoS – quality of service

degree to which a certain protocol (typically T-profile) supplies certain services to the higher levels. In the context of this standard, the QoS attributes of most interest are redundancy in transport paths, message priority and the probability that messages are delivered as specified (e.g. without errors and loss)

4 Overview and general principles

4.1 Introduction

This clause gives an overview of the concepts and the general principles used in the IEC 61162-4 standard. It is an introduction to the more technical components of the standard and gives an overview of where they are defined.

4.2 Basic protocol functionality

The protocol functionality is adapted to the requirements for high level integration of ship equipment. Clause 5 defines the application level functionality provided by this protocol.

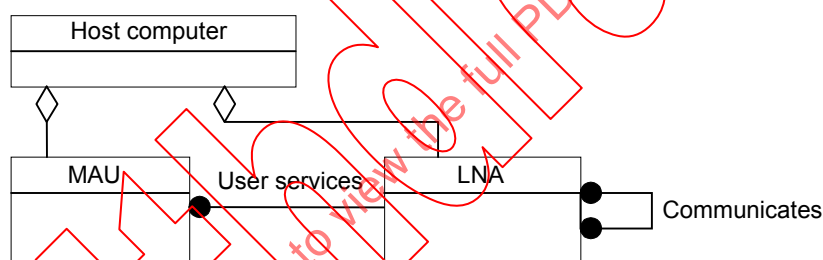
- a) Support for soft to firm real-time applications. The protocol is not appropriate for hard real-time data transport. The protocol provides support for different priority assignments to different data channels.
- b) High inert safety. Error states in the network or in other nodes shall not propagate to the network or other nodes.
- c) Medium active safety. The degree to which one can rely on the protocol's QoS will be dependent on the T-profile in use.
- d) Support for message based traffic (e.g. control, supervision, data acquisition) as well as bulk transfer (e.g. RADAR or ECDIS images). Differentiated quality of service provides some independence between the two transport modes.
- e) Support for commonly used transport primitives (remote read, write, function calls, subscriptions and broadcasts).

- f) Definition of standardized data marshalling for unambiguous transfer of information between application written in different languages, on different hardware platforms or under different operating systems.
- g) Client-server architecture where systems can be extended incrementally by adding new clients on top of existing servers.
- h) Mechanisms for definition of unambiguous description of equipment interfaces which support automatic code generation.
- i) Support for automatic and distributed configuration of network nodes. This can be used to provide plug and play service (incremental construction of control networks) or higher robustness networks through automatic configuration or reconfiguration.
- j) Support for network management and supervision (part of the T-profile)
- k) Support for application management and supervision (through the A-profile).
- l) Support for maintenance of a system-wide time base (part of the T-profile).

4.3 Program modules

4.3.1 Physical modules

This standard specifies a system where most of the communication facilities are implemented in an application independent module called an LNA. The application module (MAU) communicates with the LNA through a simple point to point link. The different entities are shown in the entity relationship (ER) diagram below.



IEC 2352/01

Figure 3 – Physical components

The most characteristic aspect of the modularization is that the application unit (MAU) is separated from the network interface module (LNA). This separation means that the application independent part of the system (LNA) has an opportunity to supervise and control the application module with reduced danger of error propagation from network to application and vice versa.

The separation can be through a communication link (e.g. TCP/IP), through shared memory or through a software library interface. The quality of the separation will determine the quality of the inert safety properties.

Each host computer (physical node on the network) can have zero or more MAUs and zero or one LNA. The MAU uses the services of exactly one LNA to communicate with other MAUs (through their LNAs). Each LNA can serve any number of MAUs on or off the LNA's host computer.

The MAU is the container for application programs. It uses the communication services provided by the LNA to set up communication links with other MAUs. The LNA is the application independent communication manager.

Normally, the MAUs and the LNA are separate processes with separate contexts, even when running on one host computer. However, other configurations are possible:

- The LNA runs on another computer than the MAU. This is supported by this standard by using a dedicated point to point communication link between MAU and LNA. This protocol is described in the A-profile specification.
- The MAU and LNA may be real-time tasks on an embedded processor, sharing the same memory and resource context (normal for many real-time operating executives).
- The MAU and LNA may be merged into one process or task sharing both memory and general execution context. This can be a useful configuration for smaller systems where efficiency and low overhead is of importance.

The different possible configurations are organized in conformance classes as defined in 4.3.3.

4.3.2 Protocol types

The division of the module into an application part (MAU) and a network interface part (LNA) requires the use of two communication protocols:

- The MAU-LNA protocol that is mainly used within a host computer and is normally implemented as an inter-process communication link, for example a Windows DLL or UNIX shared memory. It can also be implemented as procedure calls in a monolithic LNA-MAU. This protocol is documented in the A-profile specification and in the manufacturers' documentation. It will not be further discussed in this part 400 of the standard.
- The LNA-LNA protocol that is used on the physical network, connecting the host computers and their LNAs together. This protocol is defined in the A-profile and the T-profile specifications. The T-profile provides low level transport services while the A-profile provides the application related services, based on the T-profile services.

The A-profile services are detailed in clause 5. The T-profile services are detailed in clause 6.

4.3.3 Protocol conformance classes

The communication link between MAU and LNA and the different possibilities in how to integrate MAU and LNA give rise to four protocol conformance classes. The conformance class specifies to what extent an application supports the services defined in IEC 61162-401 and in IEC 61162-410. Based on how the modules are placed on different host computers, the conformance classes can be illustrated as in figure 4.

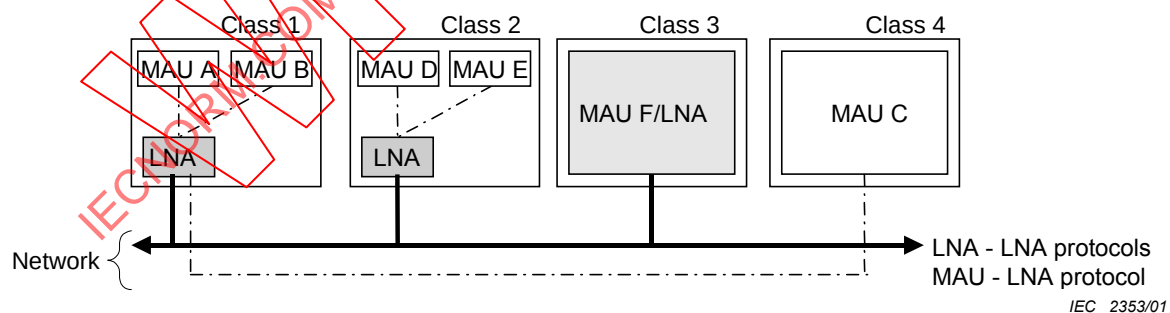


Figure 4 – Conformance classes

Four class levels of conformance for an application on a host computer are defined:

Class 1

The application contains an LNA that can accept connections from other MAUs on the same host computer (potentially delivered as a software module) and also MAUs on other host computers (conformance class 4) via the A-profile MAU-LNA protocol. The application supports all MAU-LNA and LNA-LNA messages defined in IEC 61162-401. It also supports MAU-LNA messages transmitted over one of the defined T-profiles, for example IEC 61162-410.

