

# TECHNICAL REPORT

# ISO/IEC TR 10000-2

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## Information technology — Framework and taxonomy of International Standardized Profiles —

### Part 2: Taxonomy of OSI Profiles

*Technologies de l'information — Cadre et taxonomie des profils  
internationaux normalisés —*

*Partie 2: Taxonomie*



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## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

The main task of technical committees is to prepare International Standards, but in exceptional circumstances a technical committee may propose the publication of a Technical Report of one of the following types:

- type 1, when the required support cannot be obtained for the publication of an International Standard, despite repeated efforts;
- type 2, when the subject is still under technical development or where for any other reason there is the future but not immediate possibility of an agreement on an International Standard;
- type 3, when a technical committee has collected data of a different kind from that which is normally published as an International Standard ("state of the art", for example).

Technical Reports of types 1 and 2 are subject to review within three years of publication, to decide whether they can be transformed into International Standards. Technical Reports of type 3 do not necessarily have to be reviewed until the data they provide are considered to be no longer valid or useful.

ISO/IEC TR 10000-2, which is a Technical Report of type 3, was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*.

This second edition cancels and replaces the first edition (ISO/IEC TR 10000-2:1990), which has been technically revised.

ISO/IEC TR 10000 consists of the following parts, under the general title *Information technology — Framework and taxonomy of International Standardized Profiles*:

- Part 1: *Framework*
- Part 2: *Taxonomy of OSI Profiles*

Annex A of this part of ISO/IEC TR 10000 is for information only.

## Introduction

The context of Functional Standardization is one part of the overall field of Information Technology standardization activities covering

- Base standards, which define fundamentals and generalized procedures. They provide an infrastructure that can be used by a variety of applications, each of which can make its own selection from the options offered by them.
- Profiles, which define conforming subsets or combinations of base standards used to provide specific functions. Profiles identify the use of particular options available in the base standards, and provide a basis for the development of uniform, internationally recognized, conformance tests.
- Registration mechanisms, which provide the means to specify detailed parameterization within the framework of the base standards or Profiles.

Within ISO/IEC JTC 1, the process of Functional Standardization is concerned with the methodology of defining Profiles, and their publication in documents called "International Standardized Profiles" (ISPs) in accordance with procedures contained in Directives of JTC 1.

In addition to ISO/IEC TR 10000, the secretariat of the Special Group on Functional Standardization maintains a standing document (SD-4) entitled "Directory of ISPs and Profiles contained therein". This is a factual record of which ISPs exist, or are in preparation, together with an executive summary of each Profile. It is subject to regular updating by the Secretariat of ISO/IEC JTC 1/SGFS.

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# Information technology – Framework and taxonomy of International Standardized Profiles

## Part 2: Taxonomy of OSI Profiles

### 1 Scope

The purpose of this part of ISO/IEC TR 10000 is to provide a classification for Profiles which may be or have been submitted for ratification as International Standardized Profiles (ISPs).

ISO/IEC TR 10000-1 defines the concept of Profiles, as documented in ISPs, and gives guidance to organizations making proposals for Draft ISPs, on the nature and content of the documents they are producing.

ISO/IEC TR 10000 is intended to be applied to Profiles in the area of competence of JTC 1, and within this, priority consideration has been given to Profiles in the OSI area, i.e. those which specify OSI base standards, and those which are expected to be used in conjunction with them. Nevertheless, it is also applicable to Profiles specifying the use of other JTC 1 and CCITT base standards.

The existence of a Profile classification in this part of ISO/IEC TR 10000 does not reflect a judgement by ISO/IEC JTC 1/SGFS that a Profile is required for such capability. It merely provides a capability to identify uniquely such a function and to enable evaluation of PDISPs.

Since Profiles will be proposed according to needs identified to SGFS and according to the progress of international base standardization, the Taxonomy will be periodically updated or have new parts added in order to reflect the progress reached. It is also recognized that there will be proposals for the extension of the Taxonomy to cover functions which were not identified during preparation of this edition of ISO/IEC TR 10000. These extensions may be identified by a variety of proposers and involve simple extensions to the existing Taxonomy or the addition of new functional areas not currently covered by ISO/IEC TR 10000. The inclusion of such extensions is administered following the procedures elaborated by SGFS.

A distinction has been made between a Profile and an ISP documenting one or more Profiles. The Taxonomy is only concerned with Profiles, but further information is given in the "Directory of ISPs and Profiles contained therein" as to which ISP contains the documentation of a Profile.

This *Directory* is maintained as an SGFS standing document SD-4 (see Annex A). For each draft Profile submitted to SGFS, it will also provide additional information, including the status of the identified Profiles.

### 2 Normative references

The following standards contain provisions which, through reference in this text, constitute provisions of this part of ISO/IEC TR 10000. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on this part of ISO/IEC TR 10000 are encouraged to investigate the possibility of applying the most recent editions of the standards listed below. Members of IEC and ISO maintain registers of currently valid International Standards.

