
**Mechanical vibration — Evaluation of
machine vibration by measurements
on non-rotating parts —**

**Part 21:
Horizontal axis wind turbines with
gearbox**

*Vibrations mécaniques — Évaluation des vibrations des machines par
mesurages sur les parties non tournantes —*

Partie 21: Turbines éoliennes à axe horizontal avec multiplicateur



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ISO copyright office
Ch. de Blandonnet 8 • CP 401
CH-1214 Vernier, Geneva, Switzerland
Tel. +41 22 749 01 11
Fax +41 22 749 09 47
copyright@iso.org
www.iso.org

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: [Foreword - Supplementary Information](#)

The committee responsible for this document is ISO/TC 108, *Mechanical vibration, shock and condition monitoring*, Subcommittee SC 2, *Measurement and evaluation of mechanical vibration and shock as applied to machines, vehicles and structures*.

ISO 10816 consists of the following parts, under the general title *Mechanical vibration — Evaluation of machine vibration by measurements on non-rotating parts*:

- Part 1: General guidelines
- Part 2: Land-based steam turbines and generators in excess of 50 MW with normal operating speeds of 1 500 r/min, 1 800 r/min, 3 000 r/min and 3 600 r/min
- Part 3: Industrial machines with nominal power above 15 kW and nominal speeds between 120 r/min and 15 000 r/min when measured in situ
- Part 4: Gas turbine sets with fluid-film bearings
- Part 5: Machine sets in hydraulic power generating and pumping plants
- Part 6: Reciprocating machines with power ratings above 100 kW
- Part 7: Rotodynamic pumps for industrial applications, including measurements on rotating shafts
- Part 8: Reciprocating compressor systems
- Part 21: Horizontal axis wind turbines with gearbox

A part 22 on horizontal axis wind turbines without gearbox is planned.

Introduction

Previous International Standards available for evaluating the vibration of structures and machines cannot be applied to wind turbines due to the special nature of their construction and operation. The vibration of the tower and nacelle of a wind turbine caused by the effects of wind, flow disturbances due to the tower (tower dam effect), the natural vibration of the rotor blades and structure itself (tower and foundation), and additionally, e.g. sea swell in the case of offshore wind turbines, differs from that of other industrial structures with respect to the time behaviour and spectra of the vibration.

ISO 10816-1, dealing with the measurement and evaluation of machine vibration, could be called on for the components of wind turbines (rotor bearing, gearbox, and generator). It is the basis of a number of other International Standards, including ISO 10816-3, for industrial machines of all kinds. Wind turbines are, however, expressly excluded from the scope of ISO 10816-3.

The criteria laid down in the other parts of ISO 10816 would, in principle, be applicable to wind turbine components. However, these criteria apply only to vibration generated within the machine set itself, and thus, affect its components directly. The criteria are also valid for evaluating the vibration emission (i.e. emission into the environment of a machine set), but they cannot be applied to vibration transmitted to the machines from external sources (i.e. vibration immission, structure-borne noise). With wind turbines, these are the effects of vibration of the tower or nacelle which are excited by wind and, in the case of offshore wind turbines, additionally by sea swell. Due to the extreme flexibility of blades and tower and the low rotor rotational speeds, it is necessary to include the low-frequency vibration in the measurement and evaluation.

The necessity to measure and evaluate the low-frequency vibration of the components in response to periodic and stochastic excitation sources requires modified evaluation quantities in contrast to ISO 10816-3 and this is complicated by the effects of wind and waves on the wind turbine structure which leads to high-amplitude, low-frequency vibration.

Due to the great influence of the vibration magnitude of a wind turbine on the stress of all components and thus on their operational reliability and service life, there is great interest of stakeholders involved in the manufacture, ownership operation, service, maintenance, and financing of wind turbines in having a recognized standard which provides criteria and recommendations regarding the measurement and evaluation of the mechanical vibration of wind turbines and their components. This is the central task of this part of ISO 10816 and a subsequent part 22 which is planned.