Class 2

The application contains an LNA that can accept connections from other MAUs on the same host computer (potentially delivered as a software module), but not from MAUs on other host computers (conformance class 4). The application supports all MAU-LNA and LNA-LNA messages, but it does not support MAU-LNA messages over any defined T-profile (only over a host computer specific inter-process communication mechanism).

Class 3

The application is an integrated MAU/LNA that cannot accept connections from other MAUs on same or other host computers. The application only supports the LNA-LNA messages defined in IEC 61162-401.

Class 4

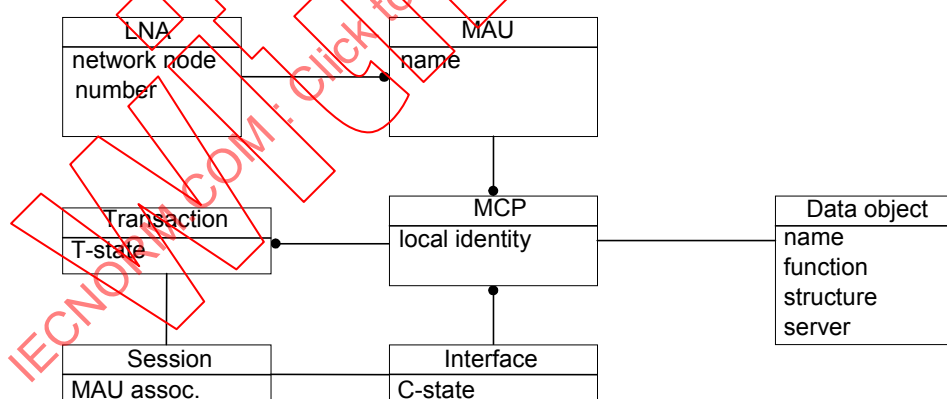
The application has no LNA and is dependent on connection to an application of conformance class 1. The application only supports the MAU-LNA messages defined in IEC 61162-401 and only when transmitted over one of the defined T-profiles, for example IEC 61162-410.

4.4 API versus protocol

IEC 61162-401 defines a set of services that shall be provided by the API. Different APIs will provide these services in different ways, depending on, for example programming language, operating system and programming paradigm. The actual definition of how to use these API services shall be documented by the designer of the API library.

4.5 Protocol level entities

IEC 61162-401 specifies several entities that are used to implement the communication services. These are identified in the diagram below.



IEC 2354/01

Figure 5 – Protocol entities

The **LNAs** are the physical communication nodes. They act as switches for data traffic between the MAUs they serve and remote LNAs with their MAUs. The network level address will be assigned to an LNA.

The **MAU** is served by exactly one LNA and no MAU can exist without a corresponding LNA. Several MAUs can be served by one LNA. Each MAU has a unique name. The name is, as a minimum, unique for the LNA, but will normally also be unique in the network. One application (a MAU) that is moved from one LNA to another will for this protocol be treated as the same MAU. This also means that two MAUs with the same name cannot be distinguished by the protocol.

Each MAU normally has a number of **MCPs** (MAU connection points). An MCP is a local reference to a global **data object**. Each data object is defined and served by exactly one server MAU. Any number of client MAU (also including the server MAU itself) can make use of the data object. Thus, each data object represents one component of the server MAU functionality, much as a named function in a program library does. A data object has a name and it can support exactly one operation (read, write, subscribe, etc.) with one strictly defined input and/or output data structure.

The server MAU has one MCP for each data object it has defined. This MCP is shared between all clients that use this data object. Each client needs one MCP for each data object it wants to use. The server MAU accepts connection and exports the data objects to the network. The data object is an abstract entity that represents the functions that can be invoked in the network. Conceptually, this can be looked at as if the server defines the data objects and the clients connect to them. Once the connection is established, the server and client can exchange messages (perform a **transaction**). The connection is established based on identical values for the following attributes: server MAU name, MCP name and format string (i.e. function and input/output structure).

Data objects are collected in named interfaces by the server MAU. The clients can connect to them as a complete block or as a sub-set of the block. The connection state (C-state) for the individual MCPs is associated with the interface. The interface will also be linked with a session which is a MAU-MAU association code that can be used for transaction and connection authentication. All incoming messages (except those of the broadcast type) can be traced back to their originator MAU by examining the session the transaction is associated with.

NOTE The terms data object and MCP may appear intermixed in the text. However, in these cases, it should normally be obvious from the context which meaning the term actually has.

4.6 Dependencies of actual API implementations

The services described in the following clauses are a minimum set of services based on the defined MAU-LNA messages. Most API implementation will extend the service set for, for example

- a) more structured handling of object call backs, for example mapping the remote client MAU session closed event to a set of interface client down events;
- b) a more object-oriented approach, for example by having MCP attributes implemented as actual attributes of programming language level objects;
- c) higher level services, for example complete definition and establishment of MCPs or interfaces in one call.

This means that the services must be considered as minimum services and more an explanation of the protocol description than an actual API specification.

4.7 Companion standard entities

The companion standard documents allow a designer to specify attribute values for various protocol level entities (see previous clause). In addition, the companion standard will structure the use of the entities to develop a general system in the construction of applications and in how applications communicate.

There are four different types of companion standard document entities as shown in figure 6.