ISO/IEC 8073 : 1992, *Information technology - Telecommunications and information exchange between systems - Open Systems Interconnection - Protocol for providing the connection-mode transport service.*

ISO/IEC 8473 : 1988, *Information processing systems - Data communications - Protocol for providing the connectionless-mode network service.*

ISO/IEC 8602 : 1987, *Information processing systems - Open Systems Interconnection - Protocol for providing the connectionless-mode transport service.*

ISO/IEC 9506 : 1990, *Industrial automation systems - Manufacturing Messaging Specification.*

ISO/IEC TR 10000-1 : 1992, *Information technology - Framework and taxonomy of International Standardized Profiles Part 1: Framework.*

ISO/IEC 10021 : 1990, *Information technology - Text Communication - Message Oriented Text Interchange Systems (MOTIS).*

ISO/IEC 10028 : 1992, *Information technology - Telecommunications and information exchange between systems - Definition of the relaying functions of a Network Layer intermediate system.*

ISO/IEC TR 10029 : 1989, *Information technology - Telecommunications and information exchange between systems - Operation of an X.25 interworking unit.*

ISO/IEC TR 10172 : 1991, *Information technology - Telecommunications and information exchange between systems - Network/Transport Protocol interworking specification.*

CCITT Q.931 (1988), *Digital Subscriber Signalling System No. 1 (DSS 1), Network Layer, User-Network Management.*

CCITT X.3 (1988), *Packet Assembly/Diassembly Facility (PAD) in a Public Data Network.*

CCITT X.25 (1988), *Interface Between Data Terminal Equipment (DTE) and Data Circuit-Terminating Equipment (DCE) for Terminals Operating in the Packet Mode and Connected to Public Data Networks by Dedicated Circuit.*

CCITT X.224 (1988), *Transport Protocol Specification for Open Systems Interconnection for CCITT Applications.*

CCITT Recommendations X.400-X.420 (1984), *Message Handling Systems.*

CCITT Recommendations X.400-X.420 (1988), *Message Handling Systems.*

CCITT X.435 (1991) *Message Handling Systems, EDI Messaging System.*

### 3 Definitions

For the purposes of this part of ISO/IEC TR 10000, the following definitions apply:

**Group:** A set of OSI Profiles that are compatible, in the sense that a system implementing one Profile from a Group can interwork, according to OSI, with another system implementing a different Profile from the same Group, in terms of the operation of the protocols specified within these Profiles.

### 4 Abbreviations

#### 4.1 General abbreviations

|      |                                     |
|------|-------------------------------------|
| CL   | Connectionless-mode                 |
| CLNS | Connectionless-mode Network Service |

|         |                                                           |
|---------|-----------------------------------------------------------|
| CLTS    | Connectionless-mode Transport Service                     |
| CO      | Connection-mode                                           |
| CONS    | Connection-mode Network Service                           |
| COTS    | Connection-mode Transport Service                         |
| CSDN    | Circuit Switched Data Network                             |
| CSMA/CD | Carrier Sense, Multiple Access / Collision Detection      |
| CULR    | Common Upper Layer Requirements                           |
| DSA     | Directory Service Agent                                   |
| DTE     | Data Terminal Equipment                                   |
| DUA     | Directory User Agent                                      |
| EDI     | Electronic Data Interchange                               |
| EDIM    | EDI Messaging                                             |
| FDDI    | Fibre Distributed Data Interface                          |
| IPM     | Interpersonal Message                                     |
| ISDN    | Integrated Services Digital Network                       |
| ISP     | International Standardized Profile                        |
| LAN     | Local Area Network                                        |
| MAC     | Media Access Control                                      |
| MMS     | Manufacturing Message Specification                       |
| MOTIS   | Message Oriented Text Interchange System                  |
| MS      | Message Store                                             |
| MTA     | Message Transfer Agent                                    |
| MTS     | Message Transfer System                                   |
| ODA     | Open Document Architecture                                |
| P1      | Message Transfer Protocol                                 |
| P2      | Interpersonal Messaging Protocol                          |
| P3      | MTS Access Protocol                                       |
| P7      | MS Access Protocol                                        |
| PSDN    | Packet Switched Data Network                              |
| PSTN    | Public Switched Telephonie Network                        |
| PVC     | Permanent Virtual Circuit                                 |
| QOS     | Quality of Service                                        |
| SGFS    | ISO/IEC JTC 1/Special Group on Functional Standardization |
| SGML    | Standardized General Markup Language                      |
| TP      | Transaction Processing                                    |
| UA      | User Agent                                                |
| VC      | Virtual Call                                              |
| VT      | Virtual Terminal                                          |

#### 4.2 Abbreviations used in Profile identifiers

| <u>Abbr.</u> | <u>Profile sub-class</u>             |
|--------------|--------------------------------------|
| ADI          | Directory                            |
| AFT          | File Transfer, Access and Management |
| ALD          | Library, Documentation               |
| AMH          | Message Handling                     |
| AMM          | Manufacturing Messaging              |
| AOM          | OSI Management                       |
| ARD          | Remote Database Access               |
| ATP          | Transaction Processing               |
| AVT          | Virtual Terminal                     |

| <u>Abbr.</u> | <u>Profile sub-class</u>                      |
|--------------|-----------------------------------------------|
| FCG          | Computer Graphics Metafile Interchange Format |
| FDI          | Directory Data Definitions                    |
| FOD          | Open Document Format                          |
| FSG          | SGML Interchange Format                       |
| FVT          | Virtual Terminal Registered Objects           |

## 5 The Taxonomy: Principles

### 5.1 General

Profiles are primarily arranged into classes, each class representing a category of functionality of reasonable independence from other classes. The different classes of profile correspond to the major divisions of the taxonomy. ISO/IEC TR 10000-1 provides some further information about the principles used in this primary classification.

Within each class, a class-specific subdivision will be used.

Profile identifiers have been introduced such that each Profile is identified by a character string commencing with one letter (indicating the primary class of the Profile), and continuing with as many further letters or digits as are necessary to reflect its position within the hierarchic structure of the class. The syntax of all but the first letter is subject to individual definitions for each class (see below).