The aim of this part of ISO 10816 is to standardize measurements, to assist in their evaluation and to make possible a comparative evaluation of the vibration measured in wind turbines and their components. In the event of evaluation zone boundaries being exceeded, the results of such measurements should enable conclusions to be drawn regarding possible threats to the corresponding components of the wind turbine or to the installation as a whole, but without identifying the corresponding causes in any detail. If evaluation zone boundaries are not exceeded, the running behaviour can well be normal, but this does not rule out the possibility of individual instances of damage. Evaluation zone boundary values are not intended to be used as acceptance values. These need to be agreed on between the manufacturer and the user.

The working principle of wind turbines covered by this part of ISO 10816 is based on a rotating rotor with a horizontal rotational axis. The rotor consists of a rotor hub with rotor blades which are either mounted immovably or which can be turned on their longitudinal axis. The rotor hub is connected to the drive train of the wind turbine. The mechanical energy is converted into electrical energy by a generator which is driven via a gearbox or directly. As a rule, these energy conversion components are accommodated in a machinery housing which is referred to as the nacelle. The nacelle is mounted on bearings which allow it to rotate on the tower while the tower itself stands on its own foundation.

The rotor blades, and thus the rotor, are exposed not only to asymmetrically incoming air flow, but also to stochastic wind speed fluctuations. Asymmetric incoming flows are, for example, the result of wind turbulence, gusts, off-axis flow into the rotor, as well as different wind speeds distributed over the rotor surface. In addition to aerodynamic loads, the wind turbine is also affected by inertial forces and by loads resulting from different operating situations. Superimposition of the external conditions

on the operating conditions such as power output and rotational speed, taking into consideration the constructive design of the wind turbine or its individual components, results in alternating loading on the entire wind turbine with its rotor, drive train, tower and foundation, and thus leads to vibration excitation at the individual components.

Drive trains consist of assemblies which are different in their type of design and their particular shafts. Therefore, they can excite vibration which is dependent or independent of rotational speed. Depending on the manufacturer and design, a different vibratory behaviour is shown by the generators, gearboxes and clutches, not only as individual assemblies, but also in interaction with, and depending on the type of wind turbine installation. Depending on the exciter and excitation range, the occurring vibration can, for example, result from poor alignment and lead to gear-tooth engagement shocks in the gearbox. Furthermore, resonance vibration can occur in the drive train. For these reasons, it is imperative in all cases to take the entire wind turbine into consideration, i.e. the drive train with rotor blades, nacelle, and tower.

On account of the great influence which the type of mechanical drive train can have on the vibration magnitude of all wind turbine components, it is necessary to divide the wind turbines into two groups:

- Group 1: horizontal axis wind turbine installations with generators coupled to the rotor via a gearbox;
- Group 2: horizontal axis wind turbine installations with generators coupled to the rotor without a gearbox (direct drive).

This part of ISO 10816 applies to group 1 wind turbines. A part 22 for group 2 wind turbines is planned and a limited amount of measured data is already available.

Mechanical vibration — Evaluation of machine vibration by measurements on non-rotating parts —

Part 21: Horizontal axis wind turbines with gearbox

1 Scope

This part of ISO 10816 specifies the measurement and evaluation of mechanical vibration of wind turbines and their components by taking measurements on non-rotating parts. It applies to horizontal axis wind turbines with mechanical gearbox and rated generator output exceeding 200 kW and the following design and operational characteristics:

- a) installation on supporting systems (tower and foundation) made of steel and/or concrete;
- b) horizontal axis rotor with several rotor blades;
- c) rotor bearing separate from or integrated into the gearbox;
- d) generators driven via gearbox;
- e) generators of the synchronous or asynchronous type (mostly equipped with 4-pole generator);
- f) generators with only a fixed pole number or which are pole-changeable for speed adjustment;
- g) output control by rotor blades (pitch or stall wind turbines);
- h) generator coupled to the power grid via converter or directly.

