

# INTERNATIONAL STANDARD

**IEC  
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First edition  
2005-07

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**Artificial intelligence exchange and service tie  
to all test environments (AI-ESTATE)**

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**IEEE**

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The text of this standard is based on the following documents:

| IEEE Std    | FDIS        | Report on voting |
|-------------|-------------|------------------|
| 1232 (2002) | 93/214/FDIS | 93/220/RVD       |

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives.

The committee has decided that the contents of this publication will remain unchanged until 2007.

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# **IEEE Standard for Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATES)**

Sponsor

**IEEE Standards Coordinating Committee 20**

Approved 13 November 2002

**American National Standards Institute**

Approved 13 June 2002

**IEEE-SA Standards Board**

**Abstract:** AI-ESTATE is a set of specifications for data interchange and for standard services for the test and diagnostic environment. The purpose of AI-ESTATE is to standardize interfaces between functional elements of an intelligent diagnostic reasoner and representations of diagnostic knowledge and data for use by such diagnostic reasoners. Formal information models are defined to form the basis for a format to facilitate exchange of persistent diagnostic information between two reasoners, and also to provide a formal typing system for diagnostic services. This standard then defines the services to manipulate diagnostic information and to control a diagnostic reasoner.

**Keywords:** AI-ESTATE, diagnosis, diagnostic interference, diagnostic model, diagnostic services, dynamic content, fault tree, knowledge exchange, system test

## IEEE Introduction

This AI-ESTATE standard provides a formal framework for exchanging diagnostic knowledge and constructing diagnostic reasoners. The intent is to provide a standard framework for identifying required information for diagnosis and defining the diagnostic information in a machine-processable way. In addition, software interfaces are defined whereby diagnostic tools can be developed to process the diagnostic information in a consistent and reliable way.

## **Artificial intelligence exchange and service tie to all test environments (AI-ESTATE)**

### **1. Overview**

The Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE) standard was developed by the Diagnostic and Maintenance Control (D & MC) Subcommittee of the IEEE Standards Coordinating Committee 20 (SCC 20) on Test and Diagnosis for Electronic Systems to serve as a standard for the application of artificial intelligence to system test and diagnosis. This AI-ESTATE standard defines interfaces among reasoners and reasoning system users, test information knowledge bases, and more conventional databases. In addition to interface standards, the AI-ESTATE standard includes a set of formal data specifications to facilitate the exchange of system under test related diagnostic information.

This standard describes a set of formal data and knowledge specifications consisting of the logical representation of devices, their constituents, the failure modes of those constituents, and tests of those constituents. The data and knowledge specification provides a standard representation of the common data elements required for system test and diagnosis. This will facilitate portability of test-related knowledge bases for intelligent system test and diagnosis.

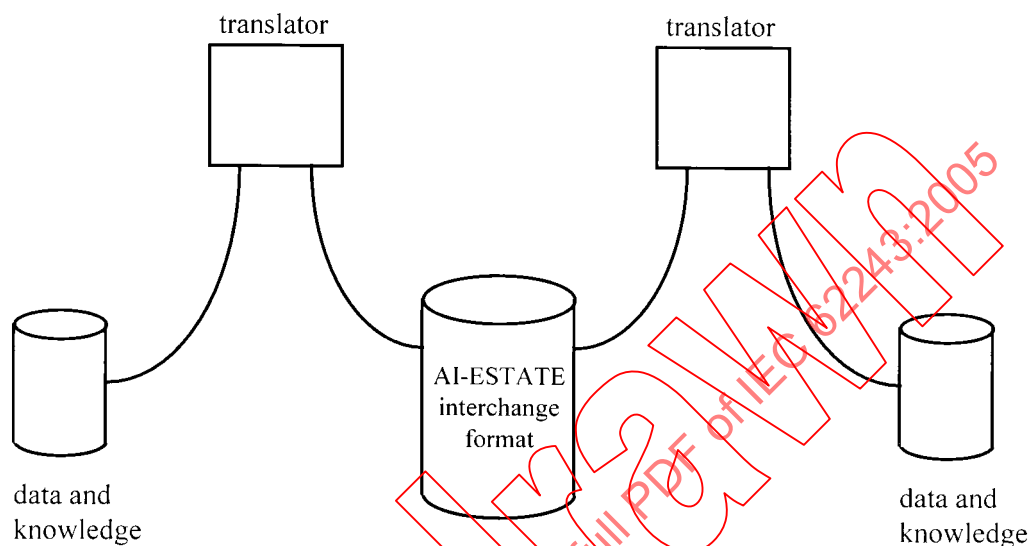
The goals of this standard are summarized as follows:

- Incorporate domain specific terminology
- Facilitate portability of diagnostic knowledge
- Permit extensibility of diagnostic knowledge
- Enable the consistent exchange and integration of diagnostic capabilities

This standard provides a controlled extension mechanism to allow inclusion of new diagnostic technology outside the scope of the AI-ESTATE specification.

One of the purposes of this standard is to define information models for knowledge bases to be used in the context of test and diagnosis and, from these models, to derive a data interchange format. The specifications in this standard shall support fully portable diagnostic knowledge. No host computer dependence is contained in the AI-ESTATE standard.

AI-ESTATE defines key data and knowledge specification formats. Implementations that use only these specification formats will be portable. This does not preclude use of AI-ESTATE interfaces with nonconformant specification formats; however, such implementations may not be portable. As shown in Figure 1, a diagnostic model can be moved from one AI-ESTATE implementation to another by translating it into the interchange format. Another AI-ESTATE implementation can then utilize this information as a complete package by translating the data and knowledge from the interchange format to its own internal form.



**Figure 1—An example of AI-ESTATE's portability mechanism for data and knowledge**

The translation step is not a requirement; an AI-ESTATE implementation may use the interchange format for its own internal form.

Software specifications defined in this standard will ensure the interchangeability of diagnostic reasoners through the definition of encapsulated services. This will allow diagnostic reasoners to be interchanged within an AI-ESTATE conformant system with no effect on the other elements of the system.

## 1.1 Scope

The AI-ESTATE standard defines formal specifications for supporting system diagnosis. These specifications support the exchange and processing of diagnostic information and the control of diagnostic processes. Diagnostic processes include, but are not limited to, testability analysis, diagnosability assessment, diagnostic reasoning, maintenance support, and diagnostic maturation.

## 1.2 Purpose

The AI-ESTATE standard provides formal models of diagnostic information to ensure unambiguous access to an understanding of the information supporting system test and diagnosis. The standard unifies and expands on the specifications published in IEEE Std 1232<sup>TM</sup>-1995 [B3],<sup>1</sup> IEEE Std 1232.1<sup>TM</sup>-1997 [B4], and IEEE Std 1232.2<sup>TM</sup>-1998 [B5].

<sup>1</sup>The numbers in brackets correspond to those of the bibliography in Annex A.

### 1.3 Conventions used in this standard

This standard specifies information models using the EXPRESS language and uses the following conventions in their presentation:

All specifications in the EXPRESS language are given in the Courier type font. This includes references to entity and attribute names in the supporting text. The EXPRESS models found in Clause 5 include comment delimiters “(\*)” and “(”, thus allowing extraction of the models from an electronic version of the standard for direct use.

Each entity of each EXPRESS schema is presented in a separate subclause. Within a schema, subclauses are listed in alphabetical order by constants, types, enumerated types, select types, entities, and then functions. The subclause structure begins with the actual EXPRESS specification, then each attribute of the entity is described below the attribute definition heading. If any constraints have been specified, these are described below the formal propositions heading.

This standard uses the vocabulary and definitions of relevant IEEE standards. In case of conflict of definitions, the following precedence shall be observed: 1) AI-ESTATE definitions (Clause 3); 2) SCC20 documentation and standards; and 3) IEEE 100<sup>TM</sup>, *The Authoritative Dictionary of IEEE Standards Terms*, Seventh Edition [B2].

Clause 6 of this standard presents the formal specification of the encapsulated services of this standard. EXPRESS is used to represent the interface of each individual service defining the semantics and type of the required value to be returned.

## 2. References

This standard shall be used in conjunction with the following publications.

ISO 10303-11:1994, Industrial Automation Systems and Integration—Product Data Representation and Exchange—Part 11: Description Methods: The EXPRESS Language Reference Manual.<sup>2</sup>

ISO 10303-21:2002, Industrial Automation Systems and Integration—Product Data Representation and Exchange—Part 21: Implementation Methods: Clear Text Encoding of the Exchange Structure.

## 3. Definitions and acronyms

For the purposes of this standard, the following terms and definitions apply. IEEE 100, *The Authoritative Dictionary of IEEE Standards Terms*, Seventh Edition [B2], should be referenced for terms not defined in this clause.

### 3.1 Definitions

**3.1.1 ambiguity:** In fault isolation, the inability to localize to a single diagnosis for a repair level, given a set of test results, observations, or other information.

**3.1.2 ambiguity group:** The collection of all diagnoses that are in ambiguity.

<sup>2</sup>ISO publications are available from the ISO Central Secretariat, Case Postale 56, 1 rue de Varembe, CH-1211, Genève 20, Switzerland/Suisse (<http://www.iso.ch/>). ISO publications are also available in the United States from the Sales Department, American National Standards Institute, 11 West 42nd Street, 13th Floor, New York, NY 10036, USA (<http://www.ansi.org/>).

**3.1.3 application executive:** A software component (or the role of a software component) that administers, and coordinates services to other components within a test system.

**3.1.4 diagnosis:** The conclusion(s) inferred from tests, observations, or other information.

**3.1.5 diagnostic procedure:** A structured sequence of tests, observations, and other information used to localize a fault or faults.

**3.1.6 element:** The smallest entity of an Artificial Intelligence Exchange and Service Tie to All Test Environments (AI-ESTATE) model. For example, in a particular model, the smallest test, the smallest diagnosis, and the no-fault conclusion are all elements.

**3.1.7 failure:** The loss of ability of a repair item, equipment, or system to perform a required function. The manifestation of a fault. Within AI-ESTATE models, a manifestation is given by the outcome of a test.

**3.1.8 false alarm:** An indicated fault where no fault exists.

**3.1.9 fault:** A defect or flaw in a hardware or software component.

**3.1.10 fault isolation:** The process of reducing the set of diagnoses in ambiguity to a degree sufficient to undertake an appropriate corrective action.

**3.1.11 fault localization:** The reduction of ambiguity by the application of tests, observations, or other information.

**3.1.12 interoperability:** The ability of two or more systems or elements to exchange information and to use the information that has been exchanged.

**3.1.13 knowledge base:** A combination of structure, data, and function used by reasoning systems.

**3.1.14 level of maintenance:** A level at which test, diagnosis, and repair operates (e.g., maintenance depot, factory, in the field).

**3.1.15 portability:** The capability of being moved between systems.

**3.1.16 protocol:** A set of conventions or rules that govern the interactions of processes or applications within a computer system or network.

**3.1.17 reasoning system:** A system that can combine elements of information and knowledge to draw conclusions.

**3.1.18 replaceable unit:** A collection of one or more parts considered as a single part for the purposes of replacement and repair due.

**3.1.19 resource:** Any capability that is to be scheduled, assigned, or controlled by the underlying implementation to assure nonconflicting usage by processes.

**3.1.20 service:** A software interface providing a means for communicating information between two applications. An action or response initiated by a process (i.e., server) at the request of some other process (i.e., client).

**3.1.21 system:** 1) A collection of interacting, interrelated, or interdependent elements forming a collective, functioning entity; 2) a set of objects or phenomena grouped together for classification or analysis; 3) a collection of hardware or software components necessary for performing a high-level function.

**3.1.22 test:** A set of stimuli, either applied or known, combined with a set of observed responses and criteria for comparing these responses to a known standard.

**3.1.23 test strategy:** An approach taken to combine factors including constraints, goals, and other considerations to be applied to the testing of a system under test.

## 3.2 Acronyms

|           |                                                                           |
|-----------|---------------------------------------------------------------------------|
| AI-ESTATE | Artificial Intelligence Exchange and Service Tie to All Test Environments |
| BIT       | Built-In Test                                                             |
| CEM       | Common Element Model                                                      |
| DCM       | Dynamic Context Model                                                     |
| DIM       | Diagnostic Inference Model                                                |
| EDIM      | Enhanced Diagnostic Inference Model                                       |
| FTM       | Fault Tree Model                                                          |
| SNMP      | Simple Network Management Protocol                                        |
| UTC       | Coordinated Universal Time                                                |

## 4. Description of AI-ESTATE

### 4.1 AI-ESTATE architecture

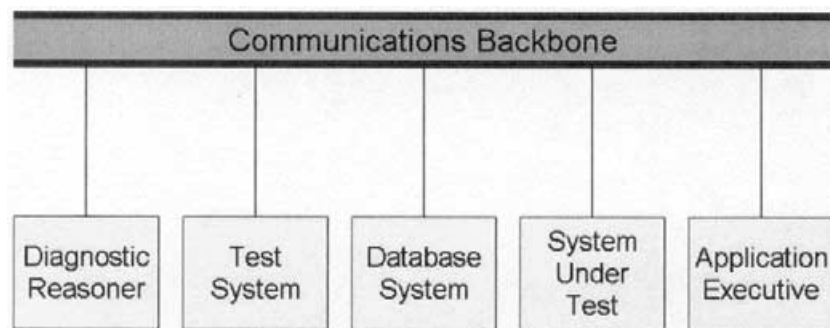
This standard provides the following:

- Overview of the AI-ESTATE architecture
- Formal definition of diagnostic models for systems under test
- Formal definition of encapsulated software services for diagnostic reasoners

AI-ESTATE focuses on two distinct aspects of the stated purpose. The first aspect concerns the need to exchange data and knowledge between conformant systems. Two approaches can be taken to address this need: providing interchangeable files and providing services for retrieving the required data or knowledge through an information management system. AI-ESTATE is structured such that either approach can be used. The second aspect concerns the need for functional elements of an AI-ESTATE conformant system to interact and interoperate. The AI-ESTATE architectural concept provides for the functional elements to communicate with one another via a “communication pathway” as depicted in Figure 2. Essentially, this pathway is an abstraction of the services provided by the functional elements to one another. Thus, the implemented services provide a communication pathway between the reasoner and the rest of the test system.

Services are provided by reasoners to the other functional elements of an AI-ESTATE conformant system. Reasoners can include (but are not necessarily limited to) diagnostic systems, test sequencers, maintenance data feedback analyzers, intelligent user interfaces, and intelligent test programs. AI-ESTATE will not specify services between functional elements that do not incorporate artificial intelligence capabilities. Thus, services are provided by a reasoner to the test system, the human presentation system, a maintenance data/knowledge collection system, and possibly the system under test. The reasoner shall use services provided by these other systems as required. Note that these services shall not be specified by the AI-ESTATE standard.

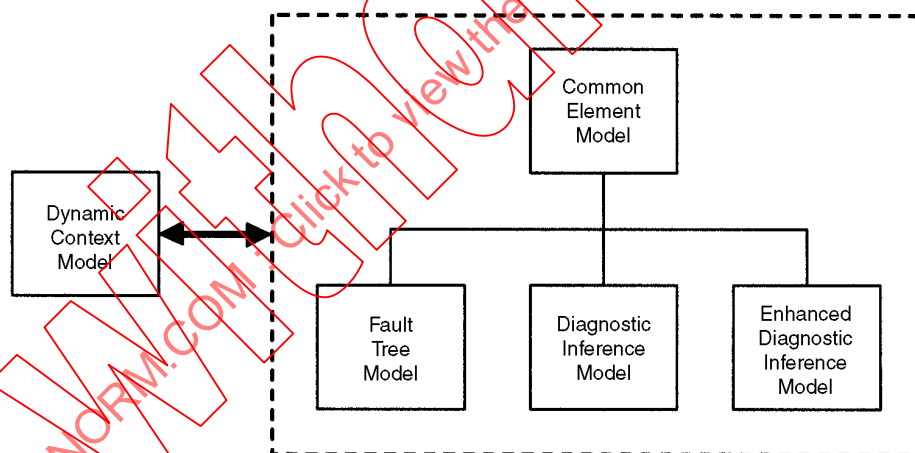
Data interchange formats are specified to provide a means for exchanging knowledge bases between conformant systems without the need to apply an information management system. Recognizing that



**Figure 2—AI-ESTATE architectural concept**

some applications may provide services for extracting required data or knowledge, services are specified to permit an application to query the diagnostic system for this purpose.

This standard facilitates the use of standard representations of diagnostic data and knowledge within the context of an AI-ESTATE implementation. In specifying data and knowledge for these domains, a structure has been constructed, as shown in Figure 3. At the top level is the Common Element Model that specifies elements common to the AI-ESTATE domain of equipment test and diagnosis in its entirety. Examples of common element constructs are diagnosis (diagnostic conclusions about the system under test), repair\_item (the physical entity being repaired), resource, and test. These constructs are characterized by attributes such as costs and failure rates, which are also specified in the Common Element Model.

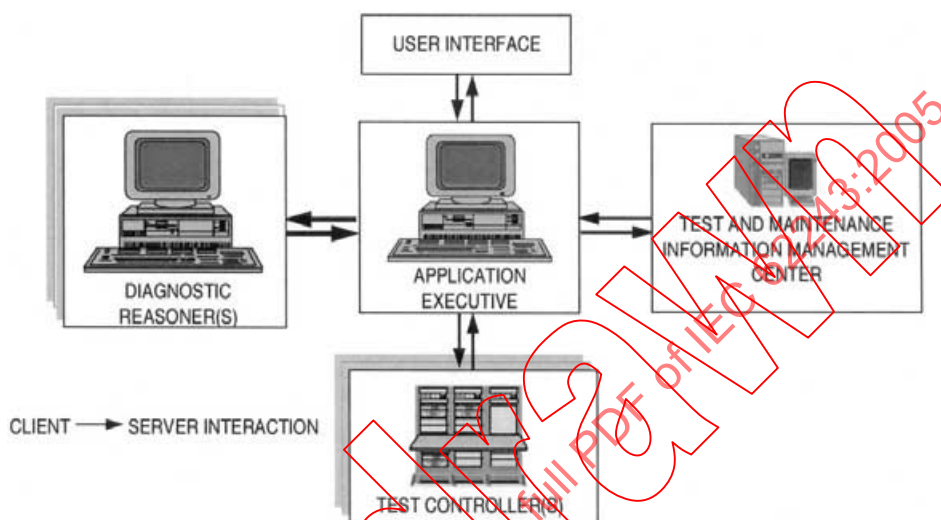


**Figure 3—Hierarchical structure of AI-ESTATE models**

Below this top layer is a layer of application-specific data and knowledge formats (i.e., the Diagnostic Inference Model, the Enhanced Diagnostic Inference Model, and the Fault Tree Model). These models take advantage of the constructs in the Common Element Model and tailor the constructs to the application's particular reasoning requirements.

Other data and knowledge specification formats are envisioned and will be included in future revisions of this standard. Examples include a constraint-based model, a Bayesian network model, and a neural network model. The Common Element Model has been specified such that other data and knowledge specification formats can also utilize its constructs as base elements that are tailored to the particular application's needs.

This standard also defines the encapsulated services to be provided by a diagnostic reasoner in an AI-ESTATE conformant implementation. All of the basic services are defined relative to the entities and attributes of the information models. These services can be thought of as reasoner responses to client requests from the other components of the system architecture, which include the human presentation (or user interface) system, the maintenance data/knowledge collection (or information management) system, other reasoners, and test controller(s), as shown in Figure 4. As can be seen in Figure 4, each of the elements that interface with the reasoner will provide their own set of encapsulated services to their respective clients, but those service definitions are beyond the scope of this document.



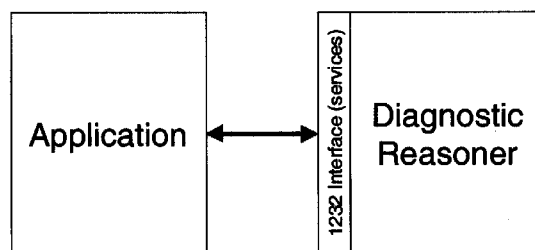
**Figure 4—AI-ESTATE client server view**

The definition of these services was performed by first creating accessor services for the static information models. As the specification of these services became clearer, it became apparent that to define useful services adequately for an active diagnostic reasoner new entities would have to be defined. These entities represent the context within which reasoning is performed and thereby maintain the state of the diagnostic process. Thus evolved the Dynamic Context Model as specified in 5.3 of this standard. Model management and reasoner manipulation services are defined in Clause 6 to provide a more manageable interface for interacting with the reasoner.

The definition of encapsulated services provides a means for hiding the details of any particular reasoner implementation. Such services encompass an *abstraction* of that behavior which is common to all diagnostic reasoners, regardless of implementation details. Therefore, it is the mechanism of encapsulation that provides for the interchangeability of diagnostic reasoners within an AI-ESTATE conformant system.

It should be noted that to be conformant, a reasoner implementation should provide, at the least, a status indicator (see 4.5) as a response to any service request defined by this specification. The diagnostic reasoner shown in Figure 5 becomes AI-ESTATE conformant when it provides the services specified by this standard to the application executive client.

In defining the behavior of the diagnostic reasoner, it is reasonable to expect applications to be developed whereby multiple models are used by a single reasoner or multiple reasoners interact. For the latter, it is assumed that the application executive will be responsible for managing these reasoners and reconciling information provided by the reasoners. For the single reasoner, it is assumed that the reasoner will manage the models and that this management will be based on definition of a consistent



**Figure 5—IEEE Std 1232-2002 interface layer**

name-space with proper scoping defined by the models' contexts. For reasoners, responsible for merging information in multiple models (or for editing tools that merge models), it is assumed that such a merger will be conducted either through low-level model management services or through some proprietary mechanism. This standard does not define how model merging is to be accomplished, and no specific merger service is provided.

## 4.2 Interchange format

AI-ESTATE models are intended to facilitate data interchange in the context of test and diagnosis. The data interchange format will permit exchange of diagnostic models, using a neutral standard format, thus providing portability of diagnostic knowledge across applications. The interchange format used for the models defined in this standard is derived from ISO 10303-21:2002. Given this exchange structure, it is assumed that one and only one model will be contained in any given file.

The version of this standard used shall be noted in the header of the exchange file, e.g., IEEE Std 1232-2002.

## 4.3 Binding strategy

The intent of the binding strategy is to guide software developers in the creation of a binding layer that will expose an interface that matches the interface of the AI-ESTATE services as they are specified in this standard. The binding layer will thus insulate the application and the diagnostic reasoner from any non-AI-ESTATE details such as connectivity technology, memory management, etc.

An AI-ESTATE software system will consist of at least two components—the application and a diagnostic reasoner. The diagnostic reasoner will present an interface conformant to IEEE Std 1232-2002; the application will use AI-ESTATE services as needed by calls to this interface.

For each AI-ESTATE service there will be a corresponding function in the binding layer that will be written in the implementation language. The interfaces provided by the functions should correspond exactly to the interfaces of the services they implement (or as closely as possible given the constraints of the implementation language). All other details should be hidden from the client. This implies that the binding layer provides data-type definitions that correspond to the types specified in this standard.

The application and diagnostic reasoner programs can be written in different languages as long as the translation is handled transparently to the two programs, i.e., in the binding layer or lower. When publishing the interface, it is recommended that documentation of traceability of the elements of the interface to the services specified in the standard be provided.

For example, consider the `get_name` service as specified in EXPRESS:

```
FUNCTION get_name
  (ID_entity : entity_id):
    name_type;
END_FUNCTION;
```

It has the name `get_name`, accepts one argument of `entity_id`, and returns a `name_type`. The declaration of a corresponding binding function written in C would be

```
name_type get_name (entity_id ID_entity);
```

This might exist in a C header file and would provide the client code with an interface corresponding exactly to that of the EXPRESS form. For example,

```
name_type get_name (entity_id ID_entity)
{
  name_type name;
  :
  name = ...; /* Could be a call to a function in the */
              /* server-side binding layer. */
  :
  return name;
}
```

The following C data types could be defined to correspond to the AI-ESTATE types:

```
typedef char*   name_type;
typedef void*   entity_id;
```

For pure object-oriented languages such as Java™, the interface shall be presented as methods in objects. It is suggested that the information model be used to start building the class hierarchy.