### 5.2 The Class concept for OSI Profiles

In order to decouple representation of information or objects from communication protocols, and application-related protocol from subnetwork types, OSI and OSI-related Profiles are divided into the following classes:

- T -** Transport Profiles providing connection-mode Transport Service
- U -** Transport Profiles providing connectionless-mode Transport Service
- R -** Relay Profiles

**A -** Application Profiles requiring connection-mode Transport Service

**B -** Application Profiles requiring connectionless-mode Transport Service

**F -** Interchange format and representation Profiles

Other classes may be required.

Transport Profiles of classes T and U specify how the two modes of OSI Transport Service are provided over the two modes of OSI Network Service, and over specific subnetwork types, such as individual types of LANs, PSDNs, etc. In this way they isolate the A/B-Profiles and F-Profiles from network technology.

T- and U-Profiles are further subdivided into Groups. See 5.4 for details.

Application Profiles of classes A and B specify communications protocol support for particular application types over the two modes of OSI Transport Service, respectively.

F-Profiles specify the characteristics and representation of various types of information interchanged by A- and B-Profiles.

R-Profiles specify Relay functionality needed to enable systems using different T- or U-Profiles to interwork. Interworking between T- and U-Profiles is not contemplated in any JTC 1 work.

Within each of these classes, sub-classes of Profiles are identified which, again, may require further subdivision such that the granularity of the Taxonomy meets the requirements outlined in ISO/IEC TR 10000-1. This leads to a hierarchical structure of Profile (sub-)classes which is given in full in clause 6.

For the identification of sub-classes and a further subdivision within a given class, a class-dependent methodology is applied. This is explained in the subsequent class-individual sections.

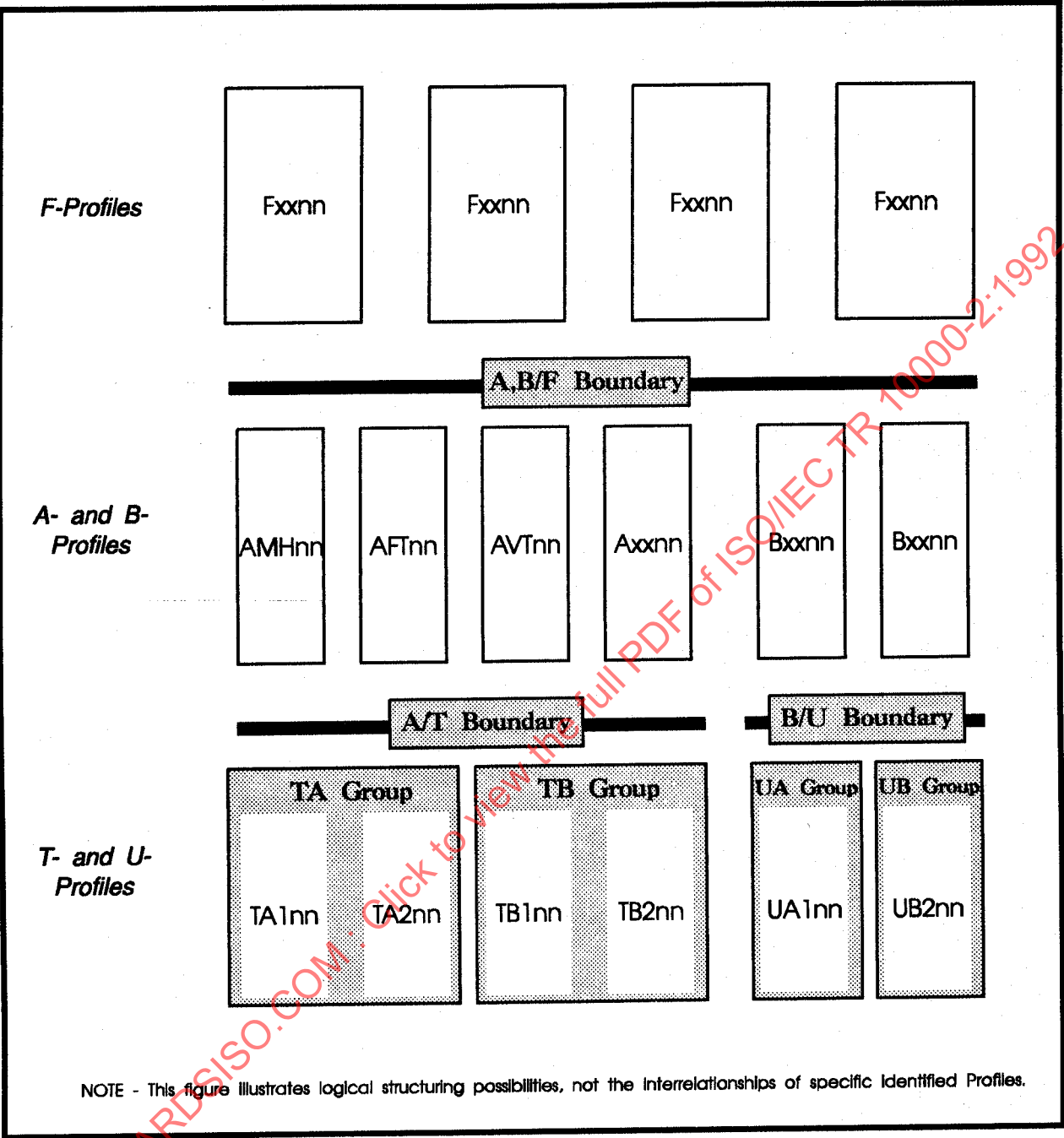


Figure 1: Examples of relationships between Profiles in the OSI Taxonomy

## 5.3 Relationship between OSI Profiles

The schematic illustration in Figure 1 brings together examples of the relationships which exist between OSI Profiles, particularly the three main subdivisions of the Taxonomy, and the combinations which can be made between Profiles from different classes.