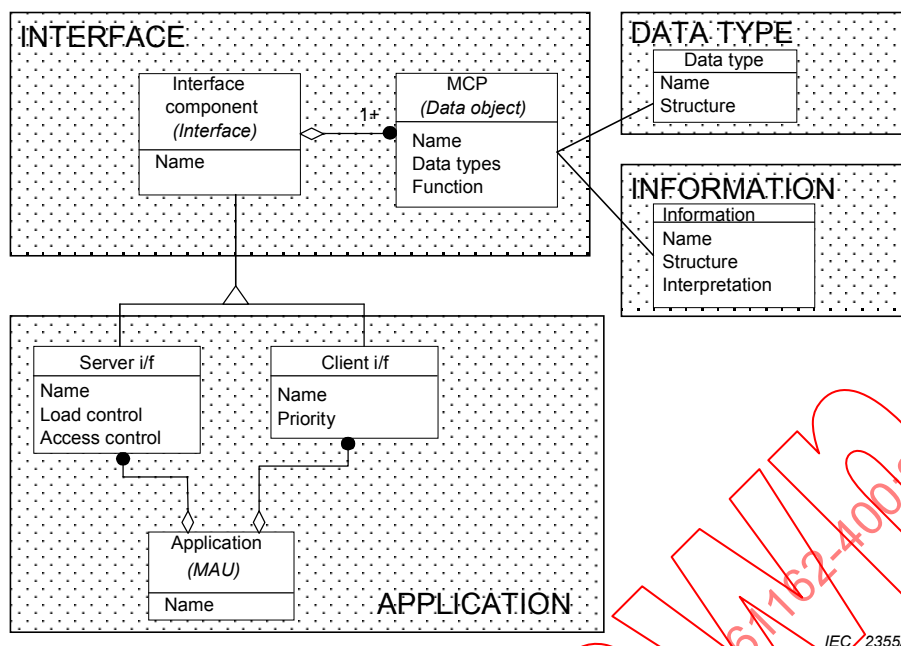


Figure 6 – Companion standard entities

The MAUs are described in the companion standard application specifications, IEC 61162-420.

The interfaces are described in their companion standard interface specifications, IEC 61162-401.

The MCPs or data objects are described as connection points also in the companion standard interface specifications. Data types and information containing entities are defined in data type specifications, IEC 61162-420.

This structuring is done by the following mechanisms.

- Data objects and interfaces will be used as defined for the protocol level: Interfaces will consist of a group of data objects. Data objects and interfaces are defined in (component) INTERFACE documents.
- Interfaces will be built from component interfaces (smaller collections of data objects) so that the client side of an interface may consist of fewer data objects than the server side and different servers may implement different sets of interface components.
- The data structure of data objects will be defined by separate entities called DATA TYPE definition or by entities called INFORMATION definitions.
- The companion standard may allow several identical interfaces to be implemented on one MAU. To enable the protocol level to distinguish between the interfaces, each interface can be assigned a new name by the companion standard. This is done in the APPLICATION definition document.
- The companion standard will allow the definition of load and access control attributes during the aggregation of interfaces in a MAU. It will also define the name of the MAU. This is done in the APPLICATION definition document.
- The companion standard will also define a hierarchy of application specifications together with a similar hierarchy of interface specifications, data structure and information entities, called the PISCES foundation specifications (PFS). This system will ensure a classification of applications according to functional capabilities. As part of this, there will be a set of interfaces supporting independent operations (e.g. retrieve version numbers, manufacturer's code, etc.).

4.8 Relationship between specification components and products

The various parts of the standard specification and the relationship between them are illustrated in figure 7.

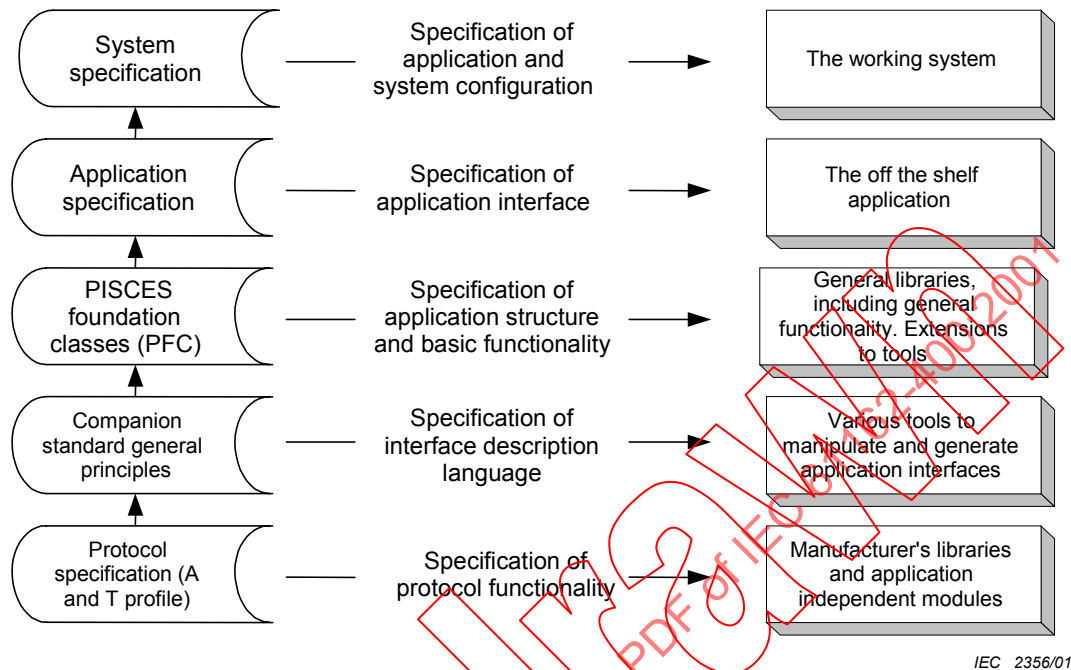


Figure 7 – Relationship between specifications and products

5 A-profile functionality

5.1 Introduction

This clause gives an overview of the contents of the A-profile specification. The A-profile is the definition of the services provided to the applications (via the MAU-LNA communication link) and a specification of how these services shall be implemented with a set of LNA-LNA messages.

The services and the protocol can be divided into the following groups.

- Application management. Connection of application to network and monitoring and control of application connection state.
- Data object connection management. Exporting server data objects and connecting to them with client objects. Monitoring and control of connection state.
- Data transfer between MAUs. Message and stream based data transfer between MAUs.

5.2 General principles

5.2.1 Separation between applications

The MAUs are the application dependent parts of the system. The LNAs are completely application independent. By providing various interface checks between MAU and LNA, it is possible to give a certain guarantee that application errors do not propagate to the network or vice versa.

The LNA will also provide certain application independent watchdog services to the MAU.

5.2.2 Automatic configuration

A MAU shall be able to connect to or disconnect from the network at any time. A new MAU shall be able to take over the functionality from an old MAU without having to reconfigure other MAUs using the services of the exchanged units.