## 4.4 Extensibility

### 4.4.1 Extending information models

The data and knowledge specification should be sufficiently flexible to allow for differences in the common tools that might use it, yet also remain formal and rigid enough to support porting of an AI-ESTATE exchange file to any AI-ESTATE conformant application. This flexibility is achieved by using the EXTEND schema. In general, an extended schema will not be usable by other AI-ESTATE conformant applications. In applying the EXTEND schema, the following rules shall apply:

- a) An IEEE Std 1232-2002 information model can be extended for any single model, hereafter referred to as the *extended model*, by inclusion of one or more schemata that define all entities used in the extended model. The schemata shall be legal EXPRESS and shall be syntactically and semantically correct. Further, extensions shall not be used to rename or redefine data in the standard schemata.
- b) Data contained in a model definition with an EXTEND schema shall include only entity types that are declared in IEEE Std 1232-2002, or are legal, previously defined extension entities, as defined in subsequent requirements.
- c) An EXTEND schema entity shall be declared to be a subtype of an entity defined in IEEE Std 1232-2002 or a previously defined EXTEND schema entity.

- d) A model defined with EXTEND schemata shall not cause an application to reach an invalid conclusion when processing the model with the extended entities removed. In other words, any conclusion drawn by a conformant reasoner using an extended model without the extended entities will be consistent with the information contained in the nonextended model. Further, consistency cannot be achieved through extension.
- e) All schema, entity, type, function, and procedure identifiers declared within the EXTEND schema shall begin with the prefix “extend\_.”
- f) The EXTEND schema shall use the EXPRESS reference interface specification, “REFERENCE FROM,” to allow visibility of the entities within the EXTEND schema.

The following is an example of EXTEND schema:

```

SCHEMA extend_schema_a;

REFERENCE FROM common_element_model (outcome, cost);

TYPE extend_attribute_type1 = STRING;
END_TYPE;

TYPE extend_attribute_type2 = REAL;
END_TYPE;

ENTITY extend_ent_a
    SUBTYPE OF (cost);
    att1 : extend_attribute_type1;
    att2 : extend_attribute_type2;
END_ENTITY;

ENTITY extend_ent_b
    SUPERTYPE OF (extend_ent_c);
    SUBTYPE OF (outcome);
    att3 : extend_attribute_type1;
END_ENTITY;

ENTITY extend_ent_c
    SUBTYPE OF (extend_ent_b);
    att4 : extend_attribute_type1;
    att5 : SET OF [0:?] cost;
END_ENTITY;

END_SCHEMA;

```

#### 4.4.2 Extending services

Any application can provide services beyond those defined in this standard; however, such services will not be recognized as conforming to the standard.

#### 4.5 Status codes

An AI-ESTATE diagnostic reasoner shall provide a means for its clients to determine the success or failure of service requests. This shall be implemented by means of the exception

entity within the Dynamic Context Model. This entity will assume one of the following mnemonic values:

```
Operation_completed_successfully
Nonexistent_data_element_requested
Missing_or_invalid_argument
Operation_out_of_sequence
Invalid_model_schema
Service_not_available
Unknown_exception_raised
```

The actual mapping will be provided by the implementation.

## 4.6 Conformance

This subclause defines the requirements for conformance with IEEE Std 1232-2002. It defines the minimum capabilities that are required and what subsets and extensions are allowed. This standard specifies minimal conformance for IEEE Std 1232-2002 conformant model development systems and IEEE Std 1232-2002 conformant application runtime systems. It is expected that actual implementations will conform at levels beyond the minimal levels.

Extensions to the information models are only allowed through the facility of the EXTEND schema. An EXTEND schema shall conform to the formal EXPRESS syntax and semantics found in ISO 10303-11:1994. These extensions shall be clearly identified as specified in 4.4 and should be submitted to the IEEE D & MC subcommittee. An implementation may extend the data and knowledge specification defined in this and subsequent clauses provided that the implementation clearly identifies what extensions have been implemented.

For an application to be IEEE Std 1232-2002 conformant, the specific elements of the standard to which the application conforms shall be specified and published using the matrix in Table 1. Minimal requirements for filling out this matrix are provided in 4.6.1 and 4.6.2.

Core model elements are those elements in the information model that exist as required attributes of some other required entity within the information model. Enhanced model elements are those elements in the information model that exist as optional attributes of required entities or as any kind of attribute of optional entities within the information model. Core model management services are those services that manipulate core entities in the information models. Enhanced model management services are those services that manipulate enhanced entities in the information model. Core reasoner

**Table 1—Conformance matrix format**

|                      |                                         | CEM | FTM | DIM | EDIM | DCM |
|----------------------|-----------------------------------------|-----|-----|-----|------|-----|
| Model exchange       | Read core model elements                |     |     |     |      |     |
|                      | Write core model elements               |     |     |     |      |     |
|                      | Read enhanced model elements            |     |     |     |      |     |
|                      | Write enhanced model elements           |     |     |     |      |     |
| Application services | Core model management services          |     |     |     |      |     |
|                      | Enhanced model management services      |     |     |     |      |     |
|                      | Core reasoner manipulation services     |     |     |     |      |     |
|                      | Enhanced reasoner manipulation services |     |     |     |      |     |

services are those reasoner manipulation services listed within the standard as “required,” and enhanced reasoner services are those reasoner manipulation services listed within this standard as “optional.”

To claim conformance to core model element exchange, at a minimum the core models shall include at least one of the FTM, DIM, EDIM, or DCM *in addition to* the CEM.

To claim conformance to core model management services, at a minimum the core services shall include at least those for one of the FTM, DIM, EDIM, or DCM *in addition to* those for the CEM.

To claim conformance to enhanced model element exchange, the corresponding core model exchange conformance shall also be claimed. When claiming conformance to enhanced model exchange, the specific enhanced elements shall be listed.

To claim conformance to reasoner manipulation services, one indicates conformance under the DCM.

To claim conformance to the enhanced services, the corresponding core service conformance shall also be claimed. When claiming conformance to enhanced services, the specific services shall be listed.

Note that certain services that operate on elements of the DCM depend on the reasoner being in the proper state and may depend on other services having been performed first. State dependence tables are provided in 4.7 (Table 4 and Table 5).

#### 4.6.1 Minimal model development conformance

To claim minimal conformance to IEEE Std 1232-2002 for model development, a conformance matrix containing at least the following shall be provided, as shown in Table 2.

**Table 2—Sample conformance model matrix**

|                                    | CEM                          | FTM                                                          | DIM | EDIM |
|------------------------------------|------------------------------|--------------------------------------------------------------|-----|------|
| Read/write core model elements     | All model elements           | All model elements for at least one model shall be specified |     |      |
| Read/write enhanced model elements | Specify which model elements | N/A <sup>a</sup>                                             |     |      |

<sup>a</sup>Not applicable.

#### 4.6.2 Minimal runtime environment conformance

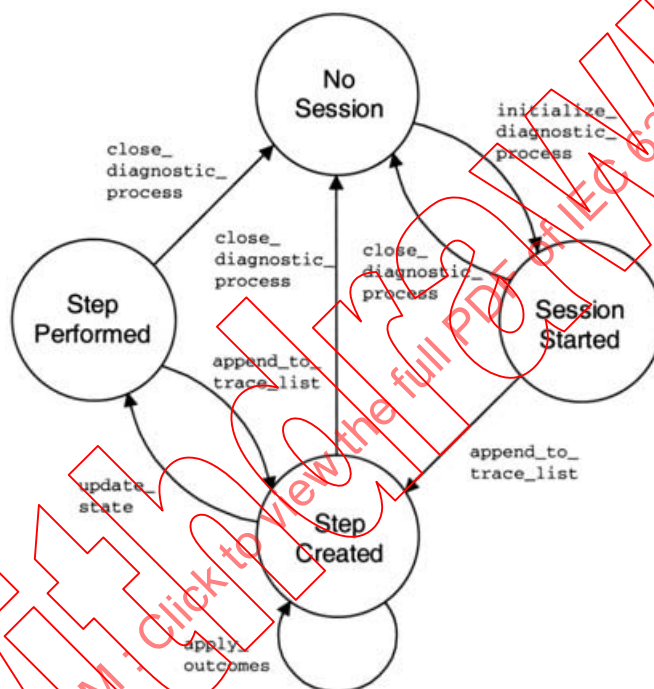
To claim minimal conformance to IEEE Std 1232-2002 for the application runtime environment, a conformance matrix containing at least the items listed in Table 3 shall be provided.

### 4.7 Service order dependence

The basic execution model for an AI-ESTATE conformant diagnostic reasoner assumes the ability to manipulate the Dynamic Context Model (DCM) to maintain reasoner state and follows the high-level state diagram shown in Figure 6.

**Table 3—Sample runtime conformance matrix format**

|                                         | CEM                    | FTM                                                    | DIM                    | EDIM                   | DCM                    |
|-----------------------------------------|------------------------|--------------------------------------------------------|------------------------|------------------------|------------------------|
| Core model management services          | All services           | All services for at least one model shall be specified |                        |                        | All services           |
| Enhanced model management services      | Specify which services | N/A <sup>a</sup>                                       | Specify which services | Specify which services | Specify which services |
| Core reasoner manipulation services     | N/A <sup>a</sup>       |                                                        |                        |                        | All services           |
| Enhanced reasoner manipulation services | N/A <sup>a</sup>       |                                                        |                        |                        | Specify which services |

<sup>a</sup>Not applicable.**Figure 6—Execution model for an AI-ESTATE conformant diagnostic reasoner**

Initially, the reasoner will be in the state indicated by “No Session.” From this state, a process is started wherein a session within the DCM is created. Upon initiation of the process, the state transitions to “Session Started.” At this point, for reasoning to occur, the reasoner creates a step within the DCM and attaches that step to the session. The state then transitions to “Step Created.” From this state, tests are selected, sent to the test system or monitored from a test system, and test information is captured. Once the test information is captured, the resulting test outcomes are applied and the state of the DCM is updated to reflect any inferences drawn. At the same time, the state transitions to “Step Performed.” If additional testing is required, another step is created, and the state transitions back to “Step Created.” Otherwise, the session and diagnostic process can be closed, and the state returns to “No Session.” Note that at any point in the process, the session can be closed with the state transitioning directly to “No Session.”

Throughout a diagnostic session, the current step in the session shall be identified as the last instantiated step in that session. All services related to the DCM are executed within the context of the associated session. For a given reasoner, one and only one DCM can be instantiated at a time.

The availability of services is dependent on the reasoner state at any given time. For instance, while reasoning one cannot alter models other than the DCM. The definition of legal states and order dependence of the services defined within this standard are given in the service dependence matrix, shown in Table 4 and Table 5.

**Table 4—Core model (CEM, FTM, DIM, EDIM) management services**

| Service                                             | State                    | Subclause | Note |
|-----------------------------------------------------|--------------------------|-----------|------|
| create_(entitytype)                                 | no-session               | 6.1.1     |      |
| delete (ID_(entitytype) : entity_id )               | no-session               | 6.1.2     |      |
| get_(attributename)                                 | all                      | 6.1.3     |      |
| put_(attributename)                                 | no-session               | 6.1.4     |      |
| get_(attribute_name)_count (ID_entity : entity_id)  | no-session               | 6.1.5     |      |
| does_(attribute_name)_exist (ID_entity : entity_id) | no-session               | 6.1.6     |      |
| get_nth_(attribute_name)                            | all                      | 6.1.7.1   |      |
| get_last_(attribute_name)                           | all                      | 6.1.7.2   |      |
| put_nth_(attribute_name)                            | no-session               | 6.1.7.3   |      |
| put_last_(attribute_name)                           | no-session               | 6.1.7.4   |      |
| insert_in_(attributename)_list                      | ~no-session <sup>a</sup> | 6.1.8.1   |      |
| append_to_(attributename)_list                      | ~no-session <sup>a</sup> | 6.1.8.2   |      |
| remove_from_(attributename)_list                    | ~no-session <sup>a</sup> | 6.1.8.3   |      |
| Add_to_(attributename)_set                          | no-session               | 6.1.9.1   |      |
| remove_from_(attributename)_set                     | no-session               | 6.1.9.2   |      |
| get_type (attributename )                           | all                      | 6.1.10    |      |

<sup>a</sup>The designation “~no-session” means all states other than “no-session”.

**Table 5—Dynamic core model management services. A state dependence column is omitted since all services are available in all states**

| Service                                             | Subclause |
|-----------------------------------------------------|-----------|
| create_(entitytype)                                 | 6.1.1     |
| delete (ID_(entitytype) : entity_id)                | 6.1.2     |
| get_(attributename)                                 | 6.1.3     |
| put_(attributename)                                 | 6.1.4     |
| get_(attribute_name)_count (ID_entity : entity_id)  | 6.1.5     |
| does_(attribute_name)_exist (ID_entity : entity_id) | 6.1.6     |
| get_nth_(attribute_name)                            | 6.1.7.1   |
| get_last_(attribute_name)                           | 6.1.7.2   |
| put_nth_(attribute_name)                            | 6.1.7.3   |
| put_last_(attribute_name)                           | 6.1.7.4   |
| insert_in_(attributename)_list                      | 6.1.8.1   |
| append_to_(attributename)_list                      | 6.1.8.2   |
| remove_from_(attributename)_list                    | 6.1.8.3   |
| add_to_(attributename)_set                          | 6.1.9.1   |
| remove_from_(attributename)_set                     | 6.1.9.2   |
| get_type (attributename)                            | 6.1.10    |

## 5. Models

This clause contains the specifications for all of the information models used within an AI-ESTATE framework. Each of the models is defined using EXPRESS. A brief overview of EXPRESS and EXPRESS-G can be found in Annex B of this standard.

(\* EXPRESS Specification starts here. \*)

(\*

### 5.1 Common Element Model

The Common Element Model permits the definition of the form and relationships of systems under test and tests at their most basic level. The diagnosis entity corresponds to a diagnostic conclusion about the system under test. Tests are represented as test entities within the model.

EXPRESS specification:

\*)

SCHEMA AI\_ESTATE\_COMMON\_ELEMENT\_MODEL;

(\*

#### 5.1.1 Constant

EXPRESS specification:

\*)

CONSTANT

no\_fault :name\_type:= 'No Fault';

END\_CONSTANT;

(\*

#### 5.1.2 bound

This defines a type for specifying upper and lower bounds for numeric-based entities.

EXPRESS specification:

\*)

TYPE bound = REAL;

END\_TYPE;

(\*

#### 5.1.3 confidence\_value

This defines a type for specifying “default” confidence values. For most uncertainty-based systems, default confidence is typically taken to be some number near 1.0.

EXPRESS specification:

```
*)
  TYPE confidence_value = REAL;
  WHERE
    range      : (0.0 <= SELF) AND (SELF <= 1.0);
  END_TYPE;
(*
```

Formal propositions:

range : The range of legal values for confidence is restricted, and the actual value is restricted to lie within this legal range.

#### 5.1.4 cost\_value

This defines a type for associating predicted values with a numeric attribute.

EXPRESS specification:

```
*)
  TYPE cost_value = REAL;
  END_TYPE;
(*
```

#### 5.1.5 description\_type

This type defines means for associating descriptive text with an entity within the model.

EXPRESS specification:

```
*)
  TYPE description_type = STRING;
  END_TYPE;
(*
```

#### 5.1.6 name\_type

This type defines a means for associating an identifying name with an entity in the model.

EXPRESS specification:

```
*)
  TYPE name_type = STRING;
  END_TYPE;
(*
```

### 5.1.7 non\_negative\_integer

#### EXPRESS specification:

```
*)
  TYPE non_negative_integer = INTEGER;
  WHERE
    non_negative: (SELF >= 0);
  END_TYPE;
(*
```

#### Formal propositions:

non\_negative : Ensures that the value is not less than zero.

### 5.1.8 cost\_type

This defines a type for categorizing a cost attribute used to optimize the diagnostic process.

#### EXPRESS specification:

```
*)
  TYPE cost_type = ENUMERATION OF
    (USER_DEFINED_COST,
     PERFORMANCE,
     SETUP,
     ACCESS,
     REENTRY);
  END_TYPE;
(*
```

### 5.1.9 diagnosis\_value

This defines a type that enables specification of the type of outcome associated with a diagnosis. For a particular diagnosis, the set of outcomes shall include, at a minimum, GOOD and CANDIDATE. Further, no more than one of each is permitted.

#### EXPRESS specification:

```
*)
  TYPE diagnosis_value = ENUMERATION OF
    (GOOD,
     CANDIDATE,
     USER_DEFINED_DIAGNOSIS_OUTCOME,
     DIAGNOSIS_NOT_KNOWN);
  END_TYPE;
(*
```

### 5.1.10 goal

Type goal defines an enumeration of possible optimization criteria used to guide diagnosis. Example criteria include minimum cost, minimum expected time, and minimum number of tests.

EXPRESS specification:

```
*)
  TYPE goal = ENUMERATION OF
    (MINIMUM_TESTS,
     MINIMUM_NON_TIME_COST,
     MINIMUM_TIME_COST,
     MINIMUM_EXPECTED_NON_TIME_COST,
     MINIMUM_EXPECTED_TIME_COST,
     USER_DEFINED_OPTIMIZATION);
  END_TYPE;
(*
```

### 5.1.11 non\_time\_unit

This type defines legal units for non-time-related costs. Non-time costs are typically incurred in terms of monetary amounts; however, the user-defined value allows for other types of non-time costs to be included.

EXPRESS specification:

```
*)
  TYPE non_time_unit = ENUMERATION OF
    (USER_DEFINED_NON_TIME,
     U_S_DOLLAR,
     POUND_STERLING,
     YEN,
     EURO,
     COUNT);
  END_TYPE;
(*
```

### 5.1.12 role

This type defines the role associated with a purpose in the required context of a hierarchical element.

EXPRESS specification:

```
*)
  TYPE role = ENUMERATION OF
    (TRAINING,
     VERIFICATION,
     SCHEDULED_MAINTENANCE,
     MAINTENANCE_ACTION,
     READY_FOR_ISSUE,
     USER_DEFINED_ROLE);
  END_TYPE;
(*
```

### 5.1.13 severity\_category

Enumerated type `severity_category` is used to assign one of four standard values to the severity attribute. Values assigned are one of CATASTROPHIC, CRITICAL, MARGINAL, or MINOR (in decreasing order of severity). Note that this can be used in conjunction with failure probability information (derived from failure rate) to determine the criticality of a diagnosis.

EXPRESS specification:

```
*)
  TYPE severity_category = ENUMERATION OF
    (CATASTROPHIC,
     CRITICAL,
     MARGINAL,
     MINOR);
  END_TYPE;
(*
```

### 5.1.14 test\_value

Type `test_value` is used to characterize outcomes that are associated with a test.

EXPRESS specification:

```
*)
  TYPE test_value = ENUMERATION OF
    (PASS,
     FAIL,
     TEST_NOT_KNOWN,
     TEST_NOT_AVAILABLE,
     USER_DEFINED_TEST_OUTCOME);
  END_TYPE;
(*
```

### 5.1.15 time\_basis

This type permits specification of the basis for recording time-related information. Specifically, it permits specification of operating time, clock time, or some user-defined version of time.

EXPRESS specification:

```
*)
  TYPE time_basis = ENUMERATION OF
    (NOT_KNOWN,
     OPERATING_TIME,
     CLOCK_TIME,
     USER_DEFINED_BASIS);
  END_TYPE;
(*
```

### 5.1.16 time\_unit

This type defines legal units for time-related costs. The most common units of time (as derived from IEEE Std 716™-1995 [B9], which is referred to as the ATLAS standard) are specified; however, the user-defined value allows for other types of time costs to be included.

#### EXPRESS specification:

```
*)
TYPE time_unit = ENUMERATION OF
  (USER_DEFINED_TIME,
   HOURS,
   MINUTES,
   SECONDS,
   MSEC,
   USEC,
   NSEC,
   PSEC);
END_TYPE;
(*
```

### 5.1.17 action

Entity action is a specific action taken either to test or repair an item. Actions are the primary entities against which costs are associated. Ultimately, the diagnosis and repair processes are concerned with optimizing the sequence of actions required to return a unit to service.

#### EXPRESS specification:

```
*)
ENTITY action;
  has_name : name_type;
  has_description : description_type;
  has_cost : OPTIONAL SET [1:?] OF cost;
  required_resource : OPTIONAL SET [1:?] OF resource;
  action_frequency : OPTIONAL frequency;
  order_constraint : OPTIONAL order_operator;
UNIQUE
  one_name : has_name;
END_ENTITY;
(*
```

#### Attribute definitions:

|                   |                                                                                                                                                           |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| has_name          | : Provides a unique name for the action.                                                                                                                  |
| has_description   | : Provides a description of the action.                                                                                                                   |
| has_cost          | : A set of costs associated with the action represented by this entity; the set is optional but, if specified, shall include at least one cost attribute. |
| required_resource | : Identifies the resources required to perform a given action. This attribute is optional with a minimum cardinality of one, should it exist.             |

`action_frequency` : Specifies, for periodic actions, how frequently a given action needs to be performed.

`order_constraint` : Attribute `order_constraint` identifies an ordering precondition that must be satisfied for a particular action to be performed.

#### 5.1.18 atom

Entity `atom` is a primitive element within the first-order logic. An atom can be either a constant (e.g., `TRUE`, `FALSE`, or some number) or a variable that takes on some value. This is a subtype of `logic_element` and a supertype of one of `variable` or `const`.

##### EXPRESS specification:

```
*)  
  ENTITY atom  
    ABSTRACT SUPERTYPE OF (ONEOF(variable, const))  
    SUBTYPE OF(logic_element);  
  END_ENTITY;  
(*
```

#### 5.1.19 const

A constant within the first-order logic. This is a subtype of `atom` and has an associated value.

##### EXPRESS specification:

```
*)  
  ENTITY const  
    SUBTYPE OF(atom);  
    constant_value : name_type;  
  END_ENTITY;  
(*
```

##### Attribute definitions:

`constant_value` : Specifies the particular value to be taken on by a constant.

#### 5.1.20 cost

Costs are categorized by the type of cost to which they relate. One dimension of the cost set identifies whether the cost is a measure of time in seconds (or some other unit), or if it is a calculated cost. A time-related cost is a measure of the time it takes to perform a task. A non-time-related cost is an expense that is computed, perhaps in financial terms or by an objective function. The second dimension to the cost group is based on the task to which the cost pertains: performance, setup, access, re-entry.

Each cost has an associated unit to enable consistent processing of included values. Two types of units are defined as enumerated types and include `time_unit` and `non_time_unit`. `time_unit` is an enumerated type that defines the time units to be associated with a time-related cost. `non_time_unit`

is an enumerated type covering predominant international currencies and defines types of cost units to be associated with `non_time_related_costs`.

EXPRESS specification:

```
*)
ENTITY cost
  ABSTRACT SUPERTYPE OF (ONEOF(time_cost, non_time_cost));
  cost_element          : OPTIONAL cost_type;
  upper                 : OPTIONAL bound;
  lower                 : OPTIONAL bound;
  predicted_value       : OPTIONAL cost_value;
  criterion              : SET [1:?] OF cost_category;
WHERE
  valid_bound : NOT(EXISTS(upper))
               NOT(EXISTS(lower))
               (lower <= upper);
  valid_upper_bound : NOT(EXISTS(predicted_value))
                     NOT(EXISTS(upper))
                     (predicted_value <= upper);
  valid_lower_bound : NOT(EXISTS(predicted_value))
                     NOT(EXISTS(lower))
                     (lower <= predicted_value);
END_ENTITY;
(*
```

Attribute definitions:

`cost_element` : An attribute that specifies what type of cost is being defined. The type is an enumeration consisting of `user_defined`, `performance`, `setup`, `access`, and `re-entry`. One of these cost types shall be specified.