### 5.3.1 A/T and B/U Boundaries

Actual use of an A- or B-Profile requires that a system operate it in combination with a T- or U-Profile, in order to provide a particular application protocol over a particular subnetwork type. The separation of A- and B-Profiles from T- and U-Profiles is represented by an A/T or B/U boundary. This relationship is illustrated vertically in Figure 1. The location of a set of A-Profiles above a set of T-Profiles, separated by a common A/T boundary, represents the possibility of combining any pair of A- and T-Profiles, one from each of the two classes.

A similar situation exists for the B- and U-Profiles. The A/T boundaries correspond to the OSI Connection-mode Transport Service, and the B/U boundaries to the OSI Connectionless-mode Transport Service. The possibility of making the combination arises from the fact that a T- or U-Profile is specified to provide the OSI Transport Service and an A- or B-Profile is specified to use the OSI Transport Service.

### 5.3.2 A/F and B/F Boundaries

The combination of an A- or B-Profile with one or more F-Profiles will be selected by the user to meet the functional requirements in each case. The various general possibilities are illustrated by the vertical relationships in Figure 1. The location of one or more F-Profiles above one or more A-/B-Profiles, represents the possibility of combining Profiles from each class.

Unlike the A/T and B/U boundaries, the A/F and B/F boundaries are not characterised by a single service definition.

The Application Layer base standards require, implicitly or explicitly, the structure of information carried or referenced by them to be specified for each instance of communication. The combination of A-/B-Profiles with one or more F-Profiles will be selected by the user to meet the functional requirements in each case. However, the choice may be subject to constraints which can be expressed within either A-/B-Profiles, F-Profiles, or both.

In other A-/B-Profiles, the Application Layer base standards themselves constrain the choice of presentation context.

Constraints may also exist within an F-Profile, arising either from its base standard, or as a result of Profile creation. These constraints will limit the A-/B-Profiles which can be used to transfer the information.

In summary, therefore, there are three forms of constraints affecting the combination of A-/B- and F-Profiles:

- the choice of information to be transferred may be constrained by the Application Layer base standards, and possibly further constrained by the A-/B-Profile;
- some interchange and representation base standards may limit transfer to particular Application base standards; this choice may be further constrained by the F-Profiles;
- the combinations are not constrained by base standards, but may be constrained by either A-/B- or F-Profiles to achieve some general function.

Note that, as always, in making his choice of combination, a user must in practice take account not only of the constraints derived from Profiles, but also the capabilities implemented in the end systems involved in each instance of communication, to support the various Profiles.

## 5.4 The Group concept for OSI Lower Layer Profiles

The Group concept is used in the Taxonomy as follows:

A Group is a set of T- or U-Profiles that are compatible in the sense that a system implementing one Profile from the Group and another system implementing a Profile from the same Group can be expected to interwork, according to OSI, to some minimum level which is determined by the mandatory features of the Profiles in the Group.

Interworking according to OSI means end-to-end operation across a single subnetwork, or across multiple subnetworks linked by means of Network (or lower) Layer relays.

An example of a Group is the set of T-Profiles that provide the Connection-mode Transport Service, using Class 4 Transport Protocol over the Connectionless-mode Network Service, provided by ISO/IEC 8473. This Group has members which correspond to different subnetwork technologies but interworking between systems conforming to them is made possible by LAN bridges and/or Network Layer relays.

A Group is identified by labels of the form YXnnn, where Y is the class identifier and X is a letter identifying the Group.

## 5.5 Profile classes

### 5.5.1 Transport Profiles

#### 5.5.1.1 Principles

Transport Profiles define the use of protocol standards from OSI layers 1 to 4, to provide the OSI Transport Service.

A primary distinction is made between Transport Profiles, based on the mode of Transport Service offered:

- Connection-mode Transport Service:  
Profile class T
- Connectionless-mode Transport Service:  
Profile class U

For the Transport Profile classification within each class, the following methodology is applied:

- a) As a first level distinction the Group concept (see 5.4) is used in the following way:

A lower layer Group is a collection of Profiles which:

- support the same combination of modes of Transport and Network Service;
- support the same Transport Protocol Class(es);

The notion of a Group is incorporated in the classification.

- b) The second level distinction between Profiles, i.e. within a Group, is made according to the subnetwork type supported.  
(See 6.1.1 for examples of subnetwork types).
- c) Further subdivisions are made according to the characteristics of a particular subnetwork, e.g., switched versus leased line.  
(See 6.1.1 for examples of such characteristics).

#### 5.5.1.2 Transport Profile identifier

The identifier for a Profile in the lower layers is of the form:

YXabcde

where:

Y = class designator, indicating the Transport Service mode:

T for Connection-mode  
U for Connectionless-mode

X = one letter indicating the lower-layer Group within the class, as defined in 5.5.1.3 and 5.5.1.4 below.

abcde = the structured numerical identifier indicating the subnetwork type supported in this Profile. It is possible that a further level of identifier may become necessary. In general, when referencing a Profile, only that level of identifier which is necessary for uniqueness needs to be used.

The identifier structure is not meant to capture the variety of details and options of OSI layer 1 such as attachment speeds and connectors. However, it is recognized that this issue must be covered by the appropriate Profile specification.