This principle is based on having all local configuration information in each MAU. The MAU name is defined to be unique in the system. By shutting down one MAU with name "X" and then starting a new (and identical with respect to the interface it has to the network) MAU with the same name "X", all clients of the old MAU will change their connections to the new one.

5.2.3 Client-server architecture by the use of data objects

This standard prescribes a client-server architecture based on data objects. A data object is an abstract entity existing in the network. The data object is defined once a server MAU exports its definition to the network. One or more client MAUs can then connect to the object and exchange messages with the server MAU. A MAU can be both client and server to the same or different data objects.

NOTE There is a definition of a number of "pseudo-objects" for broadcast destinations. These destinations do not have one specific server MAUs, but can be listened or transmitted to by any MAU.

5.2.4 Connection oriented

The system is connection oriented. This means that exceptional situations relating to the exchange of information between two MAUs (e.g. communication errors, death of one MAU or the destruction of one data object) will be reliably detected and can, if necessary, be handled separately from the normal transactions. This applies also to broadcast type data objects where a connection between client and server is maintained although the message transport is connectionless (based on a broadcast service in the T-profile).

NOTE Anonymous broadcast subscribe type objects are not connection-oriented.

5.2.5 Transaction oriented

Most communication between MAUs is done as client initiated transactions. The client establishes a connection to an object (previously defined by the server MAU) and can, once the connection is established, initiate transactions on the connected object. For each transaction request, the client will get from zero to an "infinite" number of acknowledgements, all related to the initial request. The number of acknowledgements will be dependent on the type of communication service used:

- a) non-acknowledged write will not give an acknowledgement;
- b) ordinary function, read and write type transactions will give exactly one acknowledgement;
- c) subscribe type transactions give any number of acknowledgements. This also applies to broadcast subscriptions.

NOTE Anonymous broadcast subscribe type objects are not transaction-oriented, but are defined to be in the broadcast subscribe class for simplicity.

5.2.6 Reliable transfers

Non-broadcast transactions are reliable. Messages are guaranteed to reach their destination unless a connection error occurs. All connection errors will be detected within a prescribed time limit and reported to the client and server. A connection error means that some unacknowledged messages may have been lost, but the protocol cannot say how many or whether they were processed by the server.

Although broadcast transfers are unreliable, there is still reliability in the connection between sender and receiver. If the (unreliable) link to the server goes down, the client will be notified.

5.2.7 Real-time properties

The system provides three priority levels: urgent, normal and low. Urgent messages will have priority above normal which have priority above low. Higher priority messages will get network bandwidth before lower priority messages.

Dependent on the T-profile in use, this means that it is possible to allocate a certain part of the total bandwidth to higher priority messages. This can be used to guarantee an upper bound on message latency for the different priority classes.

NOTE The different T-profiles must be expected to support priorities in different manners. Some T-profiles may not support priorities at all. This will be defined by the relevant specifications.

5.3 Application management

5.3.1 MAU states

The MAU goes through certain states as a component in the system. The most important of these are:

- a) not connected. No connection with LNA – stand-alone mode. The application can be operational, but it does not have connectivity to the network;
- b) connected: Connected to LNA and able to communicate with other MAUs.

The LNA provides certain services to manipulate and supervise these states. The LNA will also notify all servers and client MAUs associated with a dead MAU about the death.

5.3.2 System management

The T-profile will define certain mechanisms that allow a (possibly duplicated) system management function to be implemented. These mechanisms will depend on the T-profile in use. These mechanisms will cater for physical network management on the T-profile level, for example network load in terms of bits or octets per second, physical nodes and corresponding network address, T-profile level network errors, etc. These services are defined in the T-profile document.

All LNAs will have a special MAU associated with it that allows the host computer or a central system management function to access statistics about the LNA. Certain management functions will also be available. The mechanisms implemented by this MAU will be related to logical (A-profile level) management functions. This includes statistics and general information about local and remote MAUs, data objects and transactions.

5.3.3 Time distribution

The T-profile will define services by which the host computers can synchronize their internal clocks to each other. The applications shall in turn use the host computer's time keeping function for synchronization to the system.

The A-profile will not define time keeping functions beyond this service.

5.3.4 Load limitation

The LNA will provide a mechanism by which the communication load on the application unit can be limited. This mechanism will be based on specified upper limits in the interface connection requests. Such load limits can be specified both by server and client.

5.4 Data object connection management

5.4.1 Data object states

The states of each MAU's connection to a data object is reflected in the state of the MAU's MCP. The states are the same as for the MAU: Not connected and connected. The meaning of the states are a bit different for server and client MAU, but basically the connected state is a prerequisite for communicating.

Broken connections on the server side will be propagated to all client MCPs reflecting the relevant data object. This is handled by the LNA through the protocol.

5.4.2 Server object definition

A MAU can define new server objects at any time. The server does this by creating a local MCP for the served object and exporting the attributes to the LNA. The attributes are:

- a) the server MAU name (implicit in the request);
- b) the data object name (possibly divided into an interface and an object part);
- c) the data structures used for requests and/or acknowledgements;
- d) the communication service provided (see next clause).

The server may specify that it shall be informed about any connection attempt from a client. This instructs the LNA to send information to the server each time a client tries to connect to the object. The information from the LNA will contain client MAU identity together with an optional client supplied "password". The server MAU may accept or deny the connection attempt. An additional input parameter gives an identification of the client MAU's session with the server MAU (see 5.4.4). This session identity will be the same for all connection points to which the client MAU is connected.

Several data objects may be exported as one interface. The functionality is the same as described above, but the data object names will have a prefix consisting of the interface name.

5.4.3 Client object connection request

A MAU can request a connection to a remote data object at any time. This is done by defining a client MCP and exporting the corresponding attributes to the LNA.

The object that the MAU requests a connection to does not have to exist at the time of the request. The LNA will repeatedly try to connect to the object, until the connection attempt times out (time out may be set to infinite).

The LNA will inform the client MAU when the connection is established, if an error occurred, if the server denied the connection or if the connection attempt times out.

Several data objects may be connected as one interface. The functionality is the same as described above, but the data object names will have a prefix consisting of the interface name. A client side interface must be a sub-set of the server side interface, but they do not need to be identical.

5.4.4 Client MAU authentication

The LNA will use T-profile functionality to monitor its connection to other LNAs, which in turn monitor their connections to local MAUs. This monitoring will be used to verify that a connection between a local and remote MAU (carrying traffic related to one or more data objects) is not broken by possibly "hostile" MAUs or LNAs.