`upper` : An optional attribute providing the nominal upper bound for the value of the cost entity.

`lower` : An optional attribute providing the nominal lower bound for the value of the cost entity.

`predicted_value` : An attribute providing the nominal, expected, or some other predicted value for the cost entity.

`criterion` : Attribute criterion identifies the cost criterion that will be used to classify the cost parameter. For example, `criterion` can identify skill level as a non-time cost. This attribute is a set because a particular cost item can represent multiple criteria.

Formal propositions:

`valid_bound` : When they exist, the lower and upper attributes are constrained to values such that the value of the lower attribute is less than or equal to the upper attribute. This constraint permits only one of the bounds to exist.

`valid_upper_bound` : When they exist, the `expected_value` and upper attributes are constrained to values such that the value of the `expected_value` attribute is less than or equal to the upper attribute.

`valid_lower_bound` : When they exist, the `expected_value` and lower attributes are constrained to values such that the value of the lower attribute is less than or equal to the `expected_value` attribute.

### 5.1.21 cost\_category

#### EXPRESS specification:

```
*)  
  ENTITY cost_category;  
    description      : description_type;  
    name             : name_type;  
  UNIQUE  
    one_name         : name;  
  END_ENTITY;  
(*
```

#### Attribute definitions:

description : Attribute description is used to provide an elaborated description of what the cost category is.  
name : Attribute name provides a means for identifying the cost category.

### 5.1.22 cost\_rate

In addition to providing basic time and cost units, it may be necessary to associate a cost\_rate with an entity (e.g., resource). The cost\_rate attribute defines a ratio of non-time cost to some unit of time and can be used, e.g., to specify labor rates or rental rates.

#### EXPRESS specification:

```
*)  
  ENTITY cost_rate;  
    time_cost_unit : time_unit;  
    denominator    : cost_value;  
  WHERE  
    denominator_is_non_zero : SELF.denominator <> 0;  
  END_ENTITY;  
(*
```

#### Attribute definitions:

time\_cost\_unit : An attribute to provide the time units for computing the cost rate. In other words, this attribute will provide the time part of cost per unit time.  
denominator : An attribute that provides a divisor for the cost\_rate, thus permitting values other than unity.

#### Formal propositions:

denominator\_is\_non\_zero : Since the cost\_rate entity defines a ratio that permits specification of the denominator, this rule constrains the denominator to be nonzero, thus preventing dividing by zero.

### 5.1.23 diagnosis

Diagnosis can be used for the representation of a lattice of diagnostic conclusions. Typically, a diagnosis consists of failures or fault. The diagnoses can be interconnected in a lattice to classify constituent units, e.g., by function. This construct provides a generalized grouping mechanism for the constituents of the unit under test and their failure modes. The diagnosis lattice resulting from using a structure of these entities shall not be cyclic, in the sense that no diagnosis be an ancestor of itself.

*Example:* A diagnosis might be of system under test #4 (flight computer), consisting of a diagnosis of BOARD #1 (A/D converter), BOARD #2 (CPU), BOARD #3 (Display), etc. A diagnosis of BOARD #3 may consist of diagnoses of CHIP #1, CHIP #2, CHIP #3, and all may be at different levels.

#### EXPRESS specification:

```
*)
ENTITY diagnosis
  SUPERTYPE OF (ONEOF(failure, fault))
  SUBTYPE OF (hierarchical_element);
  has_outcome          : OPTIONAL SET [2:?] OF diagnosis_outcome;
  has_rate              : OPTIONAL failure_rate;
  mechanism             : OPTIONAL SET [1:?] OF failure_mode;
  severity              : OPTIONAL severity_category;
WHERE
  outcomes_required_for_atomic_diagnosis : (SIZEOF(SELF.members) >
    0) OR EXISTS(has_outcome);
  minimal_outcomes : (NOT(EXISTS(has_outcome))) XOR
    ((SIZEOF(QUERY(tmp < * has_outcome
      tmp.standard_diagnosis_value = GOOD)) = 1) AND
    (SIZEOF(QUERY(tmp < * has_outcome
      tmp.standard_diagnosis_value = CANDIDATE)) = 1));
  mechanism_at_leaves : NOT(EXISTS(mechanism)) OR (SIZEOF(members) = 0);
  faults_at_leaves : (NOT('AI_ESTATE_COMMON_ELEMENT_MODEL.fault' IN
    TYPEOF(SELF))) OR
    (SIZEOF(members) = 0);
  children_same_type : QUERY(tmp < * SELF.members
    NOT('AI_ESTATE_COMMON_ELEMENT_MODEL.diagnosis'
    IN TYPEOF(tmp))) = [];
END_ENTITY;
(*
```

#### Attribute definitions:

**has\_outcome** : A set of two or more outcomes that are the expected outcomes of the diagnosis. An outcome is a characterization of the logical value for the corresponding truth or falsity of the diagnosis applying to the current state. This attribute is shown to be optional; however, it is constrained such that it is required if the diagnosis has no member diagnoses. Since diagnosis outcomes are the basis for determining the final conclusions in AI-ESTATE, outcomes shall be available.

NOTE—Diagnoses in the data model are not restricted to two outcomes; any number of outcomes are permitted for a test, but at least two outcomes are required.

|                        |                                                                                                                                                                                                                                                                                                                                                                     |
|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <code>has_rate</code>  | : A value indicating the measure of the expected failure rate of the diagnosis, i.e., the number of failures per time span. This value can be converted to a probability of failure.                                                                                                                                                                                |
| <code>mechanism</code> | : Identifies the failure mode or modes associated with this diagnosis.                                                                                                                                                                                                                                                                                              |
| <code>severity</code>  | : Attribute <code>severity</code> associates a level of severity for the given diagnosis. This attribute is optional since not all models need to use this information. Criticality can be derived by selecting all of the diagnoses at a particular severity level and then ranking them by their failure probabilities, which are derived from the failure rates. |

Formal propositions:

|                                                     |                                                                                                                                                                                                                                                                                                                                                                                                               |
|-----------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <code>outcomes_required_for_atomic_diagnosis</code> | : Determines whether or not outcomes are associated with a diagnosis and requires that an atomic diagnosis (i.e., a diagnosis for which there are no sub-diagnoses) have outcomes. The cardinality on the <code>diagnosis_outcome</code> set ensures that, should outcomes exist, there are at least two of them. Note that nonatomic diagnoses are permitted, but not required, to have diagnostic outcomes. |
| <code>minimal_outcomes</code>                       | : Requires that either the set of outcomes not be defined, or that the set of outcomes include, at a minimum, exactly one outcome of value <code>GOOD</code> and exactly one outcome of value <code>CANDIDATE</code> .                                                                                                                                                                                        |
| <code>mechanism_at_leaves</code>                    | : This rule ensures that a mechanism (i.e., a cause) for a given diagnosis to be true only occurs at the leaf of the diagnosis lattice. Note that the leaf is not required to provide the mechanism.                                                                                                                                                                                                          |
| <code>faults_at_leaves</code>                       | : This rule ensures that a diagnosis of type <code>fault</code> can only exist at the leaf of the diagnosis lattice. Note that the leaf of the lattice is not required to be of type <code>fault</code> .                                                                                                                                                                                                     |
| <code>children_same_type</code>                     | : Ensures that all children (i.e., members) of a diagnosis are also of type <code>diagnosis</code> .                                                                                                                                                                                                                                                                                                          |

#### 5.1.24 diagnosis\_outcome

Entity `diagnosis_outcome` is a set of outcomes associated with any diagnosis in a model. Diagnosis outcomes associate discrete values to ranges of states in the diagnosis definition and form the basis for the diagnostic process. For any given diagnosis in an AI-ESTATE model, at least two outcomes shall be defined. Thus, at a minimum, outcomes corresponding, e.g., to good/candidate should be provided with a given specification. At times more than two outcomes may be appropriate, e.g., good/suspect/candidate/bad.

EXPRESS specification:

```

*)
  ENTITY diagnosis_outcome
    SUBTYPE OF (outcome);
    standard_diagnosis_value      : diagnosis_value;
  INVERSE
    for_diagnosis                 : diagnosis FOR has_outcome;
  END_ENTITY;
( *

```

Attribute definitions:

standard\_diagnosis\_value : This attribute identifies whether the outcome is a standard value from the perspective of traditional diagnostic outcomes. In most model-based systems, the standard values are GOOD and CANDIDATE. A third value, USER\_DEFINED\_DIAGNOSIS\_OUTCOME, is used to indicate whether additional outcomes have been defined.

for\_diagnosis : Links the outcome to the specific diagnosis with that outcome.

### 5.1.25 diagnostic\_model

Diagnostic reasoning involves drawing conclusions from test outcomes. The relationships between test outcomes and diagnostic conclusions are defined in diagnostic models. A diagnostic model is a collection of entities that provide information about the system under test. A diagnostic\_model refers to some AI-ESTATE conformant model as specified in this standard. Entities from the Common Element Model are referenced as required by those diagnostic models.

EXPRESS specification:

```

*)
  ENTITY diagnostic_model;
    name                : name_type;
    description          : description_type;
    model_element        : SET [3:?] OF hierarchical_element;
    orders_steps         : OPTIONAL SET [1:?] OF order_operator;
  UNIQUE
    one_name : name;
  WHERE
    one_diagnosis_is_no_fault : (SIZEOF(QUERY(tmp <* model_element |
                                          tmp.name = 'no_fault')) = 1);
    element_is_rollup : element_rollup(SELF,SELF.model_element);
  END_ENTITY;
( *

```

Attribute definitions:

|               |                                                                                                                                                                                                                                  |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| name          | : An attribute used to identify the entity uniquely.                                                                                                                                                                             |
| description   | : Attribute used to provide an elaborated explanation of the model.                                                                                                                                                              |
| model_element | : An attribute to identify the various model elements in a diagnostic model.<br>Model elements are any of the hierarchical elements as defined by the information model (i.e., tests, diagnoses, repairs, resources, functions). |
| orders_steps  | : This attribute identifies an optional set of ordering operators to override any optimization process that may be applied for diagnosis.                                                                                        |

Formal propositions:

|                           |                                                                                                                                                                                                                                                                        |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| one_diagnosis_is_no_fault | : This rule verifies that one of the diagnosis entities defined in the model has a name that corresponds to the constant no_fault. This rule ensures that any AI-ESTATE conformant model explicitly defines a special diagnostic conclusion indicating no fault found. |
| element_is_rollup         | : This rule verifies that all of the hierarchical elements referenced at this level are defined as being part of this model. There is a companion rule for the hierarchical elements to ensure that they are listed in the rollup at this level.                       |

#### 5.1.26 failure

A failure is a manifestation of a fault within a system. When considering a functional model, it is the failure of the system under test to perform some intended function.

EXPRESS specification:

```
*)  
  ENTITY failure  
    SUBTYPE OF (diagnosis);  
    failed_item : OPTIONAL func;  
  END_ENTITY;  
(*
```

Attribute definitions:

|             |                                                                                                                                                            |
|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| failed_item | : Identifies the specific element (i.e., function) that has failed. This is a direct tie to the functional part of the system associated with a diagnosis. |
|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|

#### 5.1.27 failure\_mode

A failure mode is a specific mode or means by which a unit or system can fail. The specific failure mode is associated, first with the diagnosis, then (by way of the appropriate subtype) to the item that has failed.

EXPRESS specification:

```
*)
  ENTITY failure_mode;
    name                : name_type;
    description          : description_type;
  UNIQUE
    one_name : name;
  END_ENTITY;
(*
```

Attribute definitions:

name : Used to identify the failure mode uniquely.  
description : Attribute used to provide an elaborated explanation of what the failure mode is.

### 5.1.28 failure\_rate

This entity indicates the rate of occurrence of a diagnosis (including its subtypes fault and failure). The failure\_rate can either be an actual observed value or an estimate. To specify failure\_rate, a time\_basis shall be defined. Time\_basis is used in the context of determining the computational basis for failure rate statistics.

EXPRESS specification:

```
*)
  ENTITY failure_rate;
    description : description_type;
    basis       : time_basis;
  END_ENTITY;
(*
```

Attribute definitions:

description : Attribute used to provide an elaborated explanation of the failure rate.  
basis : An attribute to define the basis for computing the failure rate. In particular, this attribute identifies if the failure\_rate is computed based on operation time or clock time.

### 5.1.29 fault

A fault is the physical cause of anomalous behavior within a system. A fault typically corresponds to some physical breakdown in the system under test.

EXPRESS specification:

```
*)
  ENTITY fault
    SUBTYPE OF (diagnosis);
    failed_item : OPTIONAL repair_item;
  END_ENTITY;
(*
```

Attribute definitions:

**failed\_item** : Identifies the specific element (i.e., repair item) that has failed. This is a direct tie to the physical part of the system associated with a diagnosis.

### 5.1.30 frequency

Frequency is the rate (i.e., the number of times something occurs per unit time).

EXPRESS specification:

```
*)  
  ENTITY frequency;  
    time_span      : time_cost;  
    action_count   : non_negative_integer;  
  END_ENTITY;  
(*
```

Attribute definitions:

**time\_span** : Attribute **time\_span** identifies the span of time between periodic actions. While this attribute is of type **time\_cost** note that the semantics of this type are identical to a time-related entity.

**action\_count** : Attribute **action\_count** identifies the number of times in a time span the action is performed.

### 5.1.31 func

A function corresponds to any “functional behavior” represented within a system. The **func** entity is intended to provide a placeholder for functional information in the event the diagnostic or testability model is function-oriented. Note the type of entity cannot be “function” since this is a reserved word in EXPRESS.

EXPRESS specification:

```
*)  
  ENTITY func  
    SUBTYPE OF (hierarchical_element);  
  
  INVERSE  
    implemented_by      : SET [1:?] OF repair_item FOR includes_function;  
  WHERE  
    children_same_type  : QUERY (tmp <* SELF.members  
                                NOT ('AI_ESTATE_COMMON_ELEMENT_MODEL.func'  
                                IN TYPEOF (tmp))) = [] ;  
  
  END_ENTITY;  
(*
```

Attribute definitions:

**implemented\_by** : This attribute identifies the repair item or items used to implement a particular function within a system.

### Formal propositions:

children\_same\_type : Ensures any children (i.e., members) of func are of type func.

### **5.1.32 hierarchical\_element**

A hierarchical element is an abstract entity containing a name, description, and associated lattice structure. It is intended to roll up common attributes of entities such as test, diagnosis, repair\_item, func, and resource.

### EXPRESS specification:

```
*)
ENTITY hierarchical_element
  ABSTRACT SUPERTYPE OF (ONEOF(diagnosis, repair, resource, test, func,
                                repair_item));
  name           : name_type;
  description    : description_type;
  members       : SET OF hierarchical_element;
  at_indenture_level : OPTIONAL level;
  must_occur_in  : SET OF required_context;
  INVERSE
    part_of_model : SET [1:?] OF diagnostic_model FOR model_element;
    parents       : SET OF hierarchical_element FOR members;
  UNIQUE
    one_name : name;
  WHERE
    graph_is_acyclic : element_dag(members, parents);
    parent_level_consistent : ((NOT(EXISTS(at_indenture_level))) AND
                                (SIZEOF(QUERY(tmp <* parents | EXISTS(at_indenture_level))) =
                                0)) XOR
                                (SIZEOF(QUERY(tmp <* parents
                                |
                                (SELF.at_indenture_level = tmp.at_indenture_level)
                                OR
                                (SELF.at_indenture_level.predecessor
                                tmp.at_indenture_level)))
                                =
                                SIZEOF(SELF.parents)));
  END_ENTITY;
(*
```

### Attribute definitions:

|                    |                                                                                                                                                                                     |
|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| name               | : An attribute used to identify uniquely the particular hierarchical element.                                                                                                       |
| description        | : Attribute used to provide an elaborated explanation of what the hierarchical element is.                                                                                          |
| members            | : The set of constituent elements of which this entity is composed. This attribute is required but the set can be empty (e.g., if the element is at the lowest level of indenture). |
| at_indenture_level | : Identifies the specific level of indenture for a particular hierarchical element.                                                                                                 |
| must_occur_in      | : Attribute must_occur_in provides a list of context items required for a hierarchical element to be considered. This attribute                                                     |

is defined to be a set. If the set is empty, then the corresponding hierarchical element is available in all contexts.

`part_of_model` : A pointer to a set of models that reference a given hierarchical element. This attribute provides a mechanism for linking models based on hierarchical elements identified during the diagnostic process.

`parents` : The set of elements that have the current element as a member. This attribute is required but the set can be empty (e.g., if the element is at the highest level of indenture).

Formal propositions:

`graph_is_acyclic` : The structure of `hierarchical_element` is referred to as a *lattice* and corresponds to a directed acyclic graph. In other words, traversing the member relationships from a `hierarchical_element` should not result in returning to the same `hierarchical_element`. This constraint is verified by using the function `element_dag`, which traverses the `hierarchical_element` structure.

`parent_level_consistent` : This proposition ensures that either no indenture levels exist from the hierarchy or that the indenture levels are consistent. Specifically, the constraint is imposed that the level of the parent elements are the same as the current element or are predecessors to the current element.

### 5.1.33 level

This entity refers to the level of indenture for a particular hierarchical element. This defines a common level for purposes of constraining diagnosis or analysis for testability and diagnosability assessment. A total order of levels is defined for a particular model/system.

EXPRESS specification:

```
*)
ENTITY level;
  name          : name_type;
  description    : description_type;
  successor      : OPTIONAL level;
INVERSE
  predecessor    : level FOR successor;
UNIQUE
  one_name       : name;
WHERE
  levels_distinct: (NOT (EXISTS (SELF.successor))
                  (SELF <> SELF.successor))
                  (NOT (EXISTS (SELF.predecessor))
                  (SELF <> SELF.predecessor));
  consistent_level: (NOT (EXISTS (SELF.predecessor))
                   (SELF = SELF.predecessor.successor))
                   (NOT (EXISTS (SELF.successor))
                   (SELF = SELF.successor.predecessor));
  no_repeats: levels_acyclic(SELF);
END_ENTITY;
(*
```

Attribute definitions:

- name** : Specifies a unique name for the level of the hierarchical element. This attribute is intended to provide a means of identifying levels for purposes of determining applicability of tests, diagnoses, etc., within the model.
- description** : Attribute used to provide an elaborated explanation of what the level is.
- successor** : Identifies the “next” level in the total order of levels. This is optional in that the final level will not have a successor.
- predecessor** : Identifies the “previous” level in the total order of levels when the previous level exists.

Formal propositions:

- levels\_distinct** : Ensures that a particular level is distinct from its successor or predecessor level.
- consistent\_level** : Ensures that the predecessor level points to the current level as successor and that the successor level points to the current level as predecessor.
- no\_repeats** : Tests to see if the current entity has the same level appearing in the successor chain. If so, it creates a cycle in the levels, which is illegal.

### 5.1.34 logic\_element

The entity `logic_element` identifies a particular element to be used in a logical expression. This is an abstract supertype of `atom` (a primitive element within logic) and `function` (an n-ary term that returns a single value).

EXPRESS specification:

```
* )
ENTITY logic_element
  ABSTRACT SUPERTYPE OF (ONEOF(atom, logic_function));
  label: name_type;
  UNIQUE
    one_label: label;
END_ENTITY;
( *
```

Attribute definitions:

- label** : Associates an identifying label to the logical element. This label corresponds to, e.g., variable names, function names, and predicate names.

### 5.1.35 logic\_function

The entity `logic_function` is a function used in first-order logic. This is a subtype of `logic_element` and a supertype of `predicate`.

EXPRESS specification:

```
*)  
  ENTITY logic_function  
    SUBTYPE OF (logic_element);  
    argument          : LIST OF logic_element;  
  END_ENTITY;  
(*
```

Attribute definitions:

argument : Specifies a list of logic elements as arguments to the given logic\_function.  
Note that the argument list can be empty; however, an argument list is required.  
The cardinality of set of arguments defines the arity of the function.

### 5.1.36 mode\_of\_operation

Entity mode\_of\_operation specifies a particular mode or state of operation for a unit or system. For example, for an aircraft, modes of operation may correspond to weight-on-wheels or in-flight.

EXPRESS specification:

```
*)  
  ENTITY mode_of_operation;  
    name          : name_type;  
    description    : description_type;  
    UNIQUE  
    one_mode      : name;  
  END_ENTITY;  
(*
```

Attribute definitions:

name : Attribute name is a unique attribute used to identify the mode of operation.  
description : Attribute description provides a textual description of the specific mode of operation within which the system is being diagnosed.

### 5.1.37 non\_time\_cost

The non\_time\_cost construct represents cost attributes that are not time related and are computed. Financial costs as well as costs computed from an objective function fall into this category. Objective functions typically combine functions of weighted cost parameters that can be temporal, financial, or a quantification of some other expense of the test. Units for objective function-based costs would typically be defined as user\_defined. The non\_time\_cost entity inherits all attributes from cost.

EXPRESS specification:

```
*)  
  ENTITY non_time_cost  
    SUBTYPE OF (cost);  
    non_time_cost_unit : non_time_unit;
```

```

    rate                : OPTIONAL cost_rate;
END_ENTITY;
(*)

```

Attribute definitions:

|                    |                                                                                                                                                                              |
|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| non_time_cost_unit | : An attribute to define the non-time units associated with the non-time-related cost.                                                                                       |
| rate               | : An optional attribute to provide a specific non-time cost to be associated with the rate. In other words, this attribute will provide the cost part of cost per unit time. |

### 5.1.38 order\_operator

Logically equivalent to the STRIPS operator first formalized by Fikes and Nilsson [B1], this defines an operator by which actions are taken within the test and diagnostic environment. The order operator (as defined for STRIPS) includes a name, a set of preconditions (i.e., logical conditions that must be satisfied for the operator to be applied), and a set of effects (i.e., modifications to the truth state of the problem at hand). The intent is to use these operators in constructing a plan to perform a test and diagnostic task. Order operators also correspond to actions in this model.

EXPRESS specification:

```

*)
ENTITY order_operator;
    name                : name_type;
    description          : description_type;
    precondition         : SET OF predicate;
    effect               : SET OF predicate;
INVERSE
    implements_action   : action FOR order_constraint;
UNIQUE
    one_name            : name;
END_ENTITY;
(*)

```

Attribute definitions:

|                   |                                                                                                                                                                                                                                                                                                                                                               |
|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| name              | : Identifies the name of the ordering operator.                                                                                                                                                                                                                                                                                                               |
| description       | : Provides a textual description of the ordering operator.                                                                                                                                                                                                                                                                                                    |
| precondition      | : Identifies a set of logical conditions that must be satisfied for the operator to be applied. The set of preconditions corresponds to a conjunction of the individual predicates.                                                                                                                                                                           |
| effect            | : Identifies a set of logical effects on the truth state of the planning problem (i.e., diagnostic problem). The effects are a conjuncted set of predicates now assumed to be true.                                                                                                                                                                           |
| implements_action | : Associates the ordering operator with a specific action within the model. Since actions have costs associated with them, this relationship also facilitates optimal planning. Note that an action can exist independent of an order operator (as indicated by the OPTIONAL modifier); however, an order operator CANNOT exist without an associated action. |

### 5.1.39 outcome

Associated with any test or diagnosis in a model is a set of outcomes. Outcomes associate discrete values to ranges of measurements in the definition and form the basis for the diagnostic process. For any given test or diagnosis in an AI-ESTATE model, at least two outcomes shall be defined. Thus, at a minimum, outcomes corresponding, e.g., to pass/fail or good/candidate should be provided with a given specification. At times, more than two outcomes may be appropriate, e.g., pass/fail-low/fail-high or good/suspect/candidate/bad.