#### 5.5.1.3 Connection-mode Transport Service: Profile class T

Based on functional standardization already under way in organizations represented in SGFS and on standards already developed, the following lower layer Groups are identified as being of value. They are characterized as follows:

- a) Connection-mode Transport Service over Connectionless-mode Network Service:

##### Group TA

The Connection-mode Transport Service (COTS) is provided over the Connectionless-mode Network Service (CLNS) by requiring the use of the Class 4 Transport Protocol as defined in ISO/IEC 8073.

NOTE - A system implementing Group TA and claiming conformance to ISO/IEC 8073 also has to implement the mandatory transport protocol classes for operation over CONS as required by ISO/IEC 8073.

- b) Connection-mode Transport Service over Connection-mode Network Service

The Connection-mode Transport Service (COTS) is provided over the Connection-mode Network Service (CONS).

Profiles of this characteristic are further grouped according to their required support of Transport Protocol class(es):

**mandatory (see note 1)  
transport protocol classes**

|                  |                            |
|------------------|----------------------------|
| <b>Group TB:</b> | 0 and 2 and 4 (see note 2) |
| <b>Group TC:</b> | 0 and 2 (see note 2)       |
| <b>Group TD:</b> | 0                          |
| <b>Group TE:</b> | 2 (see note 3)             |

**NOTES**

- 1 'Mandatory' means those Transport Protocol classes made mandatory by the base standard, ISO/IEC 8073, plus any class required for Group membership
- 2 The class negotiation rules to be employed are those in CCITT Recommendation X.224.
- 3 A system implementing Group TE and claiming conformance to CCITT Recommendation X.224 also has to implement transport protocol class 0.

**5.5.1.4 Connectionless-mode Transport Service: Profile class U**

- a) Connectionless-mode Transport Service over Connectionless-mode Network Service:

**Group UA**

The Connectionless-mode Transport Service (CLTS) is provided using the ISO/IEC 8602 Connectionless-mode Transport Protocol. This Group supports the mandatory operation of ISO/IEC 8602, over Connectionless-mode Network Service.

- b) Connectionless-mode Transport Service over Connection-mode Network Service:

**Group UB**

The Connectionless-mode Transport Service (CLTS) is provided using the ISO/IEC 8602 Connectionless-mode Transport Protocol. This Group supports the option of ISO/IEC 8602 that operates over Connection-mode Network Service.

**NOTE -**

A system implementing Group UB and claiming conformance to ISO/IEC 8602 also has to implement the mandatory operation over CLNS as required by ISO/IEC 8602.

**5.5.1.5 Interworking between Transport Profile Groups**

The following tables 1 and 2 show the interworking capabilities between Profiles. Table 1 shows the interworking between Profiles in Profile class T, and table 2 shows the interworking among Profiles in Profile class U. Successful establishment of a Transport Connection is dependent upon successful negotiation of parameters, some of which are not considered in the following tables.

No interworking is possible between Groups in class T and U because of the different mode of Transport Service provided.

Entries in the tables have the following meaning:

- Full:** Full OSI interworking (an OSI relay may be required (see 6.2))
- Restricted:** Interworking capabilities are restricted in the sense that the choice of Transport Protocol classes may be restricted by the static capability of the responder. Successful interworking is dependent on the satisfactory outcome of class negotiation.
- Special:** Non-OSI relay required for interworking (see also 5.5.2.1)
- Special 1:** Special restrictions for interworking exist (see 6.2.4).
- Special 2:** Interworking between these Profile types is not contemplated in any JTC 1 work.

**NOTE -**

Successful interworking depends not only on the satisfactory outcome of the transport protocol class negotiation but also on dynamic responses during transport initiation. Such dynamic responses can include, amongst others, responder reactions to the offered Quality of Service (QOS) or to the specific options requested by the initiator.

Table 1 - Interworking amongst Groups in class T

| Responder in<br>Group | Network<br>Service mode | Initiator in Group |            |            |           |           |
|-----------------------|-------------------------|--------------------|------------|------------|-----------|-----------|
|                       |                         | TA                 | TB         | TC         | TD        | TE        |
| TA                    | CL                      | full               | special 1  | special 1  | special 1 | special 1 |
| TB                    | CO                      | special 1          | full       | full       | full      | full      |
| TC                    | CO                      | special 1          | restricted | full       | full      | full      |
| TD                    | CO                      | special 1          | restricted | restricted | full      | special 2 |
| TE                    | CO                      | special 1          | restricted | restricted | special 2 | full      |

Table 2 - Interworking amongst Groups in class U

| Responder in<br>Group | Initiator in Group |           |
|-----------------------|--------------------|-----------|
|                       | UA                 | UB        |
| UA                    | full               | special 2 |
| UB                    | special 2          | full      |

5.5.1.6 Introduction to the Taxonomy of Subnetwork Profiles

5.5.1.6.1 Packet Switched Data Network

(to be added in a future edition)

5.5.1.6.2 Digital Data Circuit

(to be added in a future edition)

5.5.1.6.3 Analogue Telephone Circuit

(to be added in a future edition)

5.5.1.6.4 Integrated Services Digital Network

(to be added in a future edition)

5.5.1.6.5 Local Area Networks

(to be added in a future edition)

5.5.2 Relay Profiles

5.5.2.1 Principles

Relay Profiles define the use of standards from OSI layers 1 to 4, to provide relaying functions between OSI Transport Profiles.

No relays exist between different Profiles of different Transport Profile classes (T, U).

Relays may operate at various layers up to layer 4. However, relays operating at layer 4 are not OSI relays and hence some restrictions or limitations may be expected in their operation. Many proposals for such relays have significant architectural issues associated with them relating to integrity, security, QOS, etc., and the fact that an identifier has been allocated to them does not indicate that such issues have been resolved.