This part of ISO 10816 recommends zones for evaluating the vibration at continuous load operation. However, in most cases, these evaluation zone boundaries might not be suitable for the early detection of faults. This part of ISO 10816 does not specify vibration values for the zone boundaries because there are insufficient data available for the complete range of wind turbines in the worldwide fleet covered by this part of ISO 10816. However, for information only, [Annex A](#) presents evaluation zone boundaries for onshore wind turbines. These zone boundaries are based on vibration data from about 1 000 wind turbines with rated generator output up to 3 MW. They can be helpful in facilitating discussion between users and manufacturers. Evaluation zone boundaries for offshore wind turbines are not yet available.

Although the type and implementation of broad-band vibration monitoring for wind turbines is addressed, this part of ISO 10816 does not apply to diagnostics or fault detection by condition monitoring of wind turbines.

NOTE 1 Information on condition monitoring and diagnostics of wind turbines will be given in ISO 16079 (all parts)¹⁾.

The evaluation of the balance quality of the slowly turning wind turbine rotor, which requires special measurements and analysis, is not covered by this part of ISO 10816.

This part of ISO 10816 does not apply to the evaluation of torsional vibration in the drive train. Although coupled lateral and torsional vibration of tower and drive train can affect the amplitudes of the defined vibration characteristics, diagnosis of this kind of vibration source is not feasible by the described measurement methods described in this part of ISO 10816. For general design verification purposes and

1) To be published.

for specific fault diagnosis, special measurements are required which are beyond the scope of this part of ISO 10816.

NOTE 2 IEC/TS 61400-13 describes load measurement by use of strain gauges on the supporting structure and blades. Techniques to assist the detection of rolling element bearing and gearbox defects can be found in ISO 13373-2. Measurement and evaluation of structure-borne noise with rolling element bearings are given in VDI 3832.

This part of ISO 10816 does not also apply to acceptance measurements on gearboxes and generators in the manufacturer's test facility.

NOTE 3 These are assessed on the basis of appropriate standards namely ISO 8579-2 and IEC 60034-14.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 2041, *Mechanical vibration, shock and condition monitoring — Vocabulary*

ISO 2954, *Mechanical vibration of rotating and reciprocating machinery — Requirements for instruments for measuring vibration severity*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 2041 and the following apply.

NOTE Special terms related to wind turbines are defined in IEC 60050-415. In this part of ISO 10816, for convenience, a wind turbine generator system (WTGS) is called simply a wind turbine (WT).

3.1 assessment acceleration

a_{w0}

broad-band r.m.s. value of acceleration in a given frequency band measured over a certain period of time

3.2 assessment velocity

v_{w0}

broad-band r.m.s. value of vibration velocity in a given frequency band measured over a certain period of time

4 Basic principles

4.1 Measurement and characteristic quantities

Characteristic quantities are formed by means of prescribed procedures from the raw measured signal (measured quantity). Measurements should be carried out at prescribed measuring positions, in specified measuring directions and under defined operating conditions. Exact measurement positions and directions may deviate from the recommendations provided in this part of ISO 10816 due to design of the housings or other access issues. Wind turbine manufacturers should provide transducer mounting positions appropriate to the aims of this part of ISO 10816. Procedures include the necessary signal processing, filtering, and averaging, as well as the formation of characteristic values or characteristic functions, and finally, displaying the results. This process is also referred to as the formation of characteristic quantities.

General information is provided in ISO 10816-1 and VDI 3839 part 1 regarding the measured and characteristic quantities used in this part of ISO 10816 as well as the procedures and instruments normally used for measuring and analysing machine vibration.

For the evaluation of the effects of vibration on wind turbines and their components, the machine vibration evaluation procedures mentioned in other standards or guidelines need to be supplemented to enable formation of the characteristic quantities.

4.2 Averaging methods and evaluation quantities for wind turbine vibration

The operating conditions applicable to wind turbines such as the continual changes in the strength and direction of the wind result in continually changing vibration excitations and, as a consequence of this, short-term changes in measured values with sudden variations in magnitude which are often considerable. Only seldom do such stable conditions occur for extended periods as are specified in the different parts of ISO 10816 for the evaluation of the vibration state.