#### EXPRESS specification:

```
* )
  ENTITY outcome
    ABSTRACT SUPERTYPE OF (ONEOF(diagnosis_outcome, test_outcome));
    name           : name_type;
    description     : description_type;
    confidence      : OPTIONAL confidence_value;
  UNIQUE
    one_name       : name;
  END_ENTITY;
( *
```

#### Attribute definitions:

|             |                                                                                                                                                                             |
|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| name        | : An attribute used to identify the outcome uniquely.                                                                                                                       |
| description | : Attribute used to provide an elaborated explanation of what the outcome is.                                                                                               |
| confidence  | : A measure of the confidence in the test outcome based on characteristics of performing the test. This measure is used for providing confidence measures in the diagnoses. |

### 5.1.40 predicate

A predicate is a special kind of function in which the assigned values are limited to either TRUE or FALSE. This is one of the most common constructs used in sentences within the first-order logic. A predicate is a subtype of logic\_function.

#### EXPRESS specification:

```
* )
  ENTITY predicate
    SUBTYPE OF (logic_function);
  END_ENTITY;
( *
```

### 5.1.41 purpose

Entity purpose specifies a given purpose for the context. In other words, it states the purpose for the associated action (i.e., test, diagnosis, or repair).

EXPRESS specification:

```
*)
  ENTITY purpose;
    has_role      : SET [1:?] OF role;
    optimizer     : SET [1:?] OF goal;
    description   : description_type;
  END_ENTITY;
(*
```

Attribute definitions:

has\_role : This attribute identifies the associated role of the context within which test/diagnosis is occurring. For example, the role can be a maintenance test or a verification test.

optimizer : Attribute optimizer identifies the specific optimization criterion or criteria used to drive the diagnostic process.

description : Attribute description provides a textual description of the purpose of the context.

### 5.1.42 repair

When a repair item has been identified, some repair shall be made to return the unit to service. This entity associates a repair (with its various repair actions) to a repair item.

EXPRESS specification:

```
*)
  ENTITY repair
    SUBTYPE OF (hierarchical_element);
    repair_action: LIST [1:?] OF action;
  WHERE
    children_same_type: QUERY(tmp <* SELF.members
                              NOT('AI_ESTATE_COMMON_ELEMENT_MODEL.repair'
                               IN TYPEOF(tmp))) = [];
  END_ENTITY;
(*
```

Attribute definitions:

repair\_action : Identifies the sequence of actions required to repair the repair item. Note that if preconditions need to be satisfied for execution of the repair, then an order operator must be associated with at least the first action in the repair action list containing the necessary preconditions.

Formal propositions:

children\_same\_type : Ensures that any children (i.e., members) of a repair are of type “repair.”

### 5.1.43 repair\_item

A diagnosis shall point to some part of the system to be adjusted, calibrated, repaired, replaced, etc. In short, a diagnosis shall lead the maintainer to the object of the maintenance action. The “physical”

entity in the system under test corresponding to the diagnosis (thus the entity diagnosis) is the entity defined by repair item and identifies a failure mode of the system under test.

EXPRESS specification:

```
*)
ENTITY repair_item
  SUBTYPE OF (hierarchical_element);
  includes_function : OPTIONAL SET [1:?] OF func;
  tested_by        : SET OF test;
  repaired_by      : SET OF repair;
  WHERE
    children_same_type : QUERY(tmp <* SELF.members
                                NOT('AI_ESTATE_COMMON_ELEMENT_MODEL.repair_item'
                                IN TYPEOF(tmp))) = [];
  END_ENTITY;
(*
```

Attribute definitions:

includes\_function : This attribute identifies the set of functions that are performed by a given repair item.

tested\_by : This attribute identifies a set (possibly empty) of tests available to test a particular repair item.

repaired\_by : This attribute identifies a (possibly empty) set of repairs available to repair a given repair item.

Formal propositions:

children\_same\_type : Ensures any children (i.e., members) of a repair\_items are of type repair\_item.

#### 5.1.44 required\_context

The entity required\_context specifies the context required for a diagnostic problem (or portion of a problem) to be valid. Diagnostic models are generally created with a particular context (or contexts) in mind. This entity is a supertype of required\_test\_context AND required\_diagnosis\_context AND required\_repair\_context.

EXPRESS specification:

```
*)
ENTITY required_context
  SUPERTYPE OF (required_test_context AND required_diagnosis_context AND
                required_repair_context);
  name : name_type;
  description : description_type;
  occurs_in : SET OF mode_of_operation;
  has_purpose : purpose;
  UNIQUE
    one_name: name;
  END_ENTITY;
(*
```

Attribute definitions:

|             |                                                                                                                 |
|-------------|-----------------------------------------------------------------------------------------------------------------|
| name        | : Attribute name provides a unique identifier for a particular required context within the model.               |
| description | : Attribute description provides a means of associating descriptive text with the required context.             |
| occurs_in   | : Identifies the relevant operational mode within which the particular maintenance actions are being performed. |
| has_purpose | : Attribute has_purpose identifies the purpose of actions performed in the given context.                       |

#### 5.1.45 required\_diagnosis\_context

Entity required\_diagnosis\_context is a subtype of required\_context and specifies the diagnosis context required for a particular diagnosis (or group of diagnoses) within a model to be valid.

EXPRESS specification:

```
*)
  ENTITY required_diagnosis_context
    SUBTYPE OF (required_context);
  END_ENTITY;
(*
```

#### 5.1.46 required\_repair\_context

Entity required\_repair\_context is a subtype of required\_context and specifies the repair context required for a particular repair item (or group of repair items) within a model to be repaired.

EXPRESS specification:

```
*)
  ENTITY required_repair_context
    SUBTYPE OF (required_context);
  END_ENTITY;
(*
```

#### 5.1.47 required\_test\_context

Entity required\_test\_context is a subtype of required\_context and specifies the test context required for a particular test (or group of tests) within a model to be performed.

EXPRESS specification:

```
*)
  ENTITY required_test_context
    SUBTYPE OF (required_context);
  END_ENTITY;
(*
```

#### 5.1.48 resource

Any given action in the maintenance process is likely to require some external resource to perform that action. For example, a test could require test equipment and expendable resources, and a repair action could also require instrumentation, tools, and expendable resources. For any given action corresponding to test, a set of resources could be required.

##### EXPRESS specification:

```
*)
ENTITY resource
  SUBTYPE OF (hierarchical_element);
  has_cost:                OPTIONAL SET [1:?] OF cost;
  WHERE
    children_same_type : QUERY(tmp <* SELF.members
                                NOT('AI_ESTATE_COMMON_ELEMENT_MODEL.resource'
                                IN TYPEOF(tmp))) = [];
  END_ENTITY;
(*
```

##### Attribute definitions:

has\_cost : This attribute (optionally) associates a set of costs with this particular resource.

##### Formal propositions:

children\_same\_type : Ensures that any children (i.e., members) of a resource are of type resource.

#### 5.1.49 test

A test typically involves a stimulus that is either supplied or known at the start of the test, and a set of observed responses. The interpretation of the responses is provided in the form of a test outcome. The test entity provides a means of defining the logical structure of testing in a diagnostic model and defines a part-whole hierarchy. A given test can be a member of a collection of tests at another level. Sequencing constraints for performing these tests are included in a separate constraint specification or are accessible elsewhere within the AI-ESTATE implementation. Tests are identified symbolically within the specification.

Details concerning the execution of a specific test are contained in other specifications that can be referenced symbolically but are not otherwise included in this specification.

##### EXPRESS specification:

```
*)
ENTITY test
  SUBTYPE OF (hierarchical_element);
  has_outcome                : OPTIONAL SET [2:?] OF test_outcome;
  test_action                : LIST [1:?] OF action;
```

```

WHERE
  outcomes_required_for_atomic_test: (SIZEOF(SELF.members) > 0) OR
                                     EXISTS(has_outcome);
  minimal_outcomes: (NOT(EXISTS(has_outcome)))                                XOR
                    ((SIZEOF(QUERY(tmp <* has_outcome
                                     tmp.standard_test_value=PASS)) = 1)      AND
                     (SIZEOF(QUERY(tmp <* has_outcome
                                     tmp.standard_test_value = FAIL)) = 1));
  children_same_type: QUERY(tmp <* SELF.members                             |
                             NOT('AI_ESTATE_COMMON_ELEMENT_MODEL.test'      |
                                 IN TYPEOF(tmp))) = [];
END_ENTITY;
(*)

```

#### Attribute definitions:

**has\_outcome** : A set of two or more outcomes that are the expected outcomes of the test. An outcome is a characterization of the observed response to the stimulus of a test. This attribute is shown to be optional; however, it is constrained such that it is required if the test has no member tests. Since test outcomes are the basis for diagnostic reasoning in AI-ESTATE, outcomes shall be available.

NOTE—Tests in the data model are not restricted to two outcomes; any number of outcomes are permitted for a test, but at least two outcomes are required.

*Example:* All tests of a system might be two-output tests with outcomes pass and fail, and have standard confidences for each outcome across all tests. In a model of such a system, two instances of the outcome entity would exist—one for pass and one for fail. All tests within the model would reference the same set of two outcomes in the test\_outcome attribute.

**test\_action** : Identifies the sequence of actions required to perform the associated test. Note that if preconditions need to be satisfied for execution of the test, then an order operator must be associated with at least the first action in the test action list containing the necessary preconditions.

#### Formal propositions:

**outcomes\_required\_for\_atomic\_test** : Determines whether outcomes are associated with a test and requires that an atomic test (i.e., a test for which there are no subtests) have outcomes. The cardinality on the test\_outcome set ensures that, should outcomes exist, there are at least two of them. Note that nonatomic test are permitted, but not required, to have test outcomes.

**minimal\_outcomes** : Requires either that the set of outcomes not be defined, or that the set of outcomes include, at a minimum, exactly one of outcome of value PASS and exactly one outcome of value FAIL.

**children\_same\_type** : Ensures that any children (i.e., members) of a test are of type “test.”

### 5.1.50 test\_outcome

Associated with any test in a model is a set of test outcomes. Test outcomes associate discrete values to ranges of measurements in the test definition and form the basis for the diagnostic process. For any given test in an AI-ESTATE model, at least two outcomes shall be defined. Thus, at a minimum, outcomes corresponding, e.g. to pass/fail should be provided with a given specification. At times more than two outcomes may be appropriate, e.g., pass/fail-low/fail-high.

#### EXPRESS specification:

```
*)  
  ENTITY test_outcome  
    SUBTYPE OF (outcome);  
    standard_test_value    : test_value;  
    INVERSE  
      for_test              : test FOR has_outcome;  
  END_ENTITY;  
(*
```

#### Attribute definitions:

standard\_test\_value : This attribute identifies whether or not the outcome is a standard value from the perspective of traditional test outcomes. In most model-based systems, the standard values are PASS and FAIL. A third value, USER\_DEFINED\_TEST\_OUTCOME, is used to indicate whether additional outcomes have been defined.

for\_test : Links the outcome to the specific test with that outcome.

### 5.1.51 time\_cost

The time\_cost construct represents cost attributes that are time-related. The time\_cost entity inherits all attributes from cost.

#### EXPRESS specification:

```
*)  
  ENTITY time_cost  
    SUBTYPE OF (cost);  
    time_cost_unit        : time_unit;  
  END_ENTITY;  
(*
```

#### Attribute definitions:

time\_cost\_unit : An attribute to define the time units associated with the time-related cost.

### 5.1.52 variable

An atom within first-order logic that has the feature of being able to have a value assigned to it. It is a subtype of atom.

EXPRESS specification:

```
*)
  ENTITY variable
    SUBTYPE OF (atom);
  END_ENTITY;
( *
```

### 5.1.53 element\_dag

EXPRESS specification:

This function examines the set of hierarchical elements in a model and ensures that no child element is also a parent. In other words, when traversing the child relations, it ensures that no cycles exist in the model.

```
*)
  FUNCTION element_dag
    (members:SET [0:?] OF hierarchical_element;
     parents:SET [0:?] OF hierarchical_element):LOGICAL;
  LOCAL
    grand_parents : SET [0:?] OF hierarchical_element := [];
  END_LOCAL;
  IF (SIZEOF(parents) = 0) THEN
    RETURN(TRUE);
  END_IF;
  REPEAT i:= LOINDEX(members) TO HIINDEX(members);
    IF (members[i] IN parents) THEN
      RETURN(FALSE);
    ELSE
      REPEAT j:= LOINDEX(parents) TO HIINDEX(parents);
        grand_parents:= grand_parents + parents[j].parents;
      END_REPEAT;
      RETURN(element_dag(members, grand_parents));
    END_IF;
  END_REPEAT;
  END_FUNCTION;
( *
```

### 5.1.54 element\_rollup

EXPRESS specification:

This function examines the set of hierarchical elements in a model and ensures that, when considering each of the “parts” (i.e., children) of a hierarchical element, these children are represented in the

top-level set. Thus, this function ensures that the set of hierarchical elements listed with a model is a “rollup” of all of the hierarchical elements in the model.

```

*)
FUNCTION element_rollup
  (mdl:diagnostic_model;elem:SET [1:?] OF hierarchical_element):BOOLEAN;
  LOCAL
    check : BOOLEAN;
  END_LOCAL;

  REPEAT i := LOINDEX(elem) TO HIINDEX(elem);
    check := FALSE;
    REPEAT j:= LOINDEX(elem[i].part_of_model) TO
      HIINDEX(elem[i].part_of_model);
      IF (elem[i].part_of_model[j] = mdl) THEN
        check := TRUE;
      END_IF;
    END_REPEAT;
    IF (check = FALSE) THEN
      RETURN(FALSE);
    END_IF;
  END_REPEAT;
  RETURN(TRUE);
END_FUNCTION;
(*

```

### 5.1.55 levels\_acyclic

EXPRESS specification:

This function ensures that, for a particular entity occurring at a level, the chain of levels does not cycle back on itself.

```

*)
FUNCTION levels_acyclic
  (lvl:level):BOOLEAN;
  LOCAL
    tst:BOOLEAN:= TRUE;
    target:level:= lvl;
  END_LOCAL;

  REPEAT WHILE (EXISTS(lvl.successor));
    IF (target = lvl.successor) THEN
      tst := FALSE;
    END_IF;
    lvl := lvl.successor;
  END_REPEAT;
  RETURN(tst);
END_FUNCTION;
END_SCHEMA;
(*