5.5.2.2 Relay Profile identifier

The identifier for a Relay Profile is of the form

$$RXp.q$$

where

R = relay function

X = relay type identifier

This identifier will cover

- the layer at which the relay operates
- the service mode being supported
- the type of relay

p, q= subnetwork identifier

p and q may each take the value of the abcde-structured numerical identifier defined for Transport Profiles. The fully qualified structure need only be used where necessary (e.g., for circumstances where a distinction must be made between LANs).

RXp.q represents a relay of type X between subnetwork type p and subnetwork type q.

A relay RXp.q is considered to provide the same functionality as RXq.p unless otherwise stated.

### 5.5.3 Application Profiles

#### 5.5.3.1 Principles

Application Profiles define the use of protocol standards from OSI layers 5 to 7, to provide for the structured transfer of information between end systems.

Each Application Profile is a complete definition of the use of protocol standards from OSI layers 5 to 7, though it may share one or more common definitions of some part of its content with other Application Profiles.

In analogy with the primary distinction made between Transport Profiles, a primary distinction is made between Application Profiles, based on the mode of Transport Service they require:

Profile class A: Application Profiles requiring Connection-mode Transport Service, i.e., using T-Profiles

Profile class B: Application Profiles requiring Connectionless-mode Transport Service, i.e., using U-Profiles

A further distinction is based on Application categories, related to Application Layer OSI standards defined by JTC 1 and CCITT.

In addition, Application categories have been identified related to the use of OSI protocols by other Technical Committees such as ISO TC 184 (Manufacturing Messaging) and TC 46 (Library and Documentation).

#### 5.5.3.2 Common Upper Layer Requirements

Profile specifications on Common Upper Layer Requirements (CULR) describe sets of upper layer elements for common use by several Application profiles.

CULR define the common use of OSI standards for the session layer, presentation layer and part of the application layer.

An ISP defining an Application profile may reference the CULR as the common basis for the selection of options for the upper layers, supplemented by a statement of its own, specific upper layer requirements for the use of these same protocol standards.

CULR do not specify a complete profile, and therefore have no entry within the taxonomy of this Technical Report and no profile identifier will be assigned.

#### 5.5.3.3 Application Profile identifier

The identifier for a Profile in the Application class is of the form:

CXYabc

where:

C = Application Profile class designator:

A for Profiles requiring Connection-mode Transport Service

B for Profiles requiring Connectionless-mode Transport Service

XY = two letters corresponding to the names of the primary subdivisions. These subdivisions are taken from the main categories of application functions and OSI management, as identified as main projects in JTC 1.

abc = the structured numerical identifier for the member(s) of the subdivision. It is possible that a further level of subdivision may become necessary. Only that level of identifier will be used which is necessary for uniqueness. This level may vary among application functions.

#### 5.5.3.4 Introduction to the Taxonomy of Application Profiles

##### 5.5.3.4.1 File Transfer, Access and Management

(to be added in a future edition)

##### 5.5.3.4.2 Message Handling

The Message Handling profiles are based on ISO/IEC 10021 and the equivalent CCITT X.400 Recommendations. The AMH1 and

AMH2 profiles reference current ISO/IEC 10021 and the CCITT Recommendations, whereas the AMH3 profiles will be based in the first instance on the CCITT X.435 Recommendation only (pending inclusion in ISO/IEC 10021).

The content type-specific profiles (AMH2, AMH3 and further content types to be defined in the future) cover both end-to-end UA-to-UA communication (the content protocol and associated UA functionality) and use of Message Handling services (by requiring conformance to the appropriate AMH1 profile(s) plus any additional content type-specific requirements).

#### 5.5.3.4.3 Directory

(to be added in a future edition)

#### 5.5.3.4.4 Virtual Terminal

(to be added in a future edition)

#### 5.5.3.4.5 OSI Management

(to be added in a future edition)

#### 5.5.3.4.6 Transaction Processing

The first level of the Taxonomy substructure corresponds to the definition of the three conformance classes defined in the OSI TP standard. The second level corresponds to the selection between Polarized Control and Shared Control for each of the conformance classes.

#### 5.5.3.4.7 Remote Database Access

(for further study)

#### 5.5.3.4.8 Manufacturing Messaging

The Manufacturing Message Specification allows interworking of various equipment such as computers and programmable devices within the manufacturing environment. It resides in the Application Layer of the OSI Reference Model and uses an object modelling approach for the description of manufacturing applications. MMS defines a set of messages suitable for the manipulation of the real devices in the manufacturing environment.

MMS has evolved into a multi-part ISO standard, ISO 9506. Parts 1 and 2, known as the core, describe the modelling approach, the syntax and semantics of the service and protocol. Additional parts, known as the companion standards, describe the extensions of the core for specific application areas, for example

numerical controllers, robot controllers and process control systems.

#### 5.5.3.4.9 Library and Documentation

(to be added in a future edition)

### 5.5.4 Interchange Format and Representation Profiles

#### 5.5.4.1 Principles

Interchange Format and Representation Profiles define the structure and/or content of the information being interchanged by Application Profiles. Hence, the main feature which distinguishes them from Application Profiles is the absence of a transfer function.

Currently, only interchange formats defined in standards prepared by JTC 1/SC18, SC21, SC24 and CCITT Study Group VII and VIII are included.

#### 5.5.4.2 Interchange Format and Representation Profile Identifier

The identifier for a Profile in the Interchange Format and Representation class is of the form:

FXYYabc

where:

F = Interchange Format

XY = two letters corresponding to the names of the primary subdivisions.

abc = the structured numerical identifier for the member(s) of the subdivision. It is possible that a further level of subdivision may become necessary. Only that level of identifier will be used which is necessary for uniqueness. This level may vary among the primary subdivisions.