For this reason, with wind turbines, it is absolutely essential when forming the characteristic quantities to average the measured values over specified time periods so as to compensate for any fluctuations. Before any comparison can be made against the evaluation zone boundaries (see [Annex A](#)), the values shall be based on comparably averaged data.

The averaging method chosen for wind turbines should be energy-equivalent averaging. Here, for example, from the measured acceleration in the time domain, an assessment acceleration a_{w0} is defined being a broad-band r.m.s. value. It characterizes the total loading over an evaluation period (which needs to be defined; see [4.3](#)) and is obtained from the energy-equivalent mean value of the measured acceleration in a given frequency band in accordance with Formula (1):

$$a_{w0} = \sqrt{\frac{1}{T_0} \int_0^{T_0} a_w^2(t) dt} \quad (1)$$

where

$a_w(t)$ is the acceleration in a given frequency band measured as a function of time;

T_0 is the evaluation period (see [4.3](#)).

The frequency bands are specified in [Clause 5](#). However, other frequency bands based on experience may be appropriate.

The assessment vibration velocity v_{w0} can be calculated in a similar way.

The evaluation of the vibration state is not only based on the assessment acceleration, but also on the assessment velocity.

NOTE The method described in this part of ISO 10816 for averaging the measured acceleration corresponds to that described in ISO 2631-1 when, for example, the long-term effect of vibration needs to be evaluated. The averaging method is formally the same as that described in ISO 8041 for obtaining the time-averaged r.m.s. value when the evaluation period T_0 is used for the time interval T defined there.

The evaluation period selected and the frequency bands used shall be indicated in all test records or reports together with the evaluation quantities concerned.

4.3 Evaluation period

The evaluation period, T_0 , depends not only on the nature and time history of the effects on the entire installation and its components caused by wind, but also on the assessment quantity.

For the aerodynamically excited vibration of the nacelle, tower, and components with frequencies between 0,1 Hz and 10 Hz, and relatively high accelerations and velocities, the evaluation period should be set at 10 min. In this way even those vibration components with frequencies around or below 1 Hz (i.e. at the rotational frequency of the rotor) can be reliably measured and analysed.

At gearboxes and generators, characteristic design-related vibration with frequencies between 10 Hz and more than 1000 Hz can occur in addition. If evaluation is only to be concerned with these higher-frequency parts of the spectrum, shorter evaluation periods of, for example, 1 min, will suffice.

It might be necessary to subdivide the 10 min evaluation period into shorter time periods, T_e , which are determined by the measuring device or the operating conditions. If the vibration excitations change markedly during these time periods, this yields in each case different energy-equivalent mean values, a_{we} .

During any measurement interval, a record should be kept of the wind speed, load, and the variation thereof. This may be obtained retrospectively from the control system.

The assessment acceleration, a_{w0} , for the evaluation period, T_0 , can be found from n shorter time periods, T_e , using Formula (2):

$$a_{w0} = \sqrt{\frac{1}{T_0} \sum_{e=1}^n a_{we}^2 T_e} \quad (2)$$

where

$$T_0 = \sum_{e=1}^n T_e$$

5 Instructions on measurement and interpretation

5.1 General

In [Clause 5](#), the characteristic quantities, measuring positions, and measuring directions are specified for the wind turbine as a structure and for its components.

Measurements should be taken on the bearing support housings or other structural parts which significantly respond to the dynamic forces and characterize the overall vibration of the machine. Typical measurement locations are shown in [Figures B.1](#) and [B.2](#). If the manufacturer has prepared suitable measuring positions to attach vibration transducers, these should preferably be used.

Although triaxial measurements at every measurement position would fully define the vibration of the machine, the full extent of measurement positions and directions may not always be necessary in order to keep the task of routine vibration verification manageable. Based on experience, the extent of the measurements may be reduced case by case by the parties involved.

Vibration measurement shall be made in units appropriate to the location.

In all cases, the vibration acceleration and the vibration velocity shall be evaluated. During any measurement interval, the wind speed, load, and the variation thereof should be documented.