The local MAU can, if requested, get a session identifier together with all connection and transaction requests from remote MAUs. This session identifier can be used to check that different transactions and connection attempts come from one and the same remote MAU. This means that one (companion standard supplied) authentication mechanism can be used to cover many different data objects or transactions by letting the server verify that the session identifier does not change.

NOTE The quality of this service will depend on the quality of the corresponding services in the T-profile.

5.5 Message transfer

5.5.1 Transaction states

A client MAU can initiate several concurrent transactions on one MCP. The transaction acknowledgement can also be delayed by the server. For this reason, it is necessary to treat each transaction as an object. Each client initiated transaction will be reflected in a transaction object both on the client and the server side. The objects are created as a result of the client action and will be deleted as soon as the transaction is completed, times-out or is cancelled.

5.5.2 Basic transaction principles

Message exchanges between client and server MAUs are based on a transaction principle. Each request from a client is assigned a unique transaction identity number which is returned to the client on completion. This means that

- a) the client can have any number of transactions pending;
- b) the client can define a time-out for a transaction;
- c) the server may service transactions in any order as long as the transaction identities are kept track of;
- d) one subscription request is assigned one transaction identity. Repeated subscription messages will have the same transaction identity;
- e) it is possible to cancel transactions and also subscriptions;
- f) all transactions are automatically cancelled if the associated connection is broken.

Each transaction can result in the exchange of any number of messages, from zero (if an exception occurred on the client side) to infinite (for long duration subscriptions). All messages can carry a data structure defined by the applications (as an attribute in the data object identity). The format of this data structure is fixed and defined by the structure attribute.

The maximum size of the message is dependent on the T-profile. Unsupported length specifications, due to limits in the T-profile, will normally result in the denial the establishing of a connection to the data object in question.

5.5.3 Transfer mechanisms

The following transfer mechanisms are defined.

- a) READ, WRITE, FUNCTION: Request the sending and/or receiving of one message. Reliable service. The write function is acknowledged.
- b) NON-ACKNOWLEDGED WRITE: As normal write, but without acknowledgement. The service is reliable, but one cannot know how many messages were lost if a connection failure occurs.
- c) SUBSCRIBE: A number of clients subscribe to one server and get any number of messages back from the server. The server decides when a message is sent. All clients receive the same message except for the first message returned from the subscription request. This message is only sent to the subscribing client. The service is reliable.

- d) **BROADCAST SUBSCRIBE:** As normal subscribe, except that messages are sent as broadcast datagrams. This means that messages (except for the first message after subscribe request) can be lost. The connection management, however, is based on a reliable LNA-LNA link. A set of pseudo-objects will be defined that allow MAUs to broadcast and/or listen to globally defined connection points.
- e) **INDIVIDUAL SUBSCRIBE:** A mix between function call and subscribe where the different clients may request individual subscription messages. The server needs to keep track of the clients itself.
- f) **ANONYMOUS BROADCAST SUBSCRIBE:** This function is similar to ordinary broadcast except that there is no link between the sender and receiver of messages. Every MAU can send or listen to these messages.

5.5.4 Data marshalling

The protocol defines a hardware and network independent transmission format that ensures that individual data entities and structures are received with the same meaning as was sent. This mainly means that receivers and senders must convert between different byte order and structure element ordering and padding. The API will be required to handle this data marshalling. The following types of data elements are supported:

- a) signed integers: 8, 16, 32 and 64 bits of length;
- b) unsigned integers: 8, 16, 32 and 64 bits of length;
- c) characters: 8 and 16 bit lengths;
- d) floating point: 32 and 64 bit lengths.

In addition to individual data entities, the standard supports the following structuring:

- a) fixed length arrays of entities or records;
- b) variable length arrays of entities or records;
- c) records of entities and/or records and/or arrays;
- d) unions of entities or records. This is a type safe implementation where legal records or entities are enumerated and the relevant code is transmitted with the message;
- e) opaque octet blocks. These are unstructured blocks of octets that are given a meaning only through the use of special companion standard document called "information specifications".

The maximum length of a data structure is given by the T-profile's MTU limitation.

5.5.5 Authentication

All transactions will be tagged on the server side with the client MAU's session identifier. This is done by the LNA based on transport level connection management services. The session identifier can be used to identify a MAU and a session across different server connection points. The standard will provide a companion standard for user and client authentication that can be used together with the session identifier.

NOTE The quality of the authentication will be dependent on the quality of the T-profile used to do the authentication.

5.6 Bulk transfer

5.6.1 Mechanism

The T-profile will provide a mechanism for transfer of large amounts of data over a low or normal priority communication link. The LNA will provide a service to the MAU where this mechanism is made available. The transfer will be made in one of two ways:

- a) the MAU transfers the data block to the LNA with an IPC mechanism. The LNA transfers the data block to the destination;

- b) the MAU passes a *reference* to the data block to the LNA. The LNA reads the data directly and transfers it to destination.

The receiving side will get data in a corresponding manner. The LNA will take care of flow control to avoid overloading the network or the involved MAUs.

5.6.2 Application level activation

This will be handled by transferring the bulk addresses between sender and receiver MAUs through normal MCP activations. The companion standard will contain provisions for this.

6 T-profile functionality

6.1 Introduction

The T-profile shall supply a set of standard transport and network related services to the A-profile. This set of services may have different quality of service (QoS) for different T-profiles, but all shall be present as prescribed by the standard. The A-profile will be defined in terms of how these basic services are used, independently of what T-profile is in actual use.

The part of this standard specifying the general T-profile requirements, services and the quality of service attributes will also define two concrete T-profiles over redundant Ethernet and non-redundant Ethernet respectively. That part will also provide definitions of how to interconnect MAUs over the wide area Internet. The last definitions can be used for remote test integration or various forms of remote diagnostics and maintenance. Other future parts of the IEC 61162-4 series may specify other T-profile implementations.

6.2 General overview of quality of service

Different T-profiles will have different capabilities. Each T-profile specification shall provide a mechanism by which the capabilities can be inspected. Typical parameters that can be requested are:

- a) maximum transmission unit (MTU) size. The MTU will define an upper limit for message sizes for certain transport services, normally urgent and normal priority messages. The MTU shall be specified for both urgent and normal messages. Some T-profiles may not have a maximum MTU;
- b) priority levels actually implemented. The standard specifies three priority levels, but a given T-profile may support a higher or lower number of levels. Different numbers of levels may be available for the different message or stream services. The A-profile will be able to use priority levels low, normal and urgent;
- c) real-time properties. The T-profile should be able to report its support for timely delivery of data, possibly dependent on the priority class and the network and host computer load;
- d) support for stream service. Some T-profiles may not support the stream service;
- e) support for redundant transport network. Some T-profiles shall be able to supply redundant communication paths to the A-profile. This service shall, if available, be independent of A-profile;
- f) communication link authentication quality. The T-profile shall have the possibility to identify end-points of a communication link so that these cannot be changed during operation. The quality of this mechanism will impact the corresponding authentication service in the A-profile;
- g) time precision related to a global reference. Some T-profiles may not implement a global time service;
- h) network management services. Not all network management services may be available for all T-profiles.