#### 5.5.4.3 Introduction to the Taxonomy of Interchange Format and Representation Profiles

##### 5.5.4.3.1 Open Document Format

The Open Document Format (FOD) Profiles consist of a hierarchy of related ODA Document Application Profiles supporting

formatted, as well as, processable documents and image applications.

The structure of the Open Document Format (FOD) Profile Taxonomy consists of three levels of subdivision: a, b and c and will have the appearance of FOD abc.

— Level a reflects the source of application or use and two initial values are proposed:

- 0 Document processing applications
- 1 Image applications

— Level b reflects the hierarchically related complexity and functionality of the document structures and provides for three values as currently defined:

- 1 Simple Document Structure
- 2 Enhanced Document Structure
- 3 Extended Document Structure

The Simple Document Structure is intended to address the general requirements of current word processing applications. The Enhanced Document Structure is intended to address the general requirements of emerging word processing applications that have been enhanced from the earlier, simple document structures supported by current word processing applications. The Extended Document Structure is intended to address the general requirements of emerging personal publishing document processing applications.

— Level c reflects the combination of content architectures supported and four values as currently defined (see note 2):

- 1 Character Content Architecture only.
- 2 Raster Graphics Content Architecture only.
- 3 Geometric Graphics Content Architecture only.
- 6 Character, Raster Graphics and Geometric Graphics Content Architectures.

#### NOTES

- 1 For a given Profile all three levels should be specified.
- 2 Other values may be added as additional ISPs with different content architectures are developed.

#### 5.5.4.3.2 Computer Graphics Metafile Interchange Format

(for further study)

#### 5.5.4.3.3 SGML Interchange Format

(for further study)

#### 5.5.4.3.4 Directory Data Definitions

The Directory Data Definition Format (DDI) Profiles specify the properties of Object Classes, Attribute Types, and Attribute Syntaxes related to the use of the Directory Application Profiles. Two types of usage are covered - common usage relevant to all such cases, and specific usage relevant to particular Application Profiles.

#### 5.5.4.3.5 Virtual Terminal Environment

The Virtual Terminal Registered Objects (VTO) Profiles define a number of types of information objects used by Virtual Terminal Application Profiles, which are subject to registration.

## 6 Taxonomy of Profiles

The inclusion of a Profile in this clause is purely for the purpose of assigning a unique, meaningful identifier. It should be noted that the inclusion of a Profile identifier in this clause does not imply that such a profile has been developed or is under development. For such information, see the "Directory of ISPs and Profiles contained therein" (Standing document SD-4).

### 6.1 Transport Profiles

#### 6.1.1 Taxonomy of Subnetworks

The following Taxonomy classifies subnetworks and, where existing, different modes of operation over a particular subnetwork, to provide the OSI Network Service. The Taxonomy is used in all Transport Profile Groups, unless otherwise stated.

##### a b c d e Subnetwork Type

1 PACKET SWITCHED DATA NETWORK (PSDN)

1 1 Permanent Access to a PSDN

1 1 1 PSTN leased line

1 1 1 1 Virtual Call (VC)

1 1 1 2 Permanent Virtual Circuit (PVC)

1 1 2 Digital data circuit / CSDN leased line

1 1 2 1 Virtual Call (VC)

1 1 2 2 Permanent Virtual Circuit (PVC)

1 1 3 ISDN B-channel, permanent<sup>1</sup>

1 1 3 1 Virtual Call (VC)

1 1 3 2 Permanent Virtual Circuit (PVC)

1 2 Switched Access to a PSDN

1 2 1 PSTN Case

1 2 1 1 Virtual Call (VC)

1 2 2 CSDN Case

1 2 2 1 Virtual Call (VC)

1 2 3 ISDN B-channel Case

1 2 3 1 Virtual Call (VC)

##### a b c d e

##### Subnetwork Type

2 DIGITAL DATA CIRCUIT

2 1 Leased (Permanent) Service

2 2 Dial-up (CSDN)

3 ANALOGUE TELEPHONE CIRCUIT

3 1 Leased (Permanent) Service

3 2 Dial-up (PSTN)

4 INTEGRATED SERVICES DIGITAL NETWORK (ISDN)

4 1 Permanent Service<sup>1</sup>

4 1 1 B-channel

4 1 1 1 X.25 DTE to DTE operation

4 2 Circuit-mode Service

4 2 1 B-channel

4 2 1 1 X.25 DTE to DTE operation

4 3 Packet-mode Service

4 3 1 D-channel access

4 3 1 1 Virtual Call (VC)

4 3 1 1 1 without use of Q.931

4 3 1 1 2 with use of Q.931

4 3 1 2 Permanent Virtual Circuit (PVC)

4 3 2 B-channel permanent access<sup>1</sup>

4 3 2 1 Virtual Call (VC)

4 3 2 1 1 without use of Q.931

4 3 2 1 2 with use of Q.931

4 3 2 2 Permanent Virtual Circuit (PVC)

4 3 3 B-channel demand access

4 3 3 1 Virtual Call (VC)

5 LOCAL AREA NETWORKS

5 1 CSMA/CD

5 2 Token Bus

5 3 Token Ring

5 4 FDDI

<sup>1</sup> also includes the semi-permanent case.

**6.1.2 Transport Groups****TA** Group TA: COTS over CLNS

For the detailed subnetwork Taxonomy see 6.1.1.

**TB** Group TB: COTS over CONS :  
with mandatory Transport Protocol  
Classes: 0 and 2 and 4

For the detailed subnetwork Taxonomy see 6.1.1.