5.2 Nacelle and tower

5.2.1 General

The vibration of the nacelle and tower of a wind turbine caused by the effects of wind, of flow disturbances due to the tower (tower dam effect), and of the natural vibration of the rotor blades and structure itself (tower and foundation) differ from those of other industrial structures with respect to the time behaviour and spectra of the vibration.

5.2.2 Characteristic quantities are the following r.m.s. values:

- a) assessment acceleration, in m/s^2 ;
- b) assessment velocity, in mm/s .

Both are formed in the frequency band 0,1 Hz to 10 Hz during the evaluation period specified in [4.3](#).

The lower limit of the frequency range (lower cut-off frequency) should normally set to 0,1 Hz. Only in the rare case that the wind turbine is operating at a rotor frequency below 0,1 Hz (6 r/min) during the evaluation period, the lower cut-off frequency shall be decreased below 0,1 Hz in such a way that the rotor spin frequency lies within the flat response region of the frequency band.

5.2.3 Typical measuring positions are

- a) in the nacelle at the main frame close to the rotor main bearing,
- b) on the structure above the tower flange, and
- c) in the rear end of the nacelle on one side of the generator or main frame.

NOTE [Figures B.1](#) and [B.2](#) show, by way of example, the measuring positions on the structure (nacelle and tower).

5.2.4 Measuring directions are

- a) axial (direction of the rotor shaft),
- b) horizontal (transversely to the rotor shaft), and
- c) vertical.

5.3 Rotor bearing

5.3.1 Characteristic quantities are the following r.m.s. values:

- a) assessment acceleration, in m/s^2 , formed in the frequency band 0,1 Hz to 10 Hz (for the lower cut-off frequency of 0,1 Hz, see the last paragraph in [5.2.2](#));
- b) assessment velocity in mm/s formed in the frequency band 10 Hz to 1 000 Hz.

Both are formed during the evaluation period specified in [4.3](#).

5.3.2 Typical measuring positions are

- a) for three-point suspension, on the housing of the front bearing (see e.g. [Figure B.1](#)), and
- b) for two separate rotor bearings, on both bearing housings (see e.g. [Figure B.2](#)).

5.3.3 Measuring directions are

- a) axial (direction of the rotor shaft),
- b) horizontal (transversely to the rotor shaft), and
- c) vertical.

5.4 Gearbox

5.4.1 Characteristic quantities are the following r.m.s. values:

- a) assessment acceleration in m/s^2 formed in the two frequency bands 0,1 Hz to 10 Hz and 10 Hz to 2 000 Hz (for the lower cut-off frequency of 0,1 Hz, see the last paragraph in [5.2.2](#));
- b) assessment velocity in mm/s formed in the frequency band 10 Hz to 1 000 Hz.

Both are formed during the evaluation period specified in [4.3](#).

5.4.2 In the case of separately mounted gearboxes and when the rotor bearing is integrated into the gearbox, typical measuring positions are on the gearbox housing in the region of the input shaft bearing and output shaft bearing.

NOTE If available, a third measurement plane lies halfway between the both bearing measurement planes. [Figures B.1](#) and [B.2](#) show, by way of example, the measuring positions on the gearbox.

5.4.3 Measuring directions are

- a) axial (direction of the rotor shaft),
- b) horizontal (transversely to the rotor shaft), and
- c) vertical.

5.5 Generator

5.5.1 Characteristic quantities are the following r.m.s. values:

- a) assessment acceleration in m/s^2 formed in the frequency band 10 Hz to 5 000 Hz;
- b) assessment velocity in mm/s formed in the frequency band 10 Hz to 1 000 Hz.

Both are formed during the evaluation period specified in [4.3](#).

5.5.2 Typical measurement positions include the following:

- a) in the case of integrated gearbox-generator designs, measurements are taken on the housing in the planes of the input bearing and of the generator outer bearing;
- b) with elastically coupled and rigidly or elastically mounted generators, the measuring positions are located on the housings of both bearings as shown, for example, in ISO 10816-3:2009, Figure 1.

NOTE [Figures B.1](#) and [B.2](#) show, by way of example, the measuring positions on the generator bearings.