Other parameters related to the quality of service (e.g. maximum transmission delay, buffer sizes and various control parameters) may also be made available.

6.3 The T-profile services

The standard T-profile services include time distribution and network management services as well as data transport services, although the former is not part of the transport profile in the OSI sense. These services and protocols are included in the T-profile fragments due to their dependencies on the transport level protocols.

6.3.1 Network address look-up and mapping services

The T-profile shall be able to assign network specific addresses to the nodes. It shall also have provisions for mapping MAC addresses to network addresses. This mechanism shall make the A-profile independent on the MAC or network level addressing mechanism.

6.3.2 Reliable message service

Transport of finite length messages from point to point. This service shall be provided with the priority levels urgent and normal. Message length for these messages may be limited to maximum transmission unit (MTU) for the actual T-profile in use.

The service will be based on a connection-oriented principle where connections are established prior to message exchanges.

6.3.3 Reliable stream service

Transport of stream data (octets) from point to point. This service shall be provided with the priority levels normal and low. Some T-profiles may not support this service.

This service will also be based on a connection-oriented principle.

6.3.4 Unreliable datagram service

Multi- or broadcast-transmission of datagrams. Message length may be limited. This service shall not depend upon connection being established as seen from the A-profile. As a minimum, the T-profile shall supply one multi-cast address that will be listened to by all LNAs in a network.

6.3.5 System management

The T-profile shall provide certain system management functions, for example with the help of SMTP. The services include network load monitoring and network node health monitoring. They may also include services for the allocation of network level addresses to nodes.

6.3.6 Time distribution

The T-profile shall specify how time is distributed and synchronized. The quality of service attributes should include a measurement of expected offset from global time, for example UTC.

6.3.7 Exception handling and reporting

The T-profile shall specify what failure modes it can detect (and possibly correct) and how these are reported. Exception reporting should normally go via the network management services.

7 Companion standards

7.1 Introduction

The main purpose of the IEC 61162-4 series companion standards is to provide an unambiguous way of interpreting data transmitted via the protocol. In this sense, the companion standard adds meaning to the data, converts it into information and makes it useful for all applications connected to the network. To serve this purpose, the companion standard defined in IEC 61162-420 provides the following:

- a) a language used to define information types, data types, application interfaces and applications. This language is readable as well as interpretable by a computer;
- b) a standard set of information types, application interfaces and applications making up part of the PISCES foundation specifications (PFS). This set is used to create applications within the framework of this standard;
- c) a way to structure applications within the PFS framework. This is done by making certain parts of the PFS components mandatory and by defining a hierarchical tree for construction of applications from non-mandatory components;
- d) a framework for creating new applications from the PFS and document them with the companion standard language.

The standardized set can be extended by the application programmer, using the companion standard language and rules. The set of components (particularly the PFS) can also be extended in the future by adding new documents to this standard.

7.2 The companion standard functionality

The companion standard documents (the PFS and the final application documentation, except for the information type documents) represents the specification of how certain protocol level entities shall be created to establish certain application dependent communication links. The entities are basically the ones used as attributes to the data objects.

- a) The MAU name (for both server and client).
- b) The interface name.
- c) The data object name.
- d) The application data structure for the transaction messages.
- e) The communication functionality supplied by the data object.

These attribute values will be unambiguously defined through a complete set of companion standard specifications.

In addition, the companion standard will allow application programmers to define “information specifications” that give further instructions on how to interpret the data transported between applications.

7.3 The companion standard language

The application standard language description defines four types of documents.

- a) Data type definitions: these documents define the structure of data structures used in messages.
- b) Interface definitions: these documents define the construction of data objects and how the data objects are organized in interfaces.
- c) Application specifications: these documents define the construction of actual applications and resolves configurable name issues and assigns server and client functionality for interfaces to the application.

- d) Information specifications: these documents provide specifications on how to interpret data transmitted in messages between clients and servers. These documents can also in some cases provide additional structuring information for data (if the data is of the opaque block type).

The companion standard general-principles fragment provides this information.

NOTE It is possible to create applications using this protocol that cannot be described by the companion standard language. The language must be viewed as representing a subset of the possible functionality and that this subset has been selected to give a better structure to applications using this protocol.

7.4 Companion standard PFS components

The companion standard general principle will also define certain components that can or must be used by PFS compliant applications. These components are:

- a) general version control and manufacturer related information retrieval;
- b) interface for general data access in alarm and monitoring systems of any type;
- c) interface for transmission of IEC 61162-1 telegrams over this protocol.

Other parts will define other standard application areas.

7.5 Companion standard PFS structure

The companion standard will also define a certain way to include standard interface components for applications of various classes. This serves to create a general framework for applications that allow general system management functions to be implemented independently of the supported functionality.

7.6 Companion standard application description

Finally, the companion standard general principles will provide a standard way to document application interfaces so that these can be understood in an unambiguous way by any manufacturer, integrator or owner knowing this specific syntax.

8 System configuration services

8.1 General

MAUs and LNAs are designed for a wide range of applications, thus being characterized by a number of configurable parameters. The configuration services offered by this standard define these parameters and the way to modify them at module and system levels.

8.2 System configuration principles

An IEC 61162-4 system shall consist of a number of nodes exchanging information according to the client/server scheme. It is important to build and maintain such a system with minimal effort, a fact that requires a lot of automatic configuration as well as operator-controlled configuration. API services are provided to enable such changes in configuration.

Generally, configuration information may be saved in a non-volatile memory such that when a node is removed and reinserted (either the same or a compatible one), all parameters are restored (time synchronization, active connections, open files). This issue is addressed at the companion standard level.

Parameter changing may be done without stopping the application. Application software upgrades may be done by passing functionality to a back-up MAU (if necessary), stopping the application and restarting it with the new software. Both may be performed either locally (through serial port or an attached console) or remotely (over the ship network).

8.3 Physical network configuration

Normally the system integrator needs to configure the physical network parameters. This is done by assigning physical network addresses to the relevant nodes after a template has been defined by the system integrator. It is possible to do this automatically by utilizing Internet protocol services, but this is not recommended by this standard.