```

### 5.1.56 Common Element Model EXPRESS-G diagrams

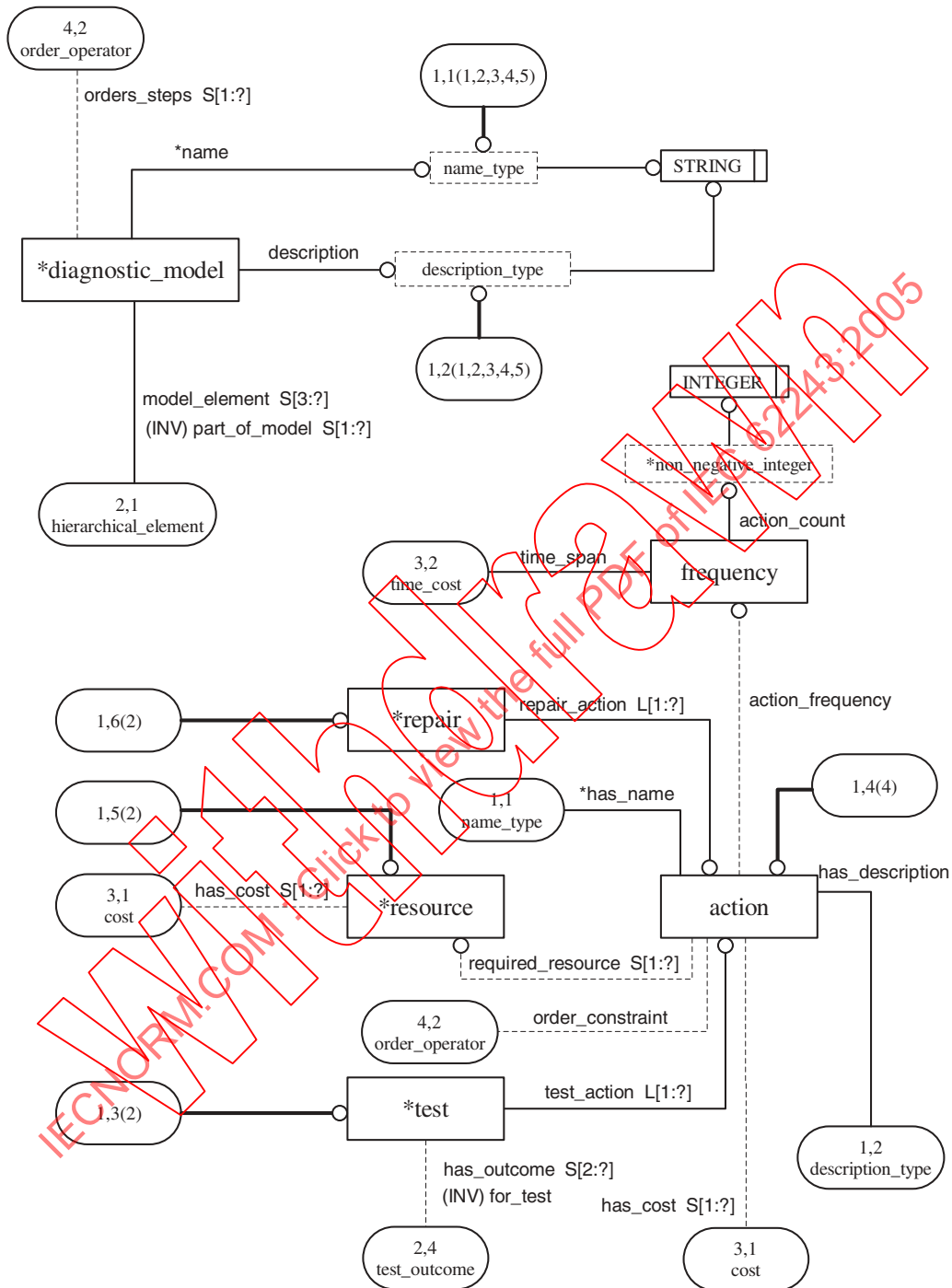


Figure 7—Common Element Model EXPRESS-G: Diagram 1 of 5

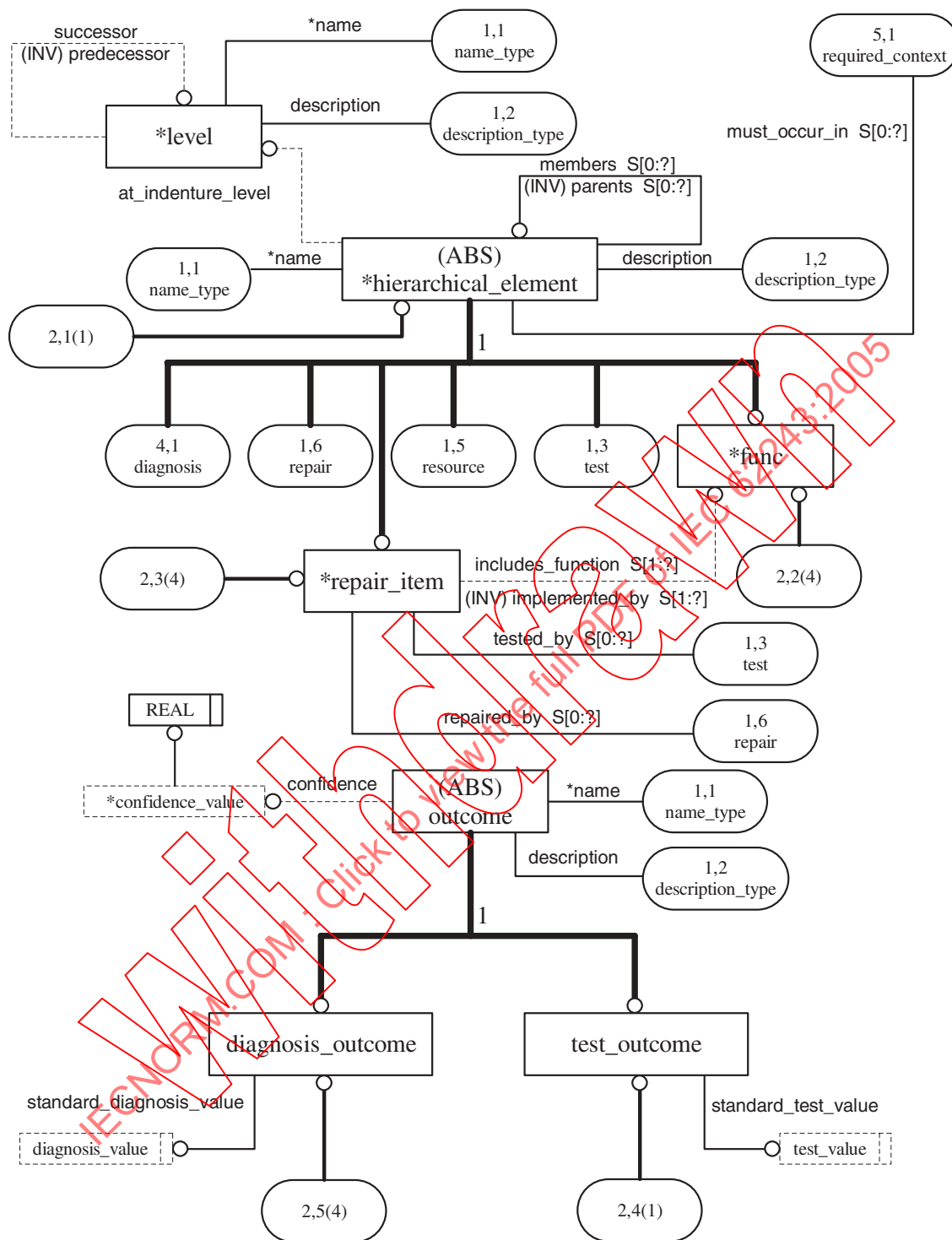


Figure 8—Common Element Model EXPRESS-G: Diagram 2 of 5

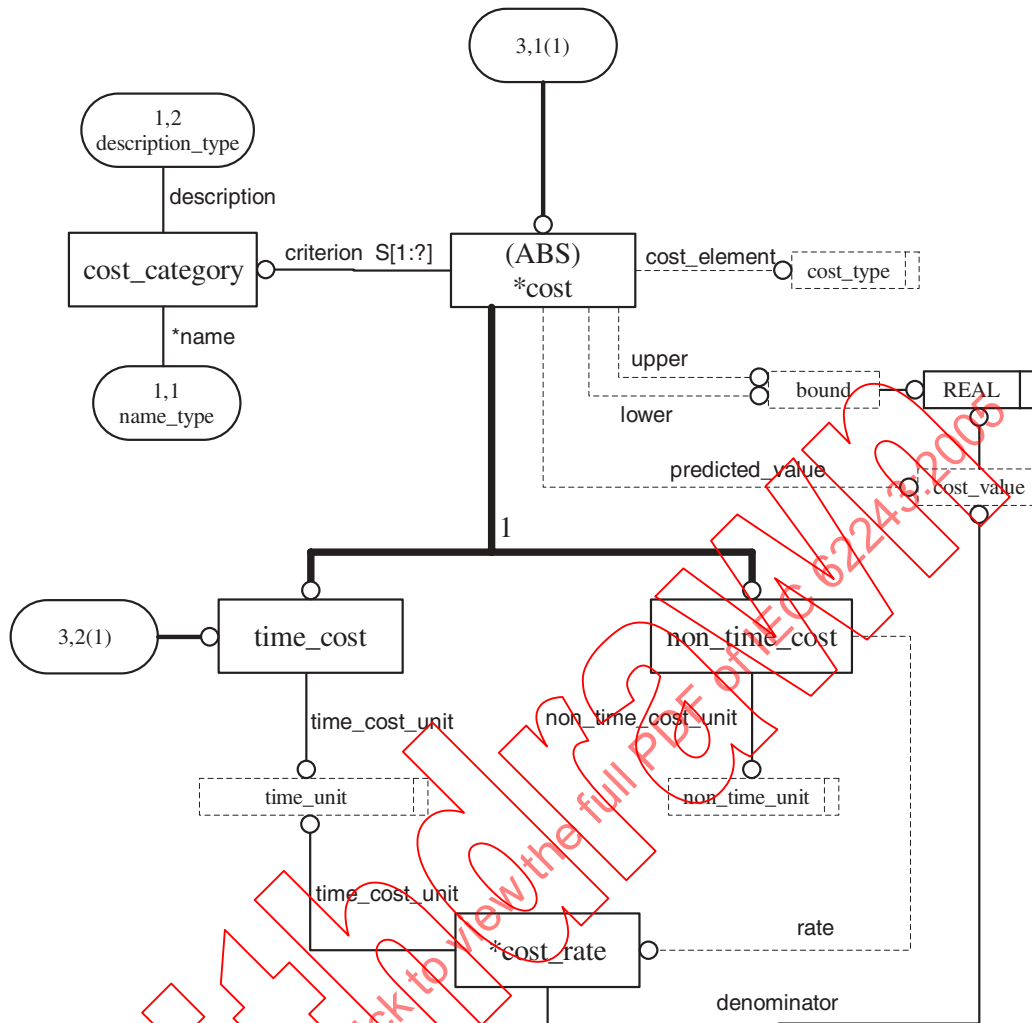


Figure 9—Common Element Model EXPRESS-G: Diagram 3 of 5

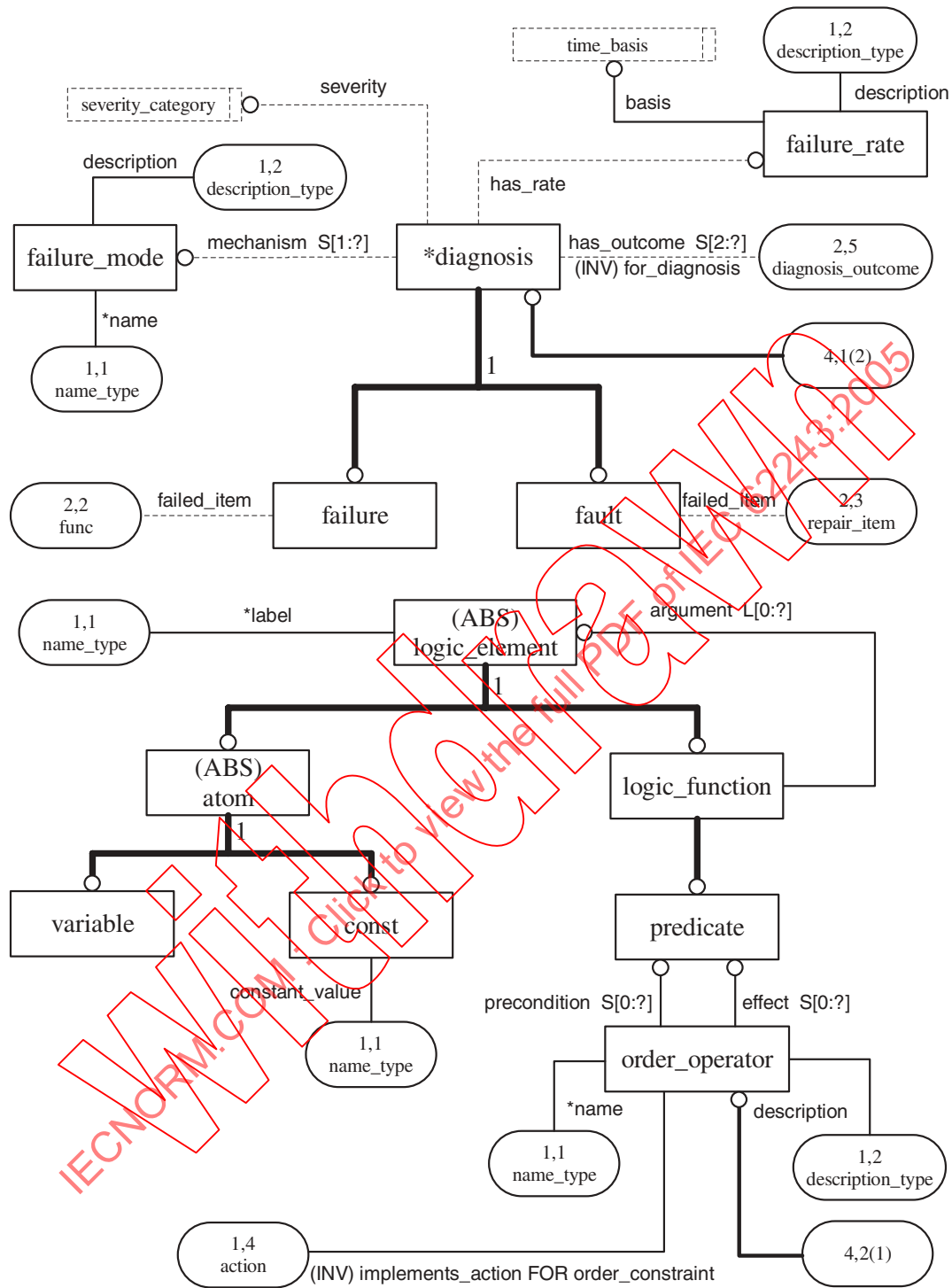


Figure 10—Common Element Model EXPRESS-G: Diagram 4 of 5

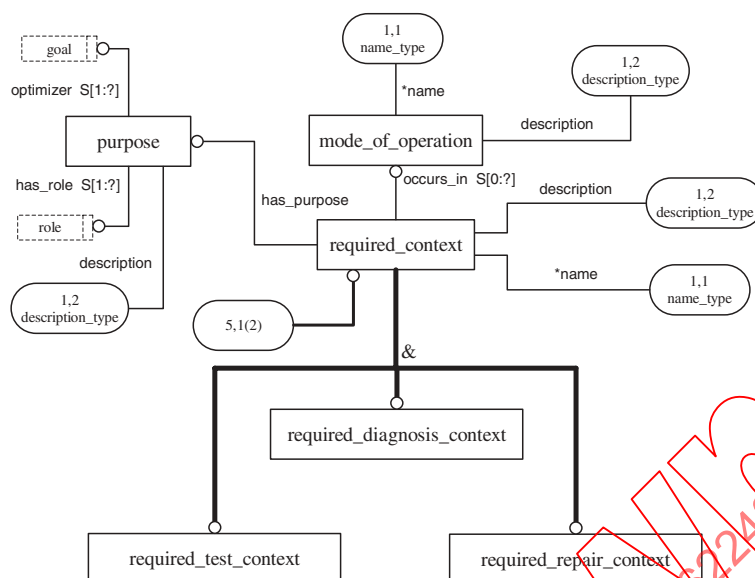


Figure 11—Common Element Model EXPRESS-G: Diagram 5 of 5

\*)  
(\*)

## 5.2 Diagnostic Inference Model

The AI-ESTATE Diagnostic Inference Model (DIM) schema is defined below. The constructs of this model were originally derived from the approach to system test and diagnosis known as *information flow modeling* or *dependency modeling*. The model utilizes many of the constructs defined in the AI-ESTATE Common Element Model.

An inference is a logical relationship between two tests or between a test and a diagnosis. Given a particular test outcome, inferences about other tests and/or diagnoses of the system can be made. Tests offer a view of the associated fault, function, or diagnosis.

In the AI-ESTATE DIM, test outcomes are limited to pass or fail outcomes. Inferences are identified between a particular test outcome and other test outcomes and asserted conditions of diagnostic units.

A particular test outcome that is inferred from another outcome is represented as a *test\_inference* within the DIM. Analogously, a *diagnostic\_inference* within the DIM asserts a condition of “good” or “candidate” on a particular diagnostic unit. These two inference elements are the terms that compose the inference associated with a test outcome. Both *test\_inference* and *diagnostic\_inference* are SUBTYPES of inference.

The set of inferences associated with a particular test outcome are limited either to a list of conjuncted inferences or a list of disjuncted inferences (not both). This representation limits the scope of the model to facilitate mapping to existing model-based reasoning tools.

### EXPRESS specification:

```
*)
SCHEMA AI_ESTATE_DIAGNOSTIC_INFERENCE_MODEL;
REFERENCE FROM AI_ESTATE_COMMON_ELEMENT_MODEL
  (diagnostic_model,
   test_outcome,
   confidence_value,
   diagnosis_outcome);
(*)
```

### 5.2.1 diagnostic\_inference

Entity `diagnostic_inference` represents an inference of a diagnosis as good or still a candidate from a particular test outcome (as identified by `outcome_inference`). Uncertainty associated with this inference can be specified in the confidence attribute that has been inherited from the inference entity.

#### EXPRESS specification:

```
*)
  ENTITY diagnostic_inference
    SUBTYPE OF (inference);
    diagnostic_assertion : diagnosis_outcome;
  WHERE
    no_user_defined : diagnostic_assertion.standard_diagnosis_value <>
                      USER_DEFINED_DIAGNOSIS_OUTCOME;
  END_ENTITY;
(*
```

#### Attribute definitions:

`diagnostic_assertion` : The condition of the diagnosis to which the inference applies. The condition of the unit is asserted to be either good or still a candidate. Since this is a diagnosis outcome, additional values can be assigned as well.

#### Formal propositions:

`no_user_defined` : Requires that the diagnostic outcome be one of the basic standard values as defined in the Common Element Model.

### 5.2.2 diagnostic\_inference\_model

This entity represents the constituents of the DIM. This construct also identifies the diagnoses, tests, and, optionally, required resources for the system under test being modeled.

#### EXPRESS specification:

```
*)
  ENTITY diagnostic_inference_model
    SUBTYPE OF (diagnostic_model);
    inference : SET [2:?] OF outcome_inference;
  END_ENTITY;
(*
```

#### Attribute definitions:

`inference` : This attribute identifies the set of `outcome_inference` that comprises the model of the system under test. To be useful, a model shall consist of at least two inferences, corresponding to inferences from the minimum number of outcomes for the minimum number of tests in the model.

### 5.2.3 inference

This entity is a supertype of the entities `diagnostic_inference` and `test_inference`. Inference is either a test inference or a diagnosis inference, but not both.

EXPRESS specification:

```
*)
  ENTITY inference
    ABSTRACT SUPERTYPE OF (ONEOF(diagnostic_inference, test_inference));
    confidence : OPTIONAL confidence_value;
  END_ENTITY;
(*
```

Attribute definitions:

confidence : An entity that identifies the statistical confidence in the inference association from the outcome in outcome\_inference to the following:

- (a) The particular outcome identified in this entity if this entity is a test\_inference.
- (b) The particular diagnosis identified in this entity, in the condition identified in diagnostic\_assertion, if this entity is a diagnostic\_inference.

## 5.2.4 outcome\_inference

This construct pairs a particular outcome of a particular test with a set of inferences represented in a conjunct/disjunct form. Each inference entity of the set is a single inference of type test\_inference or diagnostic\_inference. Since inference information is specific to the Diagnostic Inference Model (DIM), it is necessary to identify the test outcomes from the Common Element Model (CEM) with which the inferences are associated. Hence, the constructs for representing inferences are found in the DIM, along with a pairing of these inference constructs with test outcomes from the CEM.

EXPRESS specification:

```
*)
  ENTITY outcome_inference;
    disjuncts : OPTIONAL SET OF inference;
    conjuncts : OPTIONAL SET OF inference;
    associated_test_outcome : test_outcome;
  UNIQUE
    one_outcome : associated_test_outcome;
  WHERE
    conjunct_or_disjunct : NOT(EXISTS(conjuncts)) OR
      NOT(EXISTS(disjuncts));
    no_user_defined: associated_test_outcome.standard_test_value <>
      USER_DEFINED_TEST_OUTCOME
    ;
  END_ENTITY;
(*
```

Attribute definitions:

disjuncts : This attribute is required, but the set can be empty. If the set is empty, then no inference can be drawn from the outcome. The set is interpreted as an ORed set of inferences to be drawn.

`conjuncts` : This attribute is required, but the set can be empty. If the set is empty, then no inference can be drawn from the outcome. The set is interpreted as an ANDed set of inferences to be drawn.

`associated_test_outcome` : This attribute identifies a particular outcome of the value of the `test_outcome.for_test` attribute to which the value of the `outcome_inference` attribute applies, where the `test_outcome.for_test` attribute identifies a particular test.

Formal propositions:

`conjunct_or_disjunct` : Constrains the outcome inference list to be a set of conjuncts or a set of disjuncts, but not both. The inference list can also be empty.

`no_user_defined` : Requires that the test outcome be one of the basic standard values as defined in the Common Element Model.

### 5.2.5 test\_inference

Entity `test_inference` represents an inference about a test made from a test outcome. In other words, a test outcome that references this entity can depend on the particular outcome, identified in the `outcome_inference`, of the test identified in `outcome_inference.for_test`. Uncertainty associated with this inference can be specified in the confidence attribute that has been inherited from the inference entity.

EXPRESS specification:

```
*)
ENTITY test_inference
  SUBTYPE OF (inference);
  outcome_inference : test_outcome;
  WHERE
    no_user_defined : outcome_inference.standard_test_value <>
      USER_DEFINED_TEST_OUTCOME;
  END_ENTITY;
(*
```

Attribute definitions:

`outcome_inference` : This attribute identifies the test and associated outcome to be inferred. The test is identified by the `for_test` attribute of the test outcome.

Formal propositions:

`no_user_defined` : Requires that the test outcome be one of the basic standard values as defined in the Common Element Model.

```
*)
END_SCHEMA;
(*
```

### 5.2.6 Diagnostic Inference Model EXPRESS-G diagram

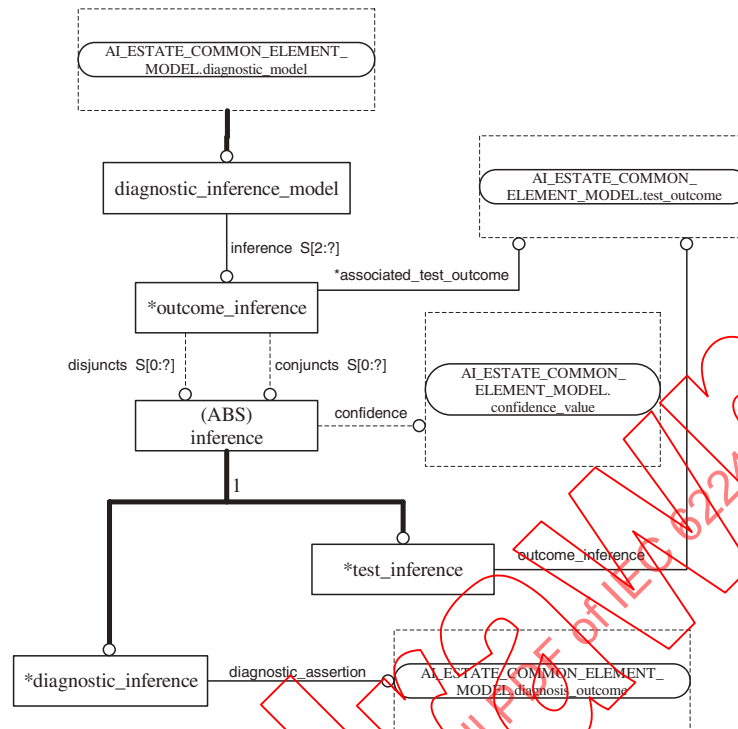


Figure 12—Diagnostic Inference Model EXPRESS-G: Diagram 1 of 1

\* )  
( \*

### 5.3 Dynamic Context Model

The following information model captures the relevant dynamic information that may be used in any diagnostic context by an adequate abstraction of widely used diagnostic principles. The Dynamic Context Model (DCM) enables several important functions of an AI-ESTATE conformant reasoner. The functions relate to the state of the reasoning process at each step of a diagnostic session. The DCM data and knowledge are developed during a diagnostic session, unlike those of the Common Element Model (CEM), Fault Tree Model (FTM), Diagnostic Inference Model (DIM), and Enhanced Diagnostic Inference Model (EDIM) (which consist of static diagnostic data and knowledge).

A diagnostic session is initiated by identifying the model or models to be used for determining the existence of a fault in the unit undergoing test, and for isolating to a sufficient level to effect a maintenance action that will restore the system to a known functioning condition. The session is performed in a series of steps. At each step, one or more tests are performed. The DCM is used to record the state existing prior to performing any test at each of the following steps:

- 1) The model(s) currently in use
- 2) The status of all resources associated with the active models
- 3) The status of all tests associated with the active models
- 4) The status of all diagnoses associated with the active models
- 5) Optionally, the current fault hypothesis

The DCM is also used to record the tests performed at each step and any associated actual test outcome and outcome confidence values. The reasoner makes diagnostic and test inferences from the actual test outcome and associated confidence values.

EXPRESS specification:

```
*)  
  SCHEMA AI_ESTATE_DYNAMIC_CONTEXT_MODEL;  
  USE FROM AI_ESTATE_COMMON_ELEMENT_MODEL  
    (name_type,  
     diagnostic_model,  
     diagnosis,  
     test,  
     confidence_value,  
     test_outcome,  
     resource,  
     cost_value,  
     time_cost,  
     non_time_cost,  
     diagnosis_outcome,  
     logic_element,  
     description_type,  
     repair_item,  
     action,  
     cost_category,  
     required_context,  
     non_negative_integer);  
(*
```

### 5.3.1 availability\_type

Type `availability_type` defines a type that enables the availability of a test, model, or resource to be set. This is a logical type that indicates the associated entity is available if the value is set to TRUE. If the value is set to FALSE, then the entity is not available. An UNKNOWN indicates that the availability of the entity could not be determined.

EXPRESS specification:

```
*)  
  TYPE availability_type = BOOLEAN;  
  END_TYPE;  
(*
```

### 5.3.2 calendar\_year

Type `calendar_year` captures the calendar year in four-digit format.

EXPRESS specification:

```
*)  
  TYPE calendar_year = STRING (4) FIXED;  
  END_TYPE;  
(*
```

### 5.3.3 day\_stamp

Captures the day of the week.

EXPRESS specification:

```
*)
  TYPE day_stamp = non_negative_integer;
  END_TYPE;
(*
```

### 5.3.4 degree

This defines a type for a numeric value capturing the amount of degradation associated with the diagnosis. A higher value indicates an amount of degradation less than that of a lower value.

EXPRESS specification:

```
*)
  TYPE degree = REAL;
  WHERE
    standard_value : (0 <= SELF) AND (SELF <= 1);
  END_TYPE;
(*
```

Formal propositions:

standard\_value : Constraint standard\_value forces a degree to take on a value between zero (0) and one (1).

### 5.3.5 fraction\_of\_second

The fraction of a second to a precision of hundredths of a second.

EXPRESS specification:

```
*)
  TYPE fraction_of_second = non_negative_integer;
  WHERE
    hundredths: SELF <= 99;
  END_TYPE;
(*
```

Formal Propositions:

hundredths : Ensures that the fraction of a second is kept to a precision of hundredths of a second.

### 5.3.6 hour

Captures in coordinated universal time (UCT) the hour of the day.

EXPRESS specification:

```
*)
  TYPE hour = non_negative_integer;
  WHERE
    twenty_four_hour : SELF <= 23;
  END_TYPE;
( *
```

Formal propositions:

twenty\_four\_hour : Ensures that the legal hours for the day are between 0 and 23, in accordance with UCT.

### 5.3.7 minute

EXPRESS specification:

```
*)
  TYPE minute = non_negative_integer;
  WHERE
    sixty_minute_hour : SELF <= 59;
  END_TYPE;
( *
```

Formal propositions:

sixty\_minute\_hour : Ensures that the minute stamp is between 0 and 59.

### 5.3.8 month

Identifies the month.

EXPRESS specification:

```
*)
  TYPE month = legal_month;
  END_TYPE;
( *
```

### 5.3.9 optimal\_failure\_rate

Type optimal\_failure\_rate defines a type by which a step can determine whether or not the optimization process is dependent on failure rates.

EXPRESS specification:

```
*)
  TYPE optimal_failure_rate = BOOLEAN;
  END_TYPE;
( *
```

### 5.3.10 second

#### EXPRESS specification:

```
*)
  TYPE second = non_negative_integer;
  WHERE
    sixty_second_minute : SELF <= 59;
  END_TYPE;
( *
```

#### Formal propositions:

sixty\_second\_minute : Ensures that the number of seconds lies between 0 and 59.

### 5.3.11 hypothesis\_direction

Enumerated type hypothesis\_direction differentiates between the reasoner and the user in terms of relationship to a hypothesis.

#### EXPRESS specification:

```
*)
  TYPE hypothesis_direction = ENUMERATION OF
    (REASONER_DIRECTED,
     USER_DIRECTED);
  END_TYPE;
( *
```

### 5.3.12 legal\_month

Identifies the enumerated set of legal months.

#### EXPRESS specification:

```
*)
  TYPE legal_month = ENUMERATION OF
    (JANUARY,
     FEBRUARY,
     MARCH,
     APRIL,
     MAY,
     JUNE,
     JULY,
     AUGUST,
     SEPTEMBER,
     OCTOBER,
     NOVEMBER,
     DECEMBER);
  END_TYPE;
( *
```

### 5.3.13 status\_code

Enumerated type `status_code` identifies legal status codes to be returned by a service specified by this standard.

#### EXPRESS specification:

```
*)
  TYPE status_code = ENUMERATION OF
    (OPERATION_COMPLETED_SUCCESSFULLY,
     NONEXISTENT_DATA_ELEMENT_REQUESTED,
     MISSING_OR_INVALID_ARGUMENT,
     OPERATION_OUT_OF_SEQUENCE,
     INVALID_MODEL_SCHEMA,
     SERVICE_NOT_AVAILABLE,
     UNKNOWN_EXCEPTION_RAISED);
  END_TYPE;
(*
```

### 5.3.14 assigned\_element

Type `assigned_element` is a SELECT type that selects between `active_test` and `active_diagnosis`.

#### EXPRESS specification:

```
*)
  TYPE assigned_element = SELECT
    (active_test,
     active_diagnosis);
  END_TYPE;
(*
```

### 5.3.15 cause

Select type `cause` captures information on root cause events that spawn a particular diagnostic session. As a select type, it results in selection of one of the associated entities for the actual cause (or causes). It is expected that the cause shall be used when performing postmortem analysis for the purpose of generating and using diagnostic histories or for computing field-based diagnosability metrics.

#### EXPRESS specification:

```
*)
  TYPE cause = SELECT
    (trigger,
     alarm);
  END_TYPE;
(*
```

### 5.3.16 active\_action

Entity `active_action` corresponds to actions being taken in the test and diagnosis of a system. Active actions have actual costs that are tied back to the associated tests and resources.

#### EXPRESS specification:

```
*)
ENTITY active_action;
  corresponds_to      : action;
  time_incurred       : SET OF active_time_cost;
  cost_incurred       : SET OF active_cost;
  resources_used       : OPTIONAL SET OF active_resource;
WHERE
  resources_valid      : resources_available(resources_used);
  active_resource_is_resource : set_of_resource(resources_used) <=
                                corresponds_to.required_resource;

END_ENTITY;
(*
```

#### Attribute definitions:

`corresponds_to` : Attribute `corresponds_to` identifies the action that is being instantiated by this entity.

`time_incurred` : Attribute `time_incurred` records the actual time associated with taking this action in the current step of the session trace.

`cost_incurred` : Attribute `cost_incurred` records the actual costs associated with taking this action in the current step of the session trace.

`resources_used` : Attribute `resources_used` lists the resources used in performing this action. Since the cardinality of the set begins at zero, this set can be empty. It is also an optional attribute indicating whether the reasoner cares if resources are used or not.

#### Formal propositions:

`resources_valid` : Constraint `resources_valid` uses function `resources_available`. This constraint prevents unavailable resources being listed as having been used.

`active_resource_is_resource` : Constraint `active_resource_is_resource` ensures that the set of `resources_used` is a subset of the `required_resource` attribute set of this entity's `corresponds_to` action attribute.

### 5.3.17 active\_cost

Entity `active_cost` references a `non_time_cost` entity being used by some test or resource in the current diagnostic session. Several attributes are obtained by examining the referenced entity (e.g., units) and that entity's abstract supertype `cost`.