5.5.3 Measuring directions are

- a) axial (direction of the rotor shaft),
- b) horizontal (transversely to the rotor shaft), and
- c) vertical.

5.6 Requirements for the measurement equipment

The characteristic quantities specified require measuring instruments which usually consist of the following functional units:

- a) vibration transducer;
- b) amplifier;
- c) filter for band limiting the operating frequency range;
- d) signal processor for forming the energy-equivalent mean value of acceleration (assessment acceleration) and of vibration velocity (assessment velocity);
- e) display and, if necessary, recording and storage equipment.

The measuring instruments used shall meet the requirements given in ISO 2954 for measurements in frequency ranges starting at 10 Hz and they should meet the requirements in the frequency range lower

than 10 Hz. The distinction takes into account that calibration standards at the low frequency of 0,1 Hz are rarely available.

To measure the acceleration, transducers shall be used which comply with the lower limits required in [5.2](#) to [5.5](#) for the frequency bands with ± 3 dB tolerance. For the frequency band 0,1 Hz to 10 Hz, these could be, for example, accelerometers working on the piezo-resistive or on the capacitive principle. It is recommended to use accelerometers with a fast shock recovery time.

At the time of publication of this part of ISO 10816, vibration velocity is mostly measured using piezoelectric accelerometers. They are smaller, lighter, and less sensitive to shock than the electrodynamic vibration-velocity transducers used in the past. The output signal has to be proportional over the entire working frequency range of the acceleration and needs to be electronically integrated once to provide the vibration velocity. Reasons for deviations are pyroelectrical effects and insufficient settling times for the long integration times in the low-frequency range.

Accelerometers with piezoelectric elements as the sensor and built-in charge amplifiers, or the like with semiconductor components as the sensor frequently, have only a limited working temperature range. Caution should be exercised when using them on a long-term basis for measurements at the hot parts of gearboxes or bearings.

The measurements required for vibration evaluation may be taken using monitoring systems functioning as online or off-line systems. Online in here is understood as systems permanently installed in the wind turbine which automatically carry out measured data collection and characteristic value formation. As a rule, these systems are used for continuous monitoring of the condition of the drive train (i.e. condition monitoring). With off-line systems, the data are first stored temporarily and characteristic value formation takes place as part of a downstream analysis. Frequently, they are portable systems which are used for short-duration measurements being operated manually on the spot.

If systems of this kind are used for evaluating the vibration of a wind turbine, there should be compliance with the characteristic quantities, measuring positions, measuring directions, and operating conditions given in this part of ISO 10816 when the measurement results are compared against the evaluation zone boundaries.

5.7 Mounting and connection of the vibration transducers

Due to the width of the vibration spectra, the frequently high levels of acceleration and the possible high temperatures at the measuring positions in the nacelle connecting the transducers often causes problems. Measuring errors and frequency response are both influenced by how the transducers are attached. The advantages and disadvantages of the various methods used to mount the transducers are described in detail in VDI 3839 part 1:2001-03, 5.2. Additional information on mounting accelerometers is given in ISO 5348.

It shall always be ensured that there is the most rigid coupling possible, particularly in the case of high vibration values. Should holders be necessary, they shall be very rigid if measurement errors due to excited natural vibrations of the transducer-holder system are to be avoided. It may be necessary to check the rigidity of the transducer attachment by means of bump tests.

5.8 Operating conditions during measurements

The evaluation criteria given in [Clause 6](#) for the vibration of wind turbines and their components apply to vibration measurements in normal operating conditions, i.e. continuous production mode at no less than 20 % nominal output (and without icing). However, the guidelines can be useful for operational or condition monitoring over the full operating range.

If elevated vibration magnitudes occur within the range of operating speed (e.g. excitations from resonance frequencies), measurements shall be undertaken. Every measured value thus obtained then needs to be evaluated on the basis of the criteria specified in [Clauses 6](#) and [7](#). When carrying out checks, it is therefore not necessary to always measure the full output range at every measuring position. It is recommended to save the operating condition parameters (power, speed, etc.) during the measurements.