The standard prescribes the use of suitable network management protocol to supervise error conditions and traffic status on the physical network level. Various T-profiles will prescribe different protocols. The system integrator must normally provide a network monitoring station as part of the network configuration.

8.4 Application configuration

Most MAU parameters can and should in principle be defined from the factory. The following list identifies the most important parameters and indicates those that may have to be set by a system integrator.

- a) MAU name. This must be unique in an installed system and may not always be possible to define without knowing the actual installation.
- b) Server MAU names as used by the client MAUs, in the cases where the configuration noted in a) above have the possibility of becoming used.
- c) Password where this is used to restrict access to the MAU (e.g. to allow authorized users only to access the MAU or change the configuration).

8.5 Error monitoring and reporting

Errors may be application, communication, or configuration errors. It is essential to report when a source of information is lost even if no data is expected at the time of failure. The API provides services which monitor such errors, log the events, provide for MAU notification and enable remedial actions (disconnection, re-initialization, configuration change) according to their severity. The programmer may design the actual approach to tackle the possible problems.

In case of failure of a remote server application, a back-up MAU must be sought. If this is not possible, the client applications must monitor when the server is up and running again. If the failed remote side is a client, a warning is issued. It is possible though that the failure is in the communication link. Then, a redundant path must be followed. If this is not possible, the LNA shall attempt to connect to the other side as soon as the link is operational again. In all cases, the active LNAs update their internal databases of live connections and continue to listen for other LNAs' activity. Such diagnostic functions on the network must not delay safety-critical data.

The standard prescribes the use of a separate network management protocol to implement these functions. This protocol will be different for different T-profiles.

8.6 Load/performance monitoring and reporting

It is primarily the role of a network tool to monitor network activities such as link utilization, packet delays and errors.

However, the LNAs that constitute the network segment of an IEC 61162-4 system, may well monitor network traffic that refers to transactions, connections and related events. Such information may be logged and viewed off-line.

Additionally, LNAs may interface to the network protocols in order to acquire additional information such as link utilization and timeliness. These will help to apply measures such as a flow control and rate control to the MAUs attached to the LNAs, or initiate a degraded mode of operation if the network performance is deteriorating. This functionality may be performed on-line.

The standard prescribes the use of a separate network management protocol to implement these functions. This protocol will be different for different T-profiles.

8.7 System inspection and configuration management

It is possible to inspect on-line a MAU or an LNA in order to obtain restricted information such as version codes, MAUs served, MCPs and interfaces in use and active LNAs in the network. This can be done by using functionality defined in the A-profile and in the companion standards.

Annex A (normative)

Typographical conventions and nomenclature

A.1 Use of typeface

This standard will use the `courier` typeface for symbolic constants and data types that are defined to have special meaning here. All other text will use the normal typeface.

A.2 Regular pattern

This standard will use regular patterns to formally define the format of certain text strings. This means that the string format will be presented as a skeleton string where certain characters can be substituted with zero or more characters. The following general rules will be used in this standard:

- a) All characters except '[', ']', '+', '*', '?' and '\' represent themselves.
EXAMPLE – "abc" defines a string with exactly that form, i.e. "abc".
- b) Square brackets ('[' and ']') enclose a list of characters. This construction represents one character from the specified list. The dash ('-') inside square brackets is used to identify a range, i.e. "a-f" shall be interpreted as "abcdef".
EXAMPLE – "[a-c]d" defines three different formats for the string in question, i.e. "ad", "bd" and "cd".
- c) The asterisk ('*') means that the preceding character can be repeated zero or more times.
EXAMPLE – "[ab]*" defines infinitely many strings, for example "" (the empty string), "a", "bba" and so on.
- d) The plus sign ('+') means that the preceding character can be repeated one or more times.
EXAMPLE – "[ab]+" cannot represent the empty string "".
- e) A question mark ('?') represents any legal character [ISO/IEC 8859-1], including non-printing characters.
EXAMPLE – "?" represents any string, including the empty string.
- f) A back-slash ('\') means that the immediately following character shall be taken literally, except when the three following characters are octal digits specifying a legal character code as defined in [ISO/IEC 8859-1].
EXAMPLE – "\?" defines the string "?", "\015" represents a "carriage return" control character.

In some cases, these definitions will be extended with other characters with special meaning.

A.3 Constant representation

Table 2 defines the allowed ways to write literal constants in this standard.

Table 2 – Constant representation

	Form	Example	Description
1	[0-9]+	1, 23, 456	Positive integer in decimal notation
2	0x[0-9a-f]+	0x1, 0x12c, 0X12C	Positive integer in hexadecimal notation
3	0[0-7]*	01, 072, 0454	Positive integer in octal notation
4	-[0-9]+	-300	Negative integer in decimal notation
5	N.F, NeN, N.FeN	1200.0 12e2, 1.2E3	Floating point
6	'?'	'a', 'b', '\023'	One character
7	"?"	"text", "\013\012"	Text string
8	{E, E, ...}	{"text", 'b', {32, 3}}	Aggregate (array or record)

The *form* column defines the legal format of the literals as an extended regular pattern. The extension is the introduction of the special characters 'N', 'F' and 'E';

- an 'N' represents a positive or negative decimal number as defined in row 1 or 4;
- an 'F' represents a positive decimal integer as defined in line 1;
- an 'E' represents any other literal as defined in items one to ten, i.e. recursion is allowed.

Each of the following items explain the corresponding line in the table:

- a) this standard uses a decimal representation for all values unless stated as in the clauses below. Decimal numbers consist of any sequence of the digits zero (0) to nine (9);
- b) hexadecimal notation is used in some cases and is always identifiable by the prefix 0x immediately before the hexadecimal number, for example 0x100 is equivalent to 256. Legal digits in hexadecimal numbers are 0-9, a-f and A-F. It is also allowed to use the prefix 0X.
- c) octal form is recognized by the prefix zero (0). Legal digits in octal numbers are 0 to 7;
NOTE – A literal with a leading zero, but which includes the numbers 8 or 9, is interpreted as a decimal number. A leading minus means that the number must be interpreted as a negative decimal number.
- d) negative integers are indicated with a minus sign in front of a decimal number;
- e) floating point numbers shall be written as in row 5 of the table. An 'e' (or optionally an 'E') prefix the exponent. A negative mantissa or exponent is indicated by a minus sign directly in front of the respective number. Only integer exponents of ten are allowed;
- f) characters are put inside single quotes as shown in row 6. Character and string definitions may contain "\NNN" to represent non-printing (and printing) characters. Exactly three octal digits give the numeric code of the character as defined in [ISO/IEC 8859-1];
NOTE – Literal text strings of the form "\ooo", where 'o' denotes a legal octal digit must be written as "\134ooo". "\134" is this standard's special code for backslash.
- g) text strings shall be written within double quotes as shown in row 8;
- h) literal records or arrays are written as in row 8. The number and types of elements must match those of the record or array it is associated with. It is legal to use nested array or record literals.