#### EXPRESS specification:

```
*)
ENTITY active_cost;
  actual_value      : cost_value;
  corresponds_to    : non_time_cost;
```

```

INVERSE
  action_cost : SET OF active_action FOR cost_incurred;
WHERE
  value_is_valid: (NOT(EXISTS(corresponds_to.lower))          AND
                  NOT(EXISTS(corresponds_to.upper)))        XOR
                  ((corresponds_to.lower <= actual_value)    AND
                   (actual_value <= corresponds_to.upper));
END_ENTITY;
(*)

```

Attribute definitions:

actual\_value : Attribute actual\_value is used to store the actual cost incurred rather than the expected cost.

corresponds\_to : Attribute corresponds\_to identifies the non\_time\_cost that is being “instantiated” by this entity.

action\_cost : Inverse attribute action\_cost indicates the specific active\_action to which this active\_cost belongs.

Formal propositions:

value\_is\_valid : Constraint value\_is\_valid ensures that actual\_value lies between legal bounds, given that bounds have been defined.

### 5.3.18 active\_diagnosis

Entity active\_diagnosis corresponds to a diagnosis used by the reasoner. It is assumed that the outcome of the diagnosis can only take on a single value representing the current value. Since the session trace instantiates the diagnoses at each step, multiple values for the same diagnosis can be determined by traversing the trace.

EXPRESS specification:

```

*)
ENTITY active_diagnosis:
  corresponds_to : diagnosis;
  actual_outcome : OPTIONAL diagnosis_outcome;
  actual_confidence : OPTIONAL confidence_value;
WHERE
  confidence_with_outcome : (NOT(EXISTS(actual_confidence))          AND
                           NOT(EXISTS(actual_outcome)))              OR
                           (EXISTS(actual_confidence)                AND
                            EXISTS(actual_outcome));
END_ENTITY;
(*)

```

Attribute definitions:

corresponds\_to : Attribute corresponds\_to identifies the diagnosis in the diagnostic model that is actively evaluated or inferred in the session.

actual\_outcome : Attribute actual\_outcome provides the current actual outcome associated with this diagnosis. This attribute is optional since the outcome may be tied to a diagnosis group.

`actual_confidence` : Attribute `actual_confidence` provides the current actual confidence value associated with the `actual_outcome` of the diagnosis. This attribute is optional since it is tied to the outcome, which is also optional.

Formal propositions:

`confidence_with_outcome` : Constraint `confidence_with_outcome` ensures that either an `actual_outcome` is paired with an `actual_confidence` or the `active_diagnosis` has neither an `active_outcome` nor an `active_confidence`. In other words, both `active_outcome` and `active_confidence` must be present simultaneously, if they exist at all.

### 5.3.19 active\_model

Entity `active_model` references one of the models used in the current knowledge base. The name of the entity is obtained by examining the referenced model.

EXPRESS specification:

```
*)
ENTITY active_model;
  availability          : availability_type;
  corresponds_to       : diagnostic_model;
  pathname             : STRING;
END_ENTITY;
(*
```

Attribute definitions:

`availability` : Attribute `availability` is a logical attribute indicating whether this model is available for the current session.

`corresponds_to` : Attribute `corresponds_to` identifies the diagnostic model that is actively loaded and processed in the session.

`pathname` : Attribute `pathname` is a string providing the complete path to the file containing the model.

### 5.3.20 active\_resource

Entity `active_resource` corresponds to a resource used as part of a test. Throughout the model, sets of `active_resources` are nonoptional but have initial cardinality of zero.

EXPRESS specification:

```
*)
ENTITY active_resource;
  availability          : availability_type;
  corresponds_to       : resource;
  resource_action       : LIST [1:?] OF active_action;
END_ENTITY;
```

```

INVERSE
  associated_step      : step FOR resource_status;
END_ENTITY;
( *

```

#### Attribute definitions:

availability : Attribute `availability` is a logical attribute indicating whether this resource is available for the current step. In this case, entities need to be instantiated in each step of the session trace.

corresponds\_to : Attribute `corresponds_to` identifies the resource in the diagnostic model that is actively used in the session.

resource\_action : Attribute `resource_action` identifies the action or actions required to use the given resource. Cost information from the resource can be derived by examining the costs of the associated actions.

associated\_step : Inverse attribute `associated_step` provides a pointer back to the step referring to this `active_resource` entity.

### 5.3.21 active\_test

Entity `active_test` corresponds to a test used by the reasoner at a given step. The outcome of a test can only take on a single value representing the current value. Since the session trace instantiates the tests at each step, multiple values for the same test (e.g., if a test is repeated) can be determined by traversing the trace.

#### EXPRESS specification:

```

*)
ENTITY active_test;
  actual_confidence : OPTIONAL confidence_value;
  actual_outcome    : OPTIONAL test_outcome;
  corresponds_to    : test;
  test_action       : LIST [1:?] OF active_action;
WHERE
  confidence_with_outcome: (NOT(EXISTS(actual_confidence)) AND
                           NOT(EXISTS(actual_outcome))) OR
                           (EXISTS(actual_confidence) AND
                           EXISTS(actual_outcome));
END_ENTITY;
( *

```

#### Attribute definitions:

actual\_confidence : Attribute `actual_confidence` provides the current actual confidence value associated with the `actual_outcome` of the test. This attribute is optional since it is tied to the outcome, which is also optional.

actual\_outcome : Attribute `actual_outcome` provides the current actual outcome associated with this test. This attribute is optional since the outcome could be tied to a test group.

`corresponds_to` : Attribute `corresponds_to` identifies the test in the diagnostic model that is actively executed or inferred in the session.

`test_action` : Attribute `test_action` identifies the action or actions required to perform the given test. Cost information from the test can be derived by examining the costs of the associated actions.

Formal propositions:

`confidence_with_outcome` : Constraint `confidence_with_outcome` ensures that either an `actual_outcome` is paired with an `actual_confidence` or the `active_test` has neither an `active_outcome` nor an `active_confidence`. In other words, both `active_outcome` and `active_confidence` must be present simultaneously, if they exist at all.

### 5.3.22 active\_time\_cost

Entity `active_time_cost` references a `time_cost` entity being used by some test or resource in the current diagnostic session. Several attributes are obtained by examining the referenced entity (e.g., units) and that entity's abstract supertype cost.

EXPRESS specification:

```

*)
ENTITY active_time_cost;
  actual_value      : cost_value;
  corresponds_to    : time_cost;
INVERSE
  action_time      : SET OF active_action FOR time_incurred;
WHERE
  value_is_valid    : (NOT(EXISTS(corresponds_to.lower))          AND
                      NOT(EXISTS(corresponds_to.upper)))        XOR
                      ((corresponds_to.lower <= actual_value)    AND
                      (actual_value <= corresponds_to.upper));
END_ENTITY;
(*

```

Attribute definitions:

`actual_value` : Attribute `actual_value` is used to store the actual cost incurred rather than the expected cost.

`corresponds_to` : Attribute `corresponds_to` identifies the `time_cost` that is being instantiated by this entity.

`action_time` : Inverse attribute `action_time` indicates the specific `active_action` to which this `active_time_cost` belongs.

Formal Propositions:

`value_is_valid` : Constraint `value_is_valid` ensures that `actual_value` lies between legal bounds, given that bounds have been defined.

### 5.3.23 alarm

Entity alarm captures information on automatic notifications of a problem within a system that results in the initiation of a diagnostic session. Alarms could, e.g., result from visual or audible alarms presented to a user, built-in test (BIT) indications, or network management traps [as from simple network management protocol (SNMP)].

EXPRESS specification:

```
*)  
  ENTITY alarm  
    SUBTYPE OF (active_test);  
  END_ENTITY;  
(*
```

### 5.3.24 diagnosis\_context

Entity diagnosis\_context is a subtype of step\_context and specifies the diagnosis context for a particular diagnosis (or group of diagnoses) within a model.

EXPRESS specification:

```
*)  
  ENTITY diagnosis_context  
    SUBTYPE OF (step_context);  
    time_to_test : OPTIONAL active_time_cost;  
  END_ENTITY;  
(*
```

Attribute definitions:

time\_to\_test . Attribute time\_to\_test specifies the amount of time available within a diagnostic session to complete testing and return a diagnosis. This is an optional attribute since time constraints may not be specified in all cases.

### 5.3.25 exception

Entity exception captures information on status codes and exceptions that could be raised with a particular step in the diagnostic session.

EXPRESS specification:

```
*)  
  ENTITY exception;  
    status : status_code;  
    description : description_type;  
    associated_service : description_type;  
  END_ENTITY;  
(*
```

Attribute definitions:

status : Attribute status identifies the status code associated with a given exception raised at the current step.

description: : Attribute description provides a textual description for the exception raised and its associated step in the session.

associated\_service: : Attribute associated\_service identifies the service called that resulted in the raised exception.

### 5.3.26 gripe

Entity gripe captures information on user complaints about the performance of the system. Typically, such complaints spawn some sort of diagnostic session to isolate the cause of the gripe.

EXPRESS specification:

```
*)
  ENTITY gripe
    SUBTYPE OF (trigger);
  END_ENTITY;
(*
```

### 5.3.27 history

Entity history captures maintenance history information on the unit or system under test. This history is in terms of previous test/diagnosis/repair sessions.

EXPRESS specification:

```
*)
  ENTITY history;
    past_session : LIST OF session;
  END_ENTITY;
(*
```

Attribute definitions:

past\_session : Attribute past\_session identifies a list of diagnostic sessions capturing historical maintenance information. A list is used to indicate a chronological sequence of events.

### 5.3.28 inferred\_diagnosis

Entity inferred\_diagnosis corresponds to a diagnosis that can be inferred by the reasoner. The diagnosis can only take on a single value representing the current value. Since the session trace instantiates the diagnoses at each step, the set of diagnoses is maintained at each step. Therefore, value changes for a diagnosis can be determined by traversing the trace.

EXPRESS specification:

```

*)
ENTITY inferred_diagnosis;
  corresponds_to      : SET [1:?] OF diagnosis;
  inferred_confidence : confidence_value;
  inferred_outcome    : diagnosis_outcome;
  grade               : OPTIONAL degree;
  availability         : availability_type;
INVERSE
  associated_step      : step FOR diagnoses;
WHERE
  consistent_outcome   : check_diagnosis_out (corresponds_to,
                                              inferred_outcome);

END_ENTITY;
( *

```

Attribute definitions:

|                     |                                                                                                                                                                                                                                                                                                                     |
|---------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| corresponds_to      | : Attribute corresponds_to identifies the set of diagnoses in the diagnostic model that is available to be inferred in the session. If the cardinality of the set is greater than one, then it is expected that this will be treated as a multiple fault.                                                           |
| inferred_confidence | : Attribute inferred_confidence indicates the current confidence in the value associated with this diagnosis.                                                                                                                                                                                                       |
| inferred_outcome    | : Attribute inferred_outcome indicates the current value for the diagnosis. The type of this attribute is referenced from the EDIM. Further, if diagnostic outcomes are provided and the cardinality of the set is greater than one, then the outcomes correspond with the associated members of the diagnosis set. |
| grade               | : Attribute grade identifies the level of degradation for the given diagnosis, inferred from the test information received so far. This attribute is optional since current AI-ESTATE models provide no direct basis for performing the needed inference.                                                           |
| availability        | : Attribute availability indicates whether or not this diagnosis is available for the current step.                                                                                                                                                                                                                 |
| associated_step     | : Inverse attribute associated_step provides a pointer back to the step referring to this inferred_diagnosis entity.                                                                                                                                                                                                |

Formal propositions:

|                    |                                                                                                                                      |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------|
| consistent_outcome | : Constraint consistent_outcome ensures that the inferred outcomes exist in the set of legal outcomes associated with the diagnoses. |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------|

### 5.3.29 inferred\_test

Entity `inferred_test` corresponds to a test whose outcome is inferred by the reasoner. The outcome of a test can only take on a single value representing the current value. Since the session trace instantiates the tests at each step, multiple values for the same test (e.g., if a test is repeated) can be determined by traversing the trace.

EXPRESS specification:

```

*)
ENTITY inferred_test;
  corresponds_to      : SET [1:?] OF test;
  availability         : availability_type;
  inferred_confidence : confidence_value;
  inferred_outcome     : test_outcome;
INVERSE
  associated_step      : step FOR test_status;
WHERE
  consistent_outcome   : check_test_out (corresponds_to,
                                         inferred_outcome);

END_ENTITY;
( *

```

Attribute definitions:

**corresponds\_to** : Attribute **corresponds\_to** identifies the set of tests in the diagnostic model that is available to be inferred in the session. If the cardinality of the set is greater than one, then it is expected that this will be treated as a conjunction of tests.

**availability** : Attribute **availability** indicates whether this test is available for the current step.

**inferred\_confidence** : Attribute **inferred\_confidence** provides the current confidence value associated with the **inferred\_outcome** of the test. It is required that this attribute be the same size as the **corresponds\_to** attribute, and that there exists a one-to-one correspondence between confidences and tests.

**inferred\_outcome** : Attribute **inferred\_outcome** provides the current outcome associated with this test. It is required that this attribute be the same size as the **corresponds\_to** attribute, and that there exists a one-to-one correspondence between outcomes and tests.

**associated\_step** : Inverse attribute **associated\_step** provides a pointer back to the step referring to this **inferred\_test** entity.

Formal propositions:

**consistent\_outcome** : Constraint **consistent\_outcome** ensures that the inferred outcomes exist in the set of legal outcomes associated with the tests.

### 5.3.30 logic\_term

Entity **logic\_term** captures the current logical state for purposes of ordering constraints. Since not all diagnostic reasoners are capable of doing partial-order planning, this information is optional within the Dynamic Context Model.

EXPRESS specification:

```

*)
ENTITY logic_term;
  corresponds_to      : logic_element;
END_ENTITY;
( *

```

Attribute definitions:

`corresponds_to` : Attribute `corresponds_to` identifies the specific logical element within the Common Element Model to which this term corresponds.

### 5.3.31 notice

Entity `notice` captures details on manufacturer or maintainer notices to a user that some sort of maintenance or diagnostics needs to be done on the system.

EXPRESS specification:

```
*)  
  ENTITY notice  
    SUBTYPE OF (trigger);  
  END_ENTITY;  
(*
```

### 5.3.32 repair\_context

Entity `repair_context` is a subtype of `step_context` and specifies the repair context for a particular repair item (or group of repair items) within a model.

EXPRESS specification:

```
*)  
  ENTITY repair_context  
    SUBTYPE OF (step_context);  
    available_repair_item : SET OF repair_item;  
  END_ENTITY;  
(*
```

Attribute definitions:

`available_repair_item` : Attribute `available_repair_items` specifies those repair items that can be repaired in the current context.

### 5.3.33 session

Entity `session` provides a session trace for the current diagnostic session.

EXPRESS specification:

```
*)  
  ENTITY session;  
    trace : LIST OF step;  
    caused_by : OPTIONAL SET [1:?] OF cause;
```

```

    updates_history    : OPTIONAL history;
WHERE
    no_tests_last      : NOT (EXISTS (
                                trace[SIZEOF(trace)].outcomes_collected));
END_ENTITY;
(*)

```

#### Attribute definitions:

**trace** : Attribute trace provides an ordered list of states (represented as steps) through which the diagnostic reasoner traverses during a session. It is expected that actions such as backing up would be recorded as a new step in the trace rather than having a step deleted from the trace.

**caused\_by** : Attribute caused\_by identifies the event or events that caused the diagnostic session to occur.

**updates\_history** : Attribute updates\_history identifies the specific history for the unit or system diagnosed by this session.

#### Formal propositions:

**no\_tests\_last** : Ensures that the last step in the traces has no associated outcomes or tests that have just been collected. To have collected this information indicates that the state must be updated, and a new step must be created.

### 5.3.34 step

Entity step instantiates a step in the session trace and includes all information related to a specific step in the test process, including the current state of the reasoner. This state information reflects the status of all the attributes at the beginning of the step (creation of step).

#### EXPRESS specification:

```

*)
ENTITY step;
    model_status          : SET [1:?] OF active_model;
    reasoner_hypothesis    : OPTIONAL SET OF inferred_diagnosis;
    test_status            : SET [1:?] OF inferred_test;
    diagnoses               : SET [2:?] OF inferred_diagnosis;
    resource_status         : SET OF active_resource;
    logic_state             : OPTIONAL SET OF logic_term;
    optimized_by_failure_rate : optimal_failure_rate;
    optimized_by_cost        : SET OF cost_category;
    user_hypothesis         : OPTIONAL SET [1:?] OF diagnosis;
    reason_by               : hypothesis_direction;
    occurs_within           : step_context;
    service_result          : OPTIONAL LIST [1:?] OF exception;
    time_occurred           : OPTIONAL time_stamp;
    outcomes_collected     : OPTIONAL SET [1:?] OF assigned_element;
INVERSE
    part_of                 : session FOR trace;

```

```

WHERE
  test_active: NOT(EXISTS(outcomes_collected))                                XOR
  active_tests_available(outcomes_collected, test_status);
  resource_active: (SIZEOF(QUERY(temp <* resource_status                     |
    NOT(temp.availability = FALSE)))=0)                                     AND
    (set_of_resource(resource_status)                                       <=
      resource_set_union(model_status));
  diagnosis_in_state: (reasoner_hypothesis <= diagnoses);
  all_tests: (set_of_test(test_status)                                       =
    test_set_union(model_status));
  all_diagnoses: (set_of_diagnosis(diagnoses)                                =
    diagnosis_set_union(model_status));
  diagnosis_active: NOT(EXISTS(outcomes_collected))                        XOR
    active_diagnoses_available(outcomes_collected, diagnoses);
END_ENTITY;
(*)

```

Attribute definitions:

|                           |                                                                                                                                                                                                                                                                                                                                                                                   |
|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| model_status              | : Attribute model_status lists all of the models associated with the current session and indicates their status at the current step.                                                                                                                                                                                                                                              |
| reasoner_hypothesis       | : Attribute hypothesis is an optional attribute consisting of the set of inferred_diagnosis making up the current hypothesis. This attribute is optional since some reasoners might not generate a hypothesis in mid-process; however it is expected that the hypothesis will be determined before the active tests are performed and will be used to report the final diagnosis. |
| test_status               | : Attribute test_status lists all of the tests used by the available models and is used to capture their status prior to running the active tests. For the first step in the session, the test_status corresponds to the set of symptoms used to start the diagnostic process.                                                                                                    |
| diagnoses                 | : Attribute diagnoses lists all of the diagnoses used by the available models and is used to capture their status prior to running the active tests.                                                                                                                                                                                                                              |
| resource_status           | : Attribute resource_status lists all of the resources used by the available models and is used to capture their status prior to running the active tests.                                                                                                                                                                                                                        |
| logic_state               | : Attribute logic_state provides the current list of facts within the logic state of the diagnostic session. This state is compared to a set of preconditions in the Common Element Model to assist in determining appropriate ordering of actions.                                                                                                                               |
| optimized_by_failure_rate | : Attribute optimized_by_failure_rate specifies that test selection is dependent on failure rate when this Boolean attribute is TRUE.                                                                                                                                                                                                                                             |
| optimized_by_cost         | : Attribute optimized_by_cost identifies the set of cost criteria (if any) used to optimize test selection at a given step in the diagnostic process.                                                                                                                                                                                                                             |
| user_hypothesis           | : Attribute user_hypothesis identifies a set (possibly empty) of diagnoses in the diagnostic model to be used as a hypothesis. This hypothesis would be used to guide test                                                                                                                                                                                                        |

selection to verify/deny that hypothesis. Note this is an optional attribute since a user might not have a hypothesis at all steps in the process.

`reason_by` : Attribute `reason_by` identifies whether or not reasoning is to be centered at a given step on a user-based hypothesis or a reasoner-based hypothesis.

`occurs_within` : Attribute `occurs_within` identifies the specific context for a given step during a diagnostic session. An initial context shall be used to start the diagnostic process for a particular session. Context can change as diagnosis proceeds, and this change in context is captured via the remainder of the dynamic context model. Once a context is set for a given step, that context will persist through subsequent steps in a session until changed.

`service_result` : Attribute `service_result` indicates the list of exceptions (if any) raised as a result of executing a service.

`time_occurred` : Attribute `time_occurred` records a time step at which the step began.

`outcomes_collected` : Attribute `outcomes_collected` indicates the set of active tests and diagnoses evaluated at this step in the process.

`part_of` : Inverse attribute `part_of` indicates the specific session trace to which this step belongs.

#### Formal propositions:

`test_active` : Constraint `test_active` ensures that the active tests recorded in the step are members of the set of all tests and are available to be performed.

`resource_active` : Constraint `resource_active` evaluates two predicates to ensure that the elements of the `resource_status` set are valid. The first predicate verifies all of the resources are available, and the second predicate verifies all of the resources are defined by the available models.

`diagnosis_in_state` : Constraint `diagnosis_in_state` ensures that the diagnoses in the hypothesis have been specified by the set of diagnoses given by the current state.

`all_tests` : Constraint `all_tests` uses function `test_set_union`. This constraint verifies that the union of the test sets from the available models equals the set listed in `test_status`.

`all_diagnoses` : Constraint `all_diagnoses` uses function `diagnosis_set_union`. This constraint verifies that the union of the diagnosis sets from the available models equals the set listed in `diagnoses`.

`diagnosis_active` : Constraint `diagnosis_active` ensures that the active diagnoses recorded in the step are members of the set of all diagnoses and are available to be evaluated.

### 5.3.35 `step_context`

Entity `step_context` specifies the current context for a diagnostic problem. Diagnostic models are generally created with a particular context (or contexts) in mind. This entity is a supertype of `test_context` AND `diagnosis_context` AND `repair_context`.

EXPRESS specification:

```
*)
ENTITY step_context
  SUPERTYPE OF (test_context AND diagnosis_context AND repair_context);
  available_resources : SET OF resource;
  description         : description_type;
  name                : name_type;
  corresponds_to      : required_context;
  UNIQUE
    one_context : name;
  END_ENTITY;
(*
```

Attribute definitions:

available\_resources : Attribute available\_resources identifies the test and repair resources available for use during the diagnostic session.

description : Attribute description provides a textual description of contextual information related to the current step.

name : Attribute name provides a unique identifier to provide a means to reason over contextual information.

corresponds\_to : Attribute corresponds\_to identifies the required context against which an actual context is compared to determine if the context is consistent.

### 5.3.36 test\_context

Entity test\_context is a subtype of step\_context and specifies the test context for a particular test (or group of tests) within a model.

EXPRESS specification:

```
*)
ENTITY test_context
  SUBTYPE OF (step_context);
  available_tests : SET OF active_test;
  END_ENTITY;
(*
```

Attribute definitions:

available\_tests : Attribute available\_tests identifies the tests that are available for use in diagnosis at the beginning of the diagnostic session.

### 5.3.37 time\_stamp

Entity time\_stamp provides a means of associating a time stamp to elements within the DCM. The time basis for capturing the time element within the time stamp shall be coordinated universal time (UCT).

EXPRESS specification:

```
*)
ENTITY time_stamp;
    year_stamp      : calendar_year;
    month_stamp     : month;
    day_stamp       : day_stamp;
    hour_stamp      : hour;
    minute_stamp    : minute;
    second_stamp    : second;
    fraction_stamp   : fraction_of_second;
END_ENTITY;
(*
```

Attribute definitions:

year\_stamp : Attribute year\_stamp captures the four-digit year for the time stamp.

month\_stamp : Attribute month\_stamp captures the month for the time stamp.

day\_stamp : Attribute day\_stamp identifies the particular date within a month for the time stamp.

hour\_stamp : Attribute hour\_stamp captures the hour of the day in UCT for the time stamp.

minute\_stamp : Attribute minute\_stamp captures the minute of the hour in UCT according to UCT.

second\_stamp : Attribute second\_stamp captures the number of seconds in accordance with UCT.

fraction\_stamp : Attribute fraction\_stamp captures the fraction of a second to the hundredths of a second in accordance with UCT.

### 5.3.38 trigger

Entity trigger defines a type of event corresponding to a report of some kind that results in a diagnostic session being initiated. Typically, triggers correspond to human-generated or organization-generated events such as manufacturing notices/recalls or user “gripes.” As such, a trigger is defined to be an abstract supertype of these two types of entities.

EXPRESS specification:

```
*)
ENTITY trigger
    ABSTRACT SUPERTYPE OF (ONEOF(notice, gripe));
    description      : description_type;
    name             : name_type;
    UNIQUE
        one_name      : name;
END_ENTITY;
(*
```

Attribute definitions:

description : Attribute description provides a means for associating descriptive text to characterize the trigger.

name : Attribute name provides a unique name for identifying the trigger.

### 5.3.39 active\_diagnoses\_available

#### EXPRESS specification:

Function `active_diagnoses_available` determines whether each active diagnosis is a member of all diagnoses and whether the `active_diagnoses` are available by checking the corresponding `inferred_diagnosis` availability attributes.

```
*)
FUNCTION active_diagnoses_available
  (active: SET [1:?] OF active_diagnosis;
   inferred: SET [1:?] OF inferred_diagnosis): LOGICAL;

  LOCAL
    member: LOGICAL;
    result: LOGICAL:= TRUE;
  END_LOCAL;

  REPEAT i:= LOINDEX(active) TO HIINDEX(active);
    member:= FALSE;
    REPEAT j:= LOINDEX(inferred) TO HIINDEX(inferred);
      IF (active[i].corresponds_to IN
        inferred[j].corresponds_to) THEN
        member:= TRUE;
        IF (inferred[j].availability = FALSE) THEN
          result:= FALSE;
        END_IF;
      END_IF;
    END_REPEAT;
    IF (member = FALSE) THEN
      result:= FALSE;
    END_IF;
  END_REPEAT;
  RETURN (result);
END_FUNCTION;
(*
```

### 5.3.40 active\_tests\_available

#### EXPRESS specification:

Function `active_tests_available` determines whether each active test is a member of all tests and whether the `active_tests` are available by checking the corresponding `inferred_test` availability attributes.

```
*)
FUNCTION active_tests_available
  (active: SET [1:?] OF active_test;
   inferred: SET [1:?] OF inferred_test): LOGICAL;

  LOCAL
    member: LOGICAL;
    result: LOGICAL:= TRUE;
  END_LOCAL;
```

```

REPEAT i:= LOINDEX(active) TO HIINDEX(active);
  member:= FALSE;
  REPEAT j:= LOINDEX(inferred) TO HIINDEX(inferred);
    IF (active[i].corresponds_to IN
        inferred[j].corresponds_to) THEN
      member:= TRUE;
      IF (inferred[j].availability = FALSE) THEN
        result:= FALSE;
      END_IF;
    END_IF;
  END_REPEAT;
  IF (member = FALSE) THEN
    result:= FALSE;
  END_IF;
END_REPEAT;
RETURN (result);
END_FUNCTION;
(*

```

### 5.3.41 check\_diagnosis\_out

EXPRESS specification:

Function `check_diagnosis_out` checks the set of diagnostic outcomes against the set of legal outcomes of the diagnosis passed in to ensure that they are consistent. This is done by checking to see that the set of inferred outcomes are members of the set of available outcomes.

```

*)
FUNCTION check_diagnosis_out
  (diag:SET [1:?] OF diagnosis; dout:diagnosis_outcome):BOOLEAN;
LOCAL
  flag: BOOLEAN:= TRUE;
END_LOCAL;
REPEAT i:= LOINDEX(diag) TO HIINDEX(diag);
  IF (NOT (dout IN diag[i].has_outcome)) THEN
    flag:= FALSE;
  END_IF;
END_REPEAT;
RETURN (flag);
END_FUNCTION;
(*

```

### 5.3.42 check\_test\_out

EXPRESS specification:

Function `check_test_out` checks the set of test outcomes against the set of legal outcomes of the test passed in to ensure that they are consistent. This is done by checking to see that the set of inferred outcomes are members of the set of available outcomes.

```

*)
FUNCTION check_test_out
  (tst:SET [1:?] OF test; tout:test_outcome):BOOLEAN;

```

```

LOCAL
    flag: BOOLEAN := TRUE;
END_LOCAL;
REPEAT i := LOINDEX(tst) TO HIINDEX(tst);
    IF (NOT(tout IN tst[i].has_outcome)) THEN
        flag := FALSE;
    END_IF;
END_REPEAT;
RETURN(flag);
END_FUNCTION;
(*

```

### 5.3.43 diagnosis\_set\_union

#### EXPRESS specification:

Function `diagnosis_set_union` constructs a set of `inferred_diagnosis` by taking the union of all of the `inferred_diagnosis` entities associated with each member of a set of `active_model`.

```

*)
FUNCTION diagnosis_set_union
    (mdl: SET [1:?] OF active_model): SET [1:?] OF diagnosis;

LOCAL
    diagnosis_set : SET [1:?] OF diagnosis := [];
END_LOCAL;

REPEAT i := LOINDEX(mdl) TO HIINDEX(mdl);
    diagnosis_set := diagnosis_set +
        QUERY(tmp < * mdl[i].corresponds_to.model_element |
            'AI_ESTATE_COMMON_ELEMENT_MODEL.diagnosis' IN TYPEOF(tmp));
END_REPEAT;
RETURN(diagnosis_set);
END_FUNCTION;
(*

```

### 5.3.44 resource\_set\_union

#### EXPRESS specification:

Function `resource_set_union` constructs a set of `active_resource` by taking the union of all of the `active_resource` entities associated with each member of a set of `active_model`.

```

*)
FUNCTION resource_set_union
    (mdl: SET [1:?] OF active_model): SET [1:?] OF resource;

LOCAL
    resource_set: SET [1:?] OF resource := [];
END_LOCAL;

REPEAT i := LOINDEX(mdl) TO HIINDEX(mdl);

```

```

    resource_set:= resource_set +
    QUERY(tmp <* mdl[i].corresponds_to.model_element |
    'AI_ESTATE_COMMON_ELEMENT_MODEL.resource' IN TYPEOF(tmp));
END_REPEAT;
RETURN(resource_set);
END_FUNCTION;
(*

```

### 5.3.45 resources\_available

#### EXPRESS specification:

Function `resources_available` samples a set of resources to determine whether all of the resources in that set are currently available.

```

*)
FUNCTION resources_available
  (resources: SET [0:?] OF active_resource): BOOLEAN;
LOCAL
  result: BOOLEAN:= TRUE;
END_LOCAL;
IF SIZEOF(resources) > 0 THEN
  REPEAT i := LOINDEX(resources) TO HIINDEX(resources);
    IF resources[i].availability = FALSE THEN
      result:= FALSE;
    END_IF;
  END_REPEAT;
END_IF;
RETURN(result);
END_FUNCTION;
(*

```

### 5.3.46 set\_of\_diagnosis

#### EXPRESS specification:

Function `set_of_diagnosis` constructs a set of diagnoses as defined in the CEM when given a set of inferred diagnosis from the DCM.

```

*)
FUNCTION set_of_diagnosis
  (diag: SET [0:?] OF inferred_diagnosis): SET [0:?] OF diagnosis;
LOCAL
  diagnosis_set : SET [0:?] OF diagnosis:= [];
END_LOCAL;
REPEAT i:= LOINDEX(diag) TO HIINDEX(diag);
  diagnosis_set:= diagnosis_set + diag[i].corresponds_to;
END_REPEAT;
RETURN(diagnosis_set);
END_FUNCTION;
(*

```

### 5.3.47 set\_of\_resource

#### EXPRESS specification:

Function `set_of_resource` constructs a set of resources as defined in the CEM when given a set of `active_resources` from the DCM.

```
*)
FUNCTION set_of_resource
  (rsrc: SET [0:?] OF active_resource): SET [0:?] OF resource;

  LOCAL
    resource_set: SET [0:?] OF resource: = [];
  END_LOCAL;

  REPEAT i: = LOINDEX(rsrc) TO HIINDEX(rsrc);
    resource_set: = resource_set + rsrc[i].corresponds_to;
  END_REPEAT;
  RETURN(resource_set);
END_FUNCTION;
(*
```

### 5.3.48 set\_of\_test

#### EXPRESS specification:

Function `set_of_test` constructs a set of tests as defined in the CEM when given a set of `inferred_tests` from the DCM.

```
*)
FUNCTION set_of_test
  (tst: SET [0:?] OF inferred_test): SET [0:?] OF test;

  LOCAL
    test_set: SET [0:?] OF test: = [];
  END_LOCAL;

  REPEAT i: = LOINDEX(tst) TO HIINDEX(tst);
    test_set: = test_set + tst[i].corresponds_to;
  END_REPEAT;
  RETURN(test_set);
END_FUNCTION;
(*
```

### 5.3.49 test\_set\_union

#### EXPRESS specification:

Function `test_set_union` constructs a set of tests by taking the union of all of the test entities associated with each member of a set of `active_model`.

```
*)
FUNCTION test_set_union
  (mdl: SET [1:?] OF active_model): SET [1:?] OF test;
```

```

LOCAL
  test_set: SET [1:?] OF test:= [];
END_LOCAL;

REPEAT i:= LOINDEX(mdl) TO HIINDEX(mdl);
  test_set:= test_set +
    QUERY(tmp <* mdl[i].corresponds_to.model_element |
      'AI_ESTATE_COMMON_ELEMENT_MODEL.test' IN TYPEOF(tmp));
END_REPEAT;
RETURN(test_set);
END_FUNCTION;
END_SCHEMA;
(*