It is permissible to dispense with the measurements taken during abnormal operating conditions (e.g. starting, transfer, and braking procedures, abnormal shocks, and also wind direction tracking by yawing) or to exclude the corresponding measurement results during evaluation.

6 Evaluation criteria

6.1 General

In this part of ISO 10816, the vibration of wind turbines and their components are classified and evaluated on the basis of two criteria. These criteria are oriented by the general instructions on the evaluation of mechanical vibration in machines as given in ISO 10816-1, ISO 4866, and DIN 4150-3. The basis of evaluation in this part of ISO 10816 is the statistical analysis of the evaluation values collected for all components from a sufficient number of wind turbines. The data have been derived from wind turbines which have been running reliably for years under the operating conditions specified in 5.8.

It could become necessary to differentiate the evaluation methods and the evaluation criteria on the basis of the design and operating characteristics listed in [Clause 1](#) by, for example:

- a) nominal output,
- b) design type and installation of the tower,
- c) design type and installation of the components in the nacelle,
- d) rotor design type and number of rotor blades,
- e) type of rotor bearing: separate or integrated,
- f) control type: pitch or stall, and
- g) grid connection: direct or via converter,

if the necessity for this is indicated by the data collection and sufficient figures have been collected.

6.2 Evaluation zones

The first criterion relates to the values of the characteristic quantities which are obtained from various components at the prescribed measuring positions in the specified measuring directions and under the defined operating conditions.

For the evaluation, the values obtained for the characteristic quantities should be assigned to four evaluation zones. These allow a qualitative evaluation of the vibration of the wind turbine component in question and provide information about any action which needs to be taken.

The evaluation of the vibrational state of the complete wind turbine is based not only on the assessment acceleration, but also on the assessment velocity of various components.

Zone A: The vibration of newly commissioned wind turbines and components operating in steady load and with low turbulence wind conditions normally falls within this zone.

Zone B: Wind turbines and components whose evaluation quantities fall within this zone are regarded as suitable for running in long-term operation with acceptable vibratory stresses.

Zone C: Wind turbines and components whose vibration falls within this zone are not normally regarded as being suitable for running in long-term continuous operation. Investigation is recommended into which excitations are responsible for the increased values and whether the measured values are permissible for unlimited continuous operation, taking into account the design and operating conditions of the equipment in question.

Zone D: Vibrations within this zone are potentially damaging to the wind turbine and its components.

NOTE 1 An upper limit for zone A is not yet defined because the collected statistical data were not obtained in sufficient quantity for newly commissioned wind turbines.

The numerical values assigned to the zone boundaries are not intended to be used as acceptance values. These need to be agreed on between the manufacturer of the wind turbine, or its components, and the operator.

NOTE 2 The values do, however, give information which allows serious faults or unrealistic requirements to be excluded. In certain cases, a particular installation can have special properties which call for different zone boundary values (higher or lower).

It is then normally necessary for the manufacturer to account for this, and in particular, to confirm that the wind turbine or its components can be operated at higher vibration magnitudes.

6.3 Change in vibration magnitude

The assessment of a change of vibration magnitude refers to a previously established reference value. A significant increase or decrease in broad-band vibration magnitude can occur which requires some action. Such changes can be instantaneous or progressive with time and can indicate that damages has occurred or be a warning of an impending failure or some other irregularity.

The vibration measurements being compared shall be taken at the same transducer location and orientation and under approximately the same machine operating conditions. Significant changes from normal vibration magnitudes should be investigated so that a dangerous situation can be avoided.

7 Evaluation zone boundaries

At the time of publication of this part of ISO 10816, there are data available of a statistical analysis of about 1 000 wind turbines. However, as these data are not sufficiently representative, they cannot be used for defining normative evaluation criteria. When more representative data are available, it is intended to incorporate them in future revisions of this part of ISO 10816.

Those data, which are available, are contained in [Annex A](#) for information and can be helpful in facilitating discussion between the users and manufacturers. However, consistent with the recommendations of other parts of ISO 10816, it should be emphasized that acceptance criteria for specific cases shall always be subject to agreement between the machine supplier and the purchasers prior to installation.