**TC** Group TC: COTS over CONS :  
with mandatory Transport Protocol  
Classes: 0 and 2

For the detailed subnetwork Taxonomy see 6.1.1.

**TD** Group TD: COTS over CONS :  
with mandatory Transport Protocol  
Class: 0

For the detailed subnetwork Taxonomy see 6.1.1.

**TE** Group TE: COTS over CONS :  
with mandatory Transport Protocol  
Class: 2

For the detailed subnetwork Taxonomy see 6.1.1.

**UA** Group UA: CLTS over CLNS

For the detailed subnetwork Taxonomy see 6.1.1.

**UB** Group UB: CLTS over CONS

For the detailed subnetwork Taxonomy see 6.1.1.

**6.2 Relay Profiles****6.2.1 Relaying the Network Internal Layer Service,  
as defined in ISO/IEC 10028****RA** Relaying the Connectionless-mode Network Service

For the subnetwork identifiers p, q (as defined in 5.5.2.2)  
see the detailed subnetwork Taxonomy in 6.1.1.

**RB** Relaying the Connection-mode Network Service

For the subnetwork identifiers p, q (as defined in 5.5.2.2)  
see the detailed subnetwork Taxonomy in 6.1.1.

**6.2.2 Network Layer Protocol Relaying****RC** X.25 Protocol Relaying

An approach for this type of relay could be as suggested  
in ISO/IEC TR 10029.

For the subnetwork identifiers p, q (as defined in 5.5.2.2)  
see the detailed subnetwork Taxonomy in 6.1.1.

Only the following subnetwork type identifiers are valid:  
11n, 21n, 31n, 41n, 4311, 4312, 43211, 4322, 5n.

**6.2.3 Relaying the MAC Service****RD** Relaying the MAC Service using transparent bridging

For the subnetwork identifiers p, q (as defined in 5.5.2.2)  
see the detailed subnetwork Taxonomy in 6.1.1.

Only subnetwork type identifiers of the form 5n are valid  
for use with RD relays.

**RE** Relaying the MAC Service using source routing

For the subnetwork identifiers p, q (as defined in 5.5.2.2)  
see the detailed subnetwork Taxonomy in 6.1.1.

Only subnetwork type identifiers of the form 53 and 54  
are valid for use with RE relays.

**6.2.4 CO/CL Interworking****RZ** Relaying between Connectionless-mode Network Service  
and Connection-mode Network Service

The final position in the Taxonomy and the substructure  
of this relay type is for further study.

An approach for this type of relay could be as suggested  
in ISO/IEC TR 10172.

## 6.3 Application Profiles

### 6.3.1 File Transfer, Access and Management

AFT File Transfer, Access and Management

#### a b Substructure

- 1 FILE TRANSFER SERVICE
  - 1 1 Simple (Unstructured)
  - 1 2 Positional (Flat)
  - 1 3 Full (Hierarchical)
- 2 FILE ACCESS SERVICE
  - 2 2 Positional (Flat)
  - 2 3 Full (Hierarchical)
- 3 FILE MANAGEMENT SERVICE
- 4 FILESTORE MANAGEMENT SERVICE

### 6.3.2 Message Handling

AMH Message Handling

#### a b c Substructure

- 1 COMMON MESSAGING
  - 1 1 Message Transfer (P1)
    - 1 1 1 Normal mode
    - 1 1 2 X.410(1984) mode
  - 1 2 MTS Access (P3)
  - 1 3 MS Access (P7)
- 2 INTERPERSONAL MESSAGING (IPM)
  - 2 1 IPM Content Protocol
  - 2 2 IPM Requirements for Message Transfer (P1)
  - 2 3 IPM Requirements for MTS Access (P3)
  - 2 4 IPM Requirements for MS Access (P7)
- 3 EDI MESSAGING (EDIM)
  - 3 1 EDIM Content Protocol
  - 3 2 EDIM Requirements for Message Transfer (P1)
  - 3 3 EDIM Requirements for MTS Access (P3)
  - 3 4 EDIM Requirements for MS Access (P7)

### 6.3.3 Directory

ADI Directory

#### a b Substructure

- 1 DIRECTORY ACCESS
  - 1 1 DUA Support of Directory Access
  - 1 2 DSA Support of Directory Access
- 2 DIRECTORY SYSTEM
  - 2 1 DSA Responder Role
  - 2 2 DSA Initiator Role
- 3 DISTRIBUTED OPERATIONS
  - 3 1 DUA Support of Distributed Operations
  - 3 2 DSA Support of Distributed Operations
- 4 DIRECTORY USE OF STRONG AUTHENTICATION
  - 4 1 Specific Digital Signature Schemes
  - 4 x for further study (see note)

NOTE The use of strong authentication in distributed operations is for further study

### 6.3.4 Virtual Terminal

AVT Virtual Terminal

#### a b Substructure

- 1 BASIC CLASS (A-MODE)
  - 1 1 A-mode Default
  - 1 2 Telnet
  - 1 3 Scroll
  - 1 4 CCITT X.3 PAD Interworking
  - 1 5 Transparent
  - 1 6 Generalized Telnet
- 2 BASIC CLASS (S-MODE)
  - 2 1 S-mode Default
  - 2 2 Forms
  - 2 3 Paged
  - 2 4 Enhanced Forms
  - 2 5 Enhanced Paged

NOTE - The "enhanced" entries are placeholders for the addition of facilities which will be specified in the forthcoming second addenda to the Basic Class Virtual Terminal standards. These include specifically "ripple" editing functions.