```

### 5.3.50 Dynamic Context Model EXPRESS-G diagrams

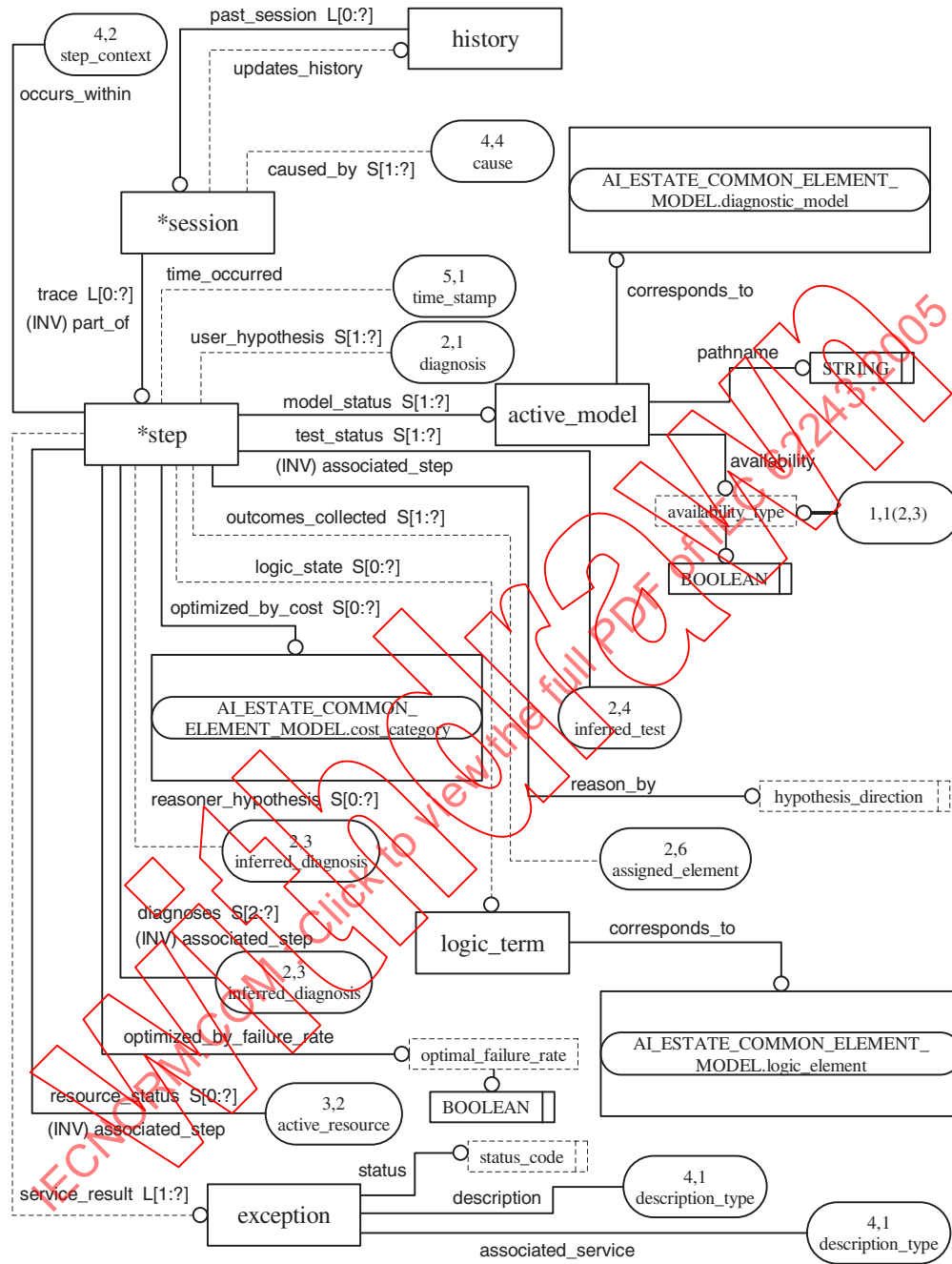


Figure 13—Dynamic Context Model EXPRESS-G: Diagram 1 of 5

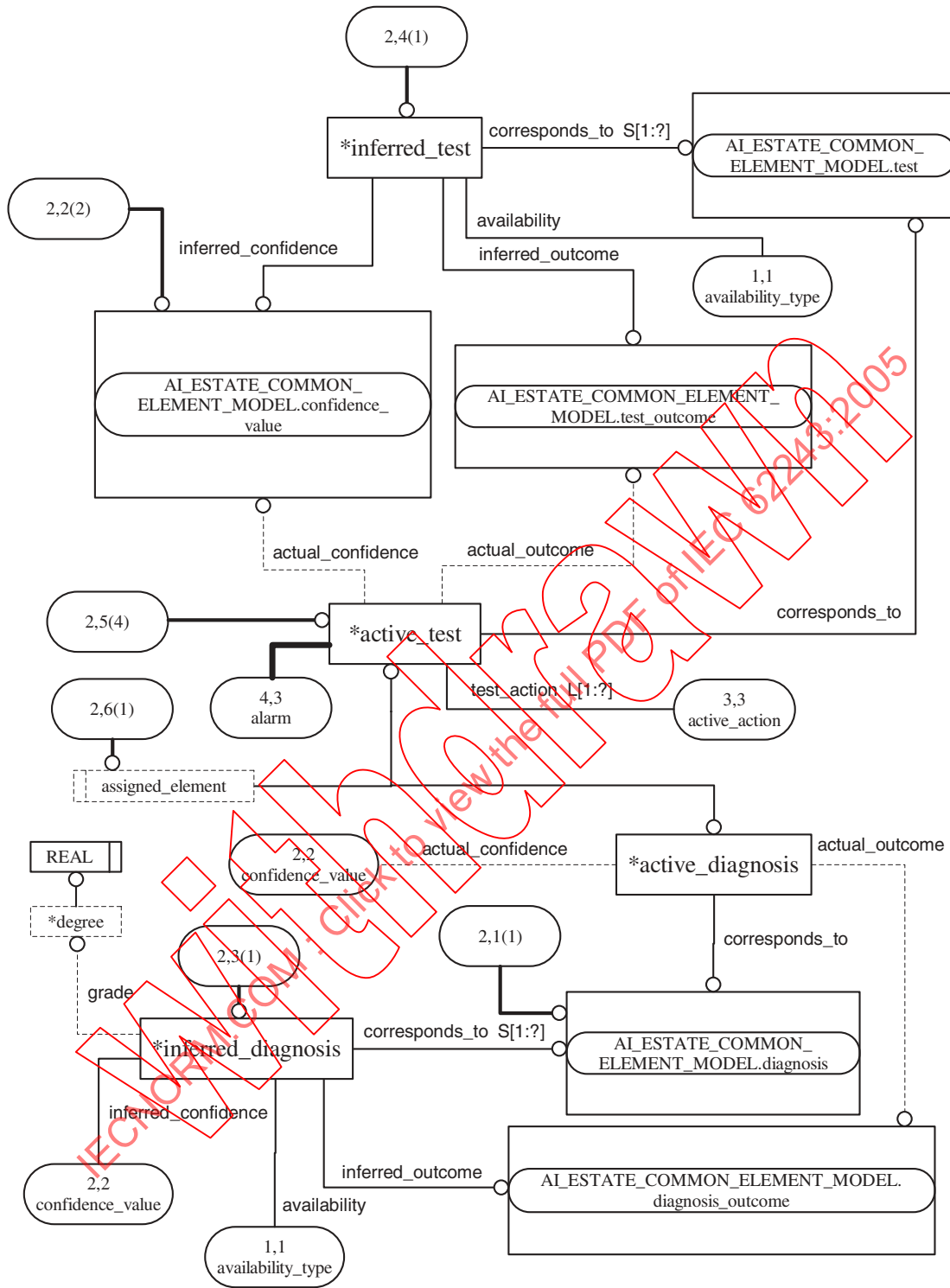


Figure 14—Dynamic Context Model EXPRESS-G: Diagram 2 of 5

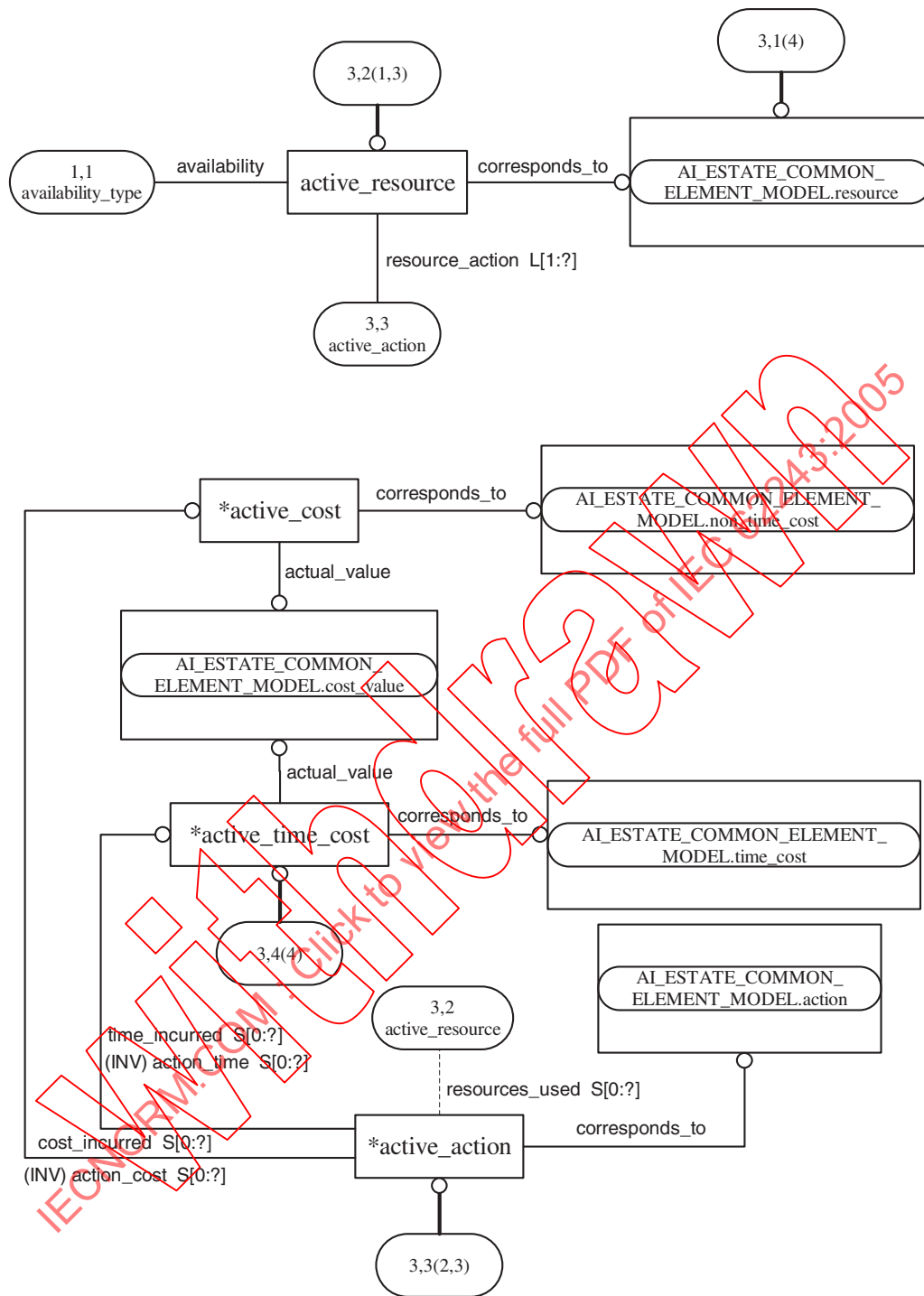


Figure 15—Dynamic Context Model EXPRESS-G: Diagram 3 of 5

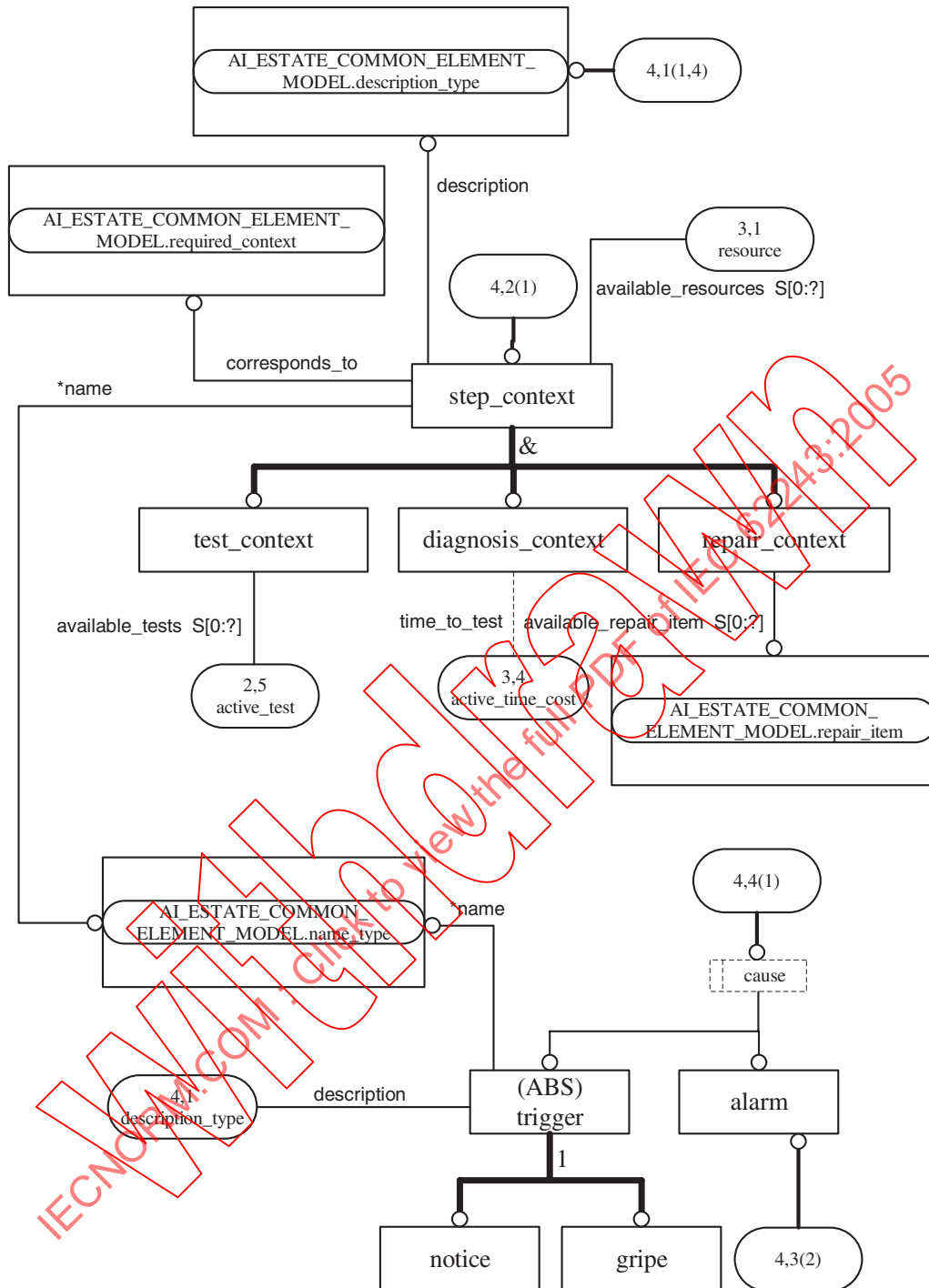


Figure 16—Dynamic Context Model EXPRESS-G: Diagram 4 of 5

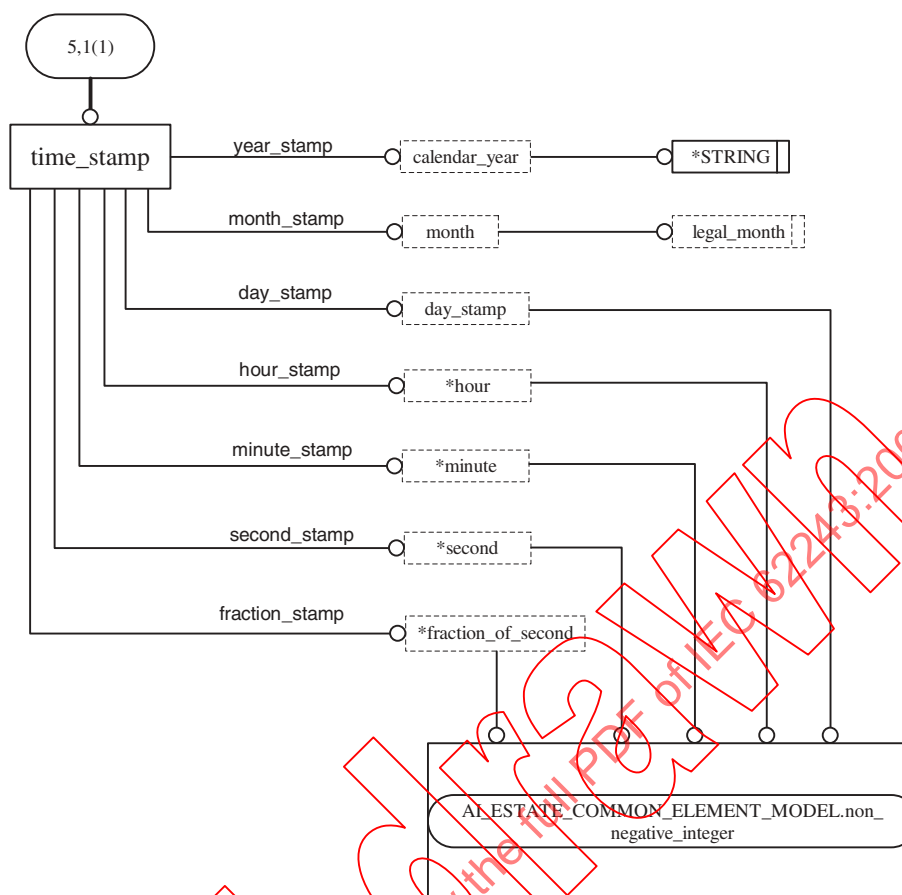


Figure 17—Dynamic Context Model EXPRESS-G: Diagram 5 of 5

\*)  
(\*)

## 5.4 Enhanced Diagnostic Inference Model

The AI-ESTATE Enhanced Diagnostic Inference Model (EDIM) schema is defined below. The constructs of this model were originally derived from the approach to system test and diagnosis known as *information flow modeling* or *dependency modeling* (Simpson and Sheppard [B6, B7, B8]). The model utilizes many of the constructs defined in the AI-ESTATE Common Element Model.

An inference is a logical relationship between two tests or between a test and a diagnosis. Given a particular test outcome, inferences about other tests and/or diagnoses of the system can be made. Tests offer a view of the associated fault, function, or diagnosis.

In the AI-ESTATE EDIM, test outcomes have been generalized beyond pass or fail outcomes to multiple outcomes for a test. Inferences are therefore identified between a particular test outcome and other test outcomes and asserted conditions of diagnostic units.

A particular test outcome that is inferred from another outcome is represented as a *test\_inference* within the EDIM. Analogously, a *diagnostic\_inference* within the EDIM asserts a condition of good, candidate, or some user-defined diagnosis outcome on a particular diagnostic unit. These two inference elements are the terms that compose the inference associated with a test outcome. Both *test\_inference* and *diagnostic\_inference* are subtypes of inference.

The set of inferences associated with a particular test outcome are represented in sum of products form (a disjunction of conjunctive terms). This representation provides flexibility and consistency in the logical expression of the inferences.

EXPRESS specification:

```
*)
SCHEMA ENHANCED DIAGNOSTIC INFERENCE MODEL;
  REFERENCE FROM AI_ESTATE_COMMON_ELEMENT_MODEL
    (diagnostic_model,
     test_outcome,
     confidence_value,
     diagnosis_outcome);
(*
```

#### 5.4.1 inference\_type

This type specifies whether or not an inference has been negated. This is intended to serve as a NOT operator. If an inference is considered NEGATIVE, it has been negated. If it is POSITIVE, it has not.

EXPRESS specification:

```
*)
  TYPE inference_type = ENUMERATION OF
    (POSITIVE,
     NEGATIVE);
  END_TYPE;
(*
```

#### 5.4.2 diagnostic\_inference

Entity `diagnostic_inference` represents an inference of a diagnosis as “good” or still a “candidate” from a particular test outcome (as identified by `outcome_inference`). Uncertainty associated with this inference can be specified in the confidence attribute that has been inherited from the inference entity.

EXPRESS specification:

```
*)
  ENTITY diagnostic_inference
    SUBTYPE OF (inference);
    diagnostic_assertion : diagnosis_outcome;
  END_ENTITY;
(*
```

Attribute definitions:

`diagnostic_assertion` : The condition of the diagnosis to which the inference applies. The condition of the unit is asserted to be either “good” or still a “candidate.” Since this is a diagnosis outcome, additional values can be assigned as well.

### 5.4.3 enhanced\_diagnostic\_inference\_model

This entity represents the constituents of the EDIM. This construct also identifies the diagnoses, tests, and, optionally, required resources for the system under test being modeled.

#### EXPRESS specification:

```
*)
  ENTITY enhanced_diagnostic_inference_model
    SUBTYPE OF (diagnostic_model);
    inference : SET [2:?] OF outcome_inference;
  END_ENTITY;
(*
```

#### Attribute definitions:

**inference** : This attribute identifies the set of outcome\_inference that comprises the model of the system under test. To be useful, a model shall consist of at least two inferences corresponding to inferences from the minimum number of outcomes for the minimum number of tests in the model.

### 5.4.4 inference

This entity is a supertype of the entities diagnostic\_inference and test\_inference. Inference is either a test inference or a diagnosis inference, but not both.

#### EXPRESS specification:

```
*)
  ENTITY inference
    ABSTRACT SUPERTYPE OF (ONEOF(diagnostic_inference, test_inference));
    pos_neg : inference_type;
    confidence : OPTIONAL confidence_value;
  END_ENTITY;
(*
```

#### Attribute definitions:

**pos\_neg** : A particular inference can either be a positive inference or negative inference. A positive inference is one that is TRUE. A negative inference is an inference that is FALSE. Thus, the negative inference is a positive inference with a NOT in front of it. In the EDIM, all inferences are treated as if they are asymmetric, meaning that nothing can be assumed about the inference from a particular outcome of a test because of another outcome of the same test. Thus, all outcome\_inferences shall be explicitly defined.

**confidence** : A confidence entity that identifies the statistical confidence in the inference association from the outcome in outcome\_inference to the following:

- (a) The particular outcome identified in this entity if this entity is a test\_inference.
- (b) The particular diagnosis identified in this entity, in the condition identified in diagnostic\_assertion, if this entity is a diagnostic\_inference.

### 5.4.5 outcome\_inference

This construct pairs a particular outcome of a particular test with a set of inferences represented in a conjunct/disjunct form. Each inference entity of the set is a single inference of type `test_inference` or `diagnostic_inference`. Since inference information is specific to the Enhanced Diagnostic Inference Model (EDIM), it is necessary to identify the test outcomes from the Common Element Model (CEM) with which the inferences are associated. Hence, the constructs for representing inferences are found in the EDIM, along with a pairing of these inference constructs with test outcomes from the CEM.

#### EXPRESS specification:

```
*)
  ENTITY outcome_inference;
    disjuncts          : SET OF inference;
    conjuncts          : SET OF inference;
    associated_test_outcome : test_outcome;
  UNIQUE
    one_outcome      : associated_test_outcome;
  END_ENTITY;
(*
```

#### Attribute definitions:

|                         |                                                                                                                                                                                                                                                                                                                                   |
|-------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| disjuncts               | : This attribute is required, but the set can be empty. If the set is empty, then no inference can be drawn from the outcome. The set is interpreted as an ORed set of inferences to be drawn.                                                                                                                                    |
| conjuncts               | : Each inference entity of the set is a single inference of type <code>diagnostic_inference</code> or <code>test_inference</code> . This attribute is required, but the set can be empty. If the set is empty, then no inference can be drawn from the outcome. The set is interpreted as an ANDed set of inferences to be drawn. |
| associated_test_outcome | : This attribute identifies a particular outcome of the value of the <code>test_outcome.for_test</code> attribute to which the value of the <code>outcome_inference</code> attribute applies, where the <code>test_outcome.for_test</code> attribute identifies a particular test.                                                |

### 5.4.6 test\_inference

Entity `test_inference` represents an inference about a test made from a test outcome. In other words, a test outcome that references this entity can depend on the particular outcome, identified in the `outcome_inference`, of the test identified in `outcome_inference.for_test`. Uncertainty associated with this inference can be specified in the confidence attribute that has been inherited from the inference entity.

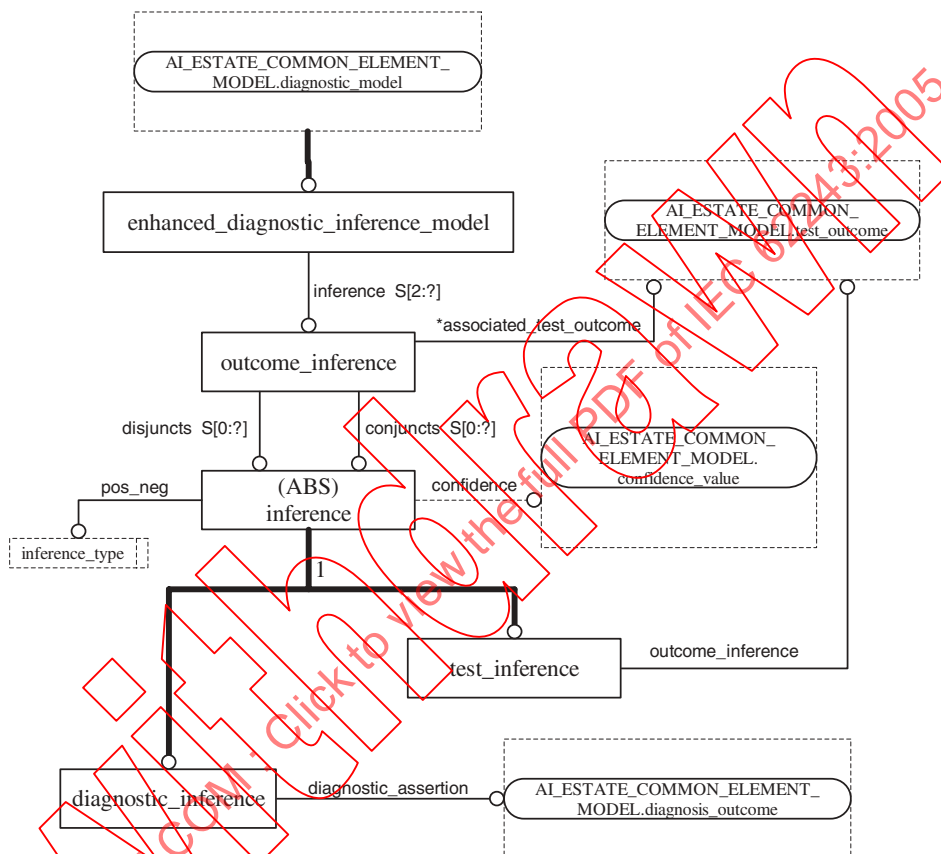
#### EXPRESS specification:

```
*)
  ENTITY test_inference
    SUBTYPE OF (inference);
    outcome_inference      : test_outcome;
  END_ENTITY;
(*
```

Attribute definitions:

outcome\_inference : This attribute identifies the test and associated outcome to be inferred.  
The test is identified by the for\_test attribute of the test outcome.  
\*)  
END\_SCHEMA;  
( \*

#### 5.4.7 Enhanced Diagnostic Inference Model EXPRESS-G diagram



**Figure 18—Enhanced Diagnostic Inference Model EXPRESS-G: Diagram 1 of 1**

\*)  
( \*

#### 5.5 Fault Tree Model

This clause defines the AI-ESTATE Fault Tree specification. The constructs defined here are specific to the fault tree approach to system test and diagnosis. In this diagnostic method, a decision tree with fixed fault isolation strategies is constructed a priori and remains static during the diagnosis. The fault tree provides a test strategy that can be used without the aid of a reasoning system. This specification is included in the AI-ESTATE standard, since it is frequently used as the primary diagnostic strategy or in conjunction with other test generation strategies.

The structure of a fault tree can be viewed as a decision tree or table. The rows of the table correspond to the different tests to be run during the fault isolation procedure. Each column of a particular row corresponds to one of the possible outcomes for that test. The contents of a particular row and column

in the table identify the test result to be taken when the outcome identified occurs as the result of executing the test. The test result can identify the next row of the table to which to proceed, signal the diagnostic conclusion that is faulty, or simply provide information on the status of the fault isolation.

The Fault Tree Model draws on elements of the AI-ESTATE Common Element Model. The test entity corresponds to a row in the table such as that described previously. Each column position of a particular row in the table corresponds to the `test_result` entity in the model. The fault tree is processed by starting at the first step, executing the test associated with that test, and proceeding with the actions prescribed for the outcome that results. When another step of the fault tree appears in the column entry for the resulting test outcome, execution of the fault tree proceeds to that fault tree step. Eventually, the column entry for the resulting test outcome should identify the diagnosis and at this point (when no other fault tree steps appear in the column entry), processing of the fault tree is complete. As an option, running lists of suspected diagnoses can be included at any or all steps of the fault tree.

EXPRESS specification:

```
*)
SCHEMA AI_ESTATE_FAULT_TREE_MODEL;
  REFERENCE FROM AI_ESTATE_COMMON_ELEMENT_MODEL
    (diagnostic_model,
     test,
     test_outcome,
     diagnosis_outcome);
(*
```

### 5.5.1 fault\_tree\_model

The `fault_tree_model` entity represents the fault tree at the highest level of abstraction. Thus, it defines an entry point into the fault tree by identifying the first step (i.e., the root) of the tree. Multiple entry points can be defined but, to maintain acceptable form, they should be treated as separate models.

EXPRESS specification:

```
*)
ENTITY fault_tree_model
  SUBTYPE OF (diagnostic_model);
  entry_point : fault_tree_step;
END_ENTITY;
(*
```

Attribute definitions:

`entry_point` : Attribute `entry_point` identifies the first `fault_tree_step` in the fault tree. This is normally the starting point of the fault tree, but can represent any entry point into the tree.

### 5.5.2 fault\_tree\_step

This construct represents a row of the fault tree table. Its entries identify the test to be run at this step in the fault tree and which test result/action pairs to follow. The `test_step` attribute uses the test entity of the AI-ESTATE Common Element Model.

EXPRESS specification:

```

*)
ENTITY fault_tree_step;
    result                : SET [2:?] OF test_result;
    test_step             : test;
INVERSE
    previous_result      : test_result FOR next_step;
WHERE
    outcomes_are_valid   : result_outcomes(result) =
                        test_step.has_outcome;

END_ENTITY;
( *

```

Attribute definitions:

result : A set of at least two test\_result entities that comprise the outcome/action pairs for the test identified in the test\_step attribute. There should be a test\_result entity in the result set for each possible outcome of the test in test\_step.

test\_step : Identifies the test that is to be run for this step of the fault tree.

previous\_result : Identifies the result in the fault tree that leads to the new step in the fault tree.

Formal propositions:

outcomes\_are\_valid : This rule verifies that there exists a legal outcome for the test for every test\_result specified at this step in the fault tree. The rule is satisfied when the set of outcomes equals the set returned by the function result\_outcomes.

### 5.5.3 test\_result

Entity test\_result provides the outcome associated with a test. That outcome is then paired with the corresponding test result, indicating the next step in the tree by pointing to that step. If appropriate, the next step of the fault tree to which execution should proceed is identified in the next\_step attribute.

EXPRESS specification:

```

*)
ENTITY test_result;
    next_step                : OPTIONAL fault_tree_step;
    test_out                 : test_outcome;
    current_diagnosis_outcome : SET OF diagnosis_outcome;
INVERSE
    associated_step          : fault_tree_step FOR result;
WHERE
    leaves_have_diagnoses   : (EXISTS(next_step))
                        (SIZEOF(current_diagnosis_outcome) > 0);
END_ENTITY;
( *

```

#### Attribute definitions:

|                                        |                                                                                                                                                                                                                                                                                                                                              |
|----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <code>next_step</code>                 | : Identifies which <code>fault_tree_step</code> to execute next when the outcome in <code>test_outcome</code> results from the execution of the test of this <code>fault_tree_step</code> . This attribute is optional. When no <code>fault_tree_step</code> is identified, the <code>test_result</code> entity is a leaf of the fault tree. |
| <code>test_out</code>                  | : Identifies the outcome of the test of this <code>fault_tree_step</code> to which this construct applies.                                                                                                                                                                                                                                   |
| <code>current_diagnosis_outcome</code> | : Identifies the diagnosis elements in the model that are indicted (i.e., accused) by the sequence of tests leading up to this point in the fault tree. This attribute is used to report the diagnosis resulting from traversing the tree.                                                                                                   |
| <code>associated_step</code>           | : Identifies the step with which the current result is associated. Since this is not a set, it enforces the tree structure of the fault tree (i.e., it is not a decision graph).                                                                                                                                                             |

#### Formal propositions:

`leaves_have_diagnoses` : This rule constrains the `current_diagnosis_outcomes` attribute that is a required attribute such that the associated list can be empty if associated with an internal node of the tree, but if the node is a leaf (i.e., a terminal step in the tree), then the `current_diagnosis_outcomes` list cannot be empty.

### 5.5.4 result\_outcomes

#### EXPRESS specification:

Function `result_outcomes` takes a set of test results and returns the corresponding set of test outcomes to ensure that the outcomes listed correspond to the outcomes available at the step in the tree.

```

*)
FUNCTION result_outcomes
  (results:SET [0:?] OF test_result) : SET [0:?] OF test_outcome;
  LOCAL
    t_out: SET [0:?] OF test_outcome := [];
  END_LOCAL;

  REPEAT i := LOINDEX(results) TO HIINDEX(results);
    t_out := t_out + results[i].test_out;
  END_REPEAT;
  RETURN(t_out);
END_FUNCTION;
END_SCHEMA;
( *
```

### 5.5.5 Fault Tree Model EXPRESS-G diagram

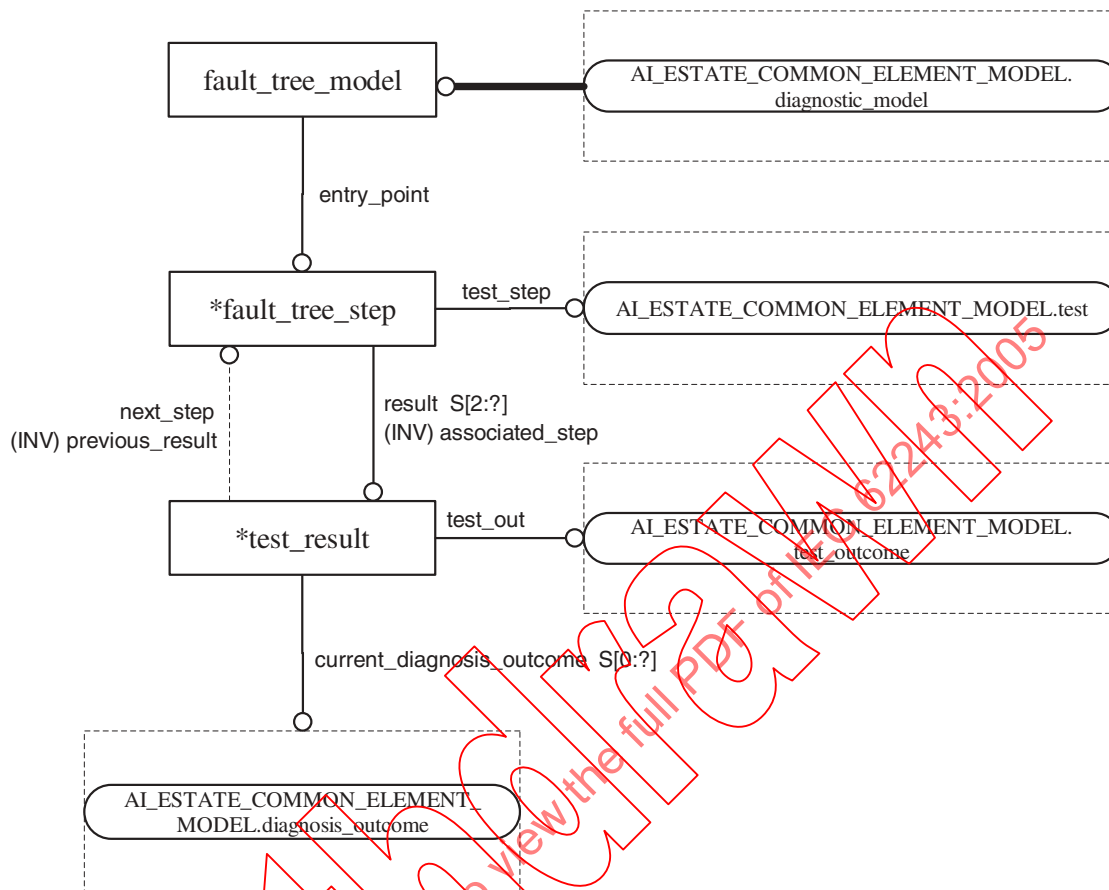


Figure 19—Fault Tree Model EXPRESS-G: Diagram 1 of 1

\*)

## 6. Services

This clause specifies a set of services for model management and reasoner manipulation. The services are specified using EXPRESS notation and reference entities in the information models specified in Clause 5. The identification of an entity is provided within a service call via an ID parameter.

The name of the ID parameter in the service call will identify the entity type. The standard specifies a format for the ID parameter name; for example,

ID\_<entitytype> : entity\_id

where <entitytype> is the type of the entity that the ID references. For the service definitions that follow, <attributetype> indicates the type of an attribute in the model and <attributename> indicates the specific attribute identifier from the model.

NOTE—entity\_id uniquely identifies an entity of type <entitytype>. Further, a particular entity\_id is unique to the state of the reasoner and will persist through the corresponding reasoning session.