8 Setting of operational limits

8.1 General

Many standards and guidelines relating to the evaluation of machine vibration are giving guidance to vibration limit values for operation. In this part of ISO 10816, these limits are referred to as ALERT and ALARM representing recommendations for the respective situations. General TRIP limits are not specified for wind turbines. The architecture of wind turbines is variable, structural stiffnesses are different, and new designs result in new stiffnesses. Therefore, guide values should always be reviewed with the wind turbine manufacturer.

NOTE ALERT and ALARM are defined in ISO 13372.

ALERT is an indication that a specified vibration limit value has been reached or a significant change has occurred and remedial measures are necessary. When an ALERT appears, it is generally permissible to keep the wind turbine running until the reasons for the change in the vibration state have been identified and remedial measures have been initiated.

ALARM indicates the vibration limit value above which further operation of the wind turbine can cause damage to the tower or nacelle, to the rotor, or to connected components.

8.2 ALERT limits

The ALERT limits can be at different levels in different wind turbines. Normally, the values selected relate to baseline values of the two assessment quantities (vibration acceleration and vibration velocity) which have been determined from experience or measurements for the measurement positions and the measurement directions for the particular wind turbine.

It is recommended that the ALERT limits be set at the baseline value in question plus 25 % of the evaluation zone boundary B/C value. If the baseline value is low, the ALERT limits could be below zone C.

In cases where no baseline values are available, for example, in the case of a new wind turbine, initial values for the ALERT limits should be based either on experience with other, similar wind turbines or on agreed acceptance values. After some time, steady-state baseline values will have been determined and the ALERT limits should then be set accordingly.

It is recommended that the ALERT limits not be set higher than 1,25 times the zone boundary B/C value.

If the steady-state baseline value changes (e.g. after an overhaul of the wind turbine), the ALERT limits should be reviewed.

Different ALERT limits can apply for different operating loads.

8.3 ALARM limits

The ALARM limits are intended to protect the wind turbine and its components against functional failure which could lead to unsafe operating conditions of the wind turbine. They depend on the special features incorporated in the design of the wind turbine and its components to enable them to withstand extraordinarily high dynamic forces. For this reason, the usual values are generally the same for all wind turbines of similar design and do not normally relate to the baseline values which are used for defining the ALERT limits. There are, however, differences between wind turbines of different designs. This is why it is not possible to provide instructions on defining absolute values for the ALARM limits. Generally, the ALARM limits lie within zones C or D. It is, however, recommended that ALARM limits should not be set higher than 1,25 times the zone boundary C/D value.

8.4 TRIP limits

General TRIP limits are not specified due to their dependency of the wind turbine type and wind conditions. Individual TRIP limits, however, usually are specified by the wind turbine manufacturer.

NOTE This part of ISO 10816 does not consider TRIP limits due to the relatively long averaging period (see [Clause 9](#)).

9 Information on vibration monitoring

9.1 Monitoring broad-band vibration

The evaluation considered in this part of ISO 10816 relates to acceptance testing and operational monitoring. For long-term condition monitoring and diagnostics, more advanced techniques are required which are outside the scope of this part of ISO 10816. They will, however, be dealt with in ISO 16079 (all parts)²⁾.

9.2 Condition monitoring

The vibration-based condition monitoring systems installed in wind turbines to provide early detection of faults call for more advanced analyses than those described in this part of ISO 10816, and also for the formation of characteristic values with individually adapted limit values for early warning purposes.

2) To be published.

As a rule, the limit values need to be defined for a specific turbine on the basis of reference measurements (baseline measurement) and taking into consideration any operating parameters which influence vibration. In addition, one major component is the frequency-selective monitoring of component-dependent exciter and defect frequencies such as rotational frequencies, gear-meshing frequencies, and roller-bearing roll-over frequencies.

Requirements applicable to condition monitoring systems are described in Reference [18] and Reference [19]. Additional information can be found in ISO 13373-1, ISO 13373-2, and ISO 16079 (all parts)³⁾.

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3) To be published.