A.4 State machine descriptions

Services that the protocol supplies to the applications are in places described in the form of a state transition diagram. Figure 8 shows parts of a state transition diagram.

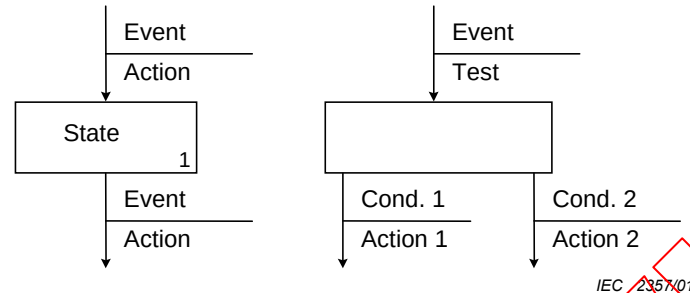


Figure 8 – State transition diagram nomenclature

The left-most drawing shows an ordinary state (rectangle) with transition in and out. The name of the state is inside the rectangle and there may also be a state number in the lower right corner. Arrows pointing into the state show transitions to the state and arrows leading out of the state show transitions to other states.

Each transition is labelled with the event (above the bar) that caused this transition and the action (below the bar) that will be taken on entry to the new state.

The right-most figure shows a test. The in-going transition arrow has a test instead of an action and the outgoing arrows have a condition instead of event. No state name or number are associated with tests.

A.5 Context diagrams

Context diagrams are used to describe the environment in which a given module operates. An example is shown in Figure 9.

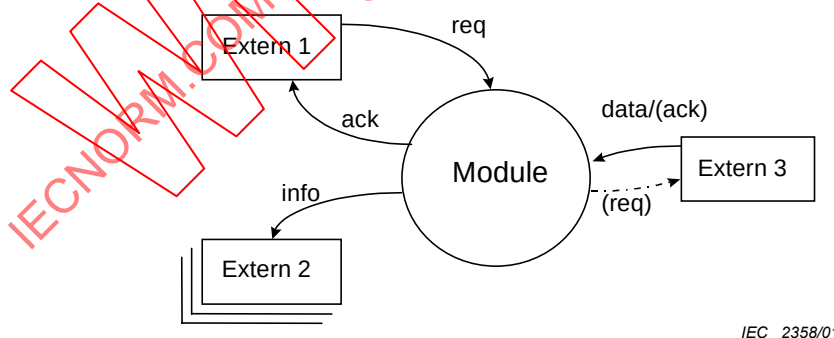


Figure 9 – Context diagram nomenclature

The described module is shown as a circle, communicating entities as rectangles. A set of identical instances of communicating entities are shown as a stack (*Extern 2*). The arrows show communication flow between entities, dashed arrows show communication exchanges that are not required by the standard.

The communication between the module and external entities are done by exchanging messages. The messages are generally of the following types:

- request/acknowledge exchanges (*req/ack* in the context diagrams) where one module requests a service and the other supplies (or denies) it;
- data driven exchanges (*data* in the context diagrams) where messages from one module shall be processed and possibly sent on to another. The sender relies on the message being processed by the receiver or that an exception is raised (usually indicated by another data message in the reverse direction);
- Informational messages (*info* in the context diagrams) where one module sends an unacknowledged information message to another and does not rely on it being processed or re-transmitted.

A.6 Entity-relationship (ER) diagrams

This standard uses ER (entity-relationship) diagrams to specify relationships between objects. The standard uses the OMT (object modelling technique) nomenclature where an object is an instance of a class. In an entity relationship diagram, in the object model in OMT, each class represents zero or more objects in the system. The objects represents physical units, information entities or any other physical or abstract item that have relationships to other items. The different relationships are described in figure 10.

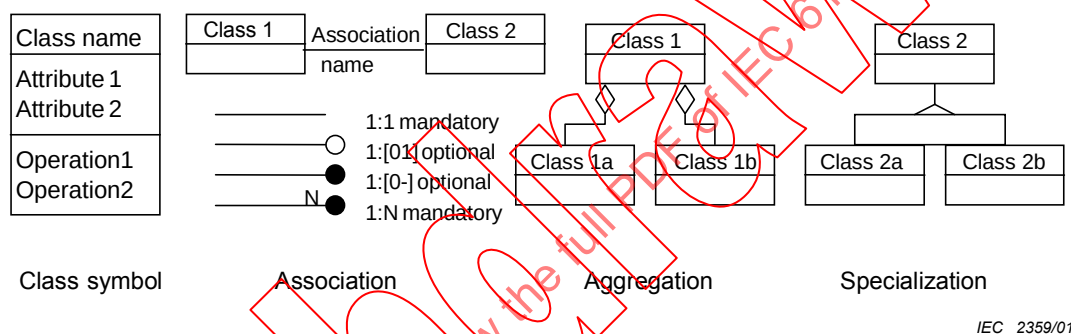


Figure 10 – ER-diagram nomenclature

From left to right are shown examples of:

- class symbol**: classes that represent objects are drawn as shown or simplified as on the right-hand side. In bold typeface at the top is the class name. Then (optionally) a list of attributes (e.g. colour, size) and then (optionally) a list of operations (e.g. connect to, send, receive);
- relations**, the multiplicity, for example one to many can be specified, and associations: named relationships between two objects;
- aggregation**: a class 1 object consists of (or can be decomposed into) one class 1a object and one class 1b object;
- specialization**: class 2 can be specialized into class 2a and class 2b. A class 2a object has all the attributes and operations from class 2a, but it also inherits all the attributes and operations from class 2.

A.6.1 Restrictions

In this standard, object orientation is only used to model systems. To avoid confusion with C++, no classes, objects or instantiations shall be mentioned.

A.7 Structure of service descriptions

This standard describes a set of protocols (T-profiles, A-profile and possibly some companion standard protocols). These protocols require the implementation of a set of services to make the functionality of the protocol available to programmers. This clause describes the standard format for the service descriptions used by this